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# Physical modelling of bio sensors based on Organic Electrochemical Transistors

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Par

**Mme. Anna Shirinskaya**

PHYSICAL MODELING OF BIOSENSORS BASED ON ORGANIC  
ELECTROCHEMICAL TRANSISTORS

**Thèse présentée et soutenue à Palaiseau, le 7 septembre 2017 :**

**Composition du Jury :**

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# Abstract

Organic Electrochemical Transistors are widely used as transducers for sensors in bioelectronics devices. Although these devices have been extensively studied in the last years, there is a lack of fundamental understanding of their working mechanism, especially concerning the de-doping mechanism.

This thesis is dedicated to Organic Electrochemical Transistors modelling. First of all, a numerical steady state model was established. This model allows implementing the Poisson-Boltzmann, Nernst-Planck and Nernst equations to describe the de-doping process in the conductive PEDOT:PSS layer, and ions and holes distribution in the device. Two numerical models were proposed. In the first, Local Neutrality model, the assumption of electrolyte ions trapping in PEDOT:PSS layer was taken into consideration, thus the local neutrality was preserved. In the second model the ions were allowed to move freely under applied electric field inside conductive polymer layer, thus only global electroneutrality was kept. It was experimentally proven that the Global Neutrality numerical model is valid to explain the global physics of the device, the origin and the result of the de-doping process. The transition from totally numerical model to analytical model was performed by fitting the parametric analytical Boltzmann logistic function to numerically calculated conductivity profiles. As a result, an analytical equation for the Drain current dependence on applied voltage was derived. By fitting this equation to experimentally measured Drain current- applied voltage profiles, we could obtain the maximum conductivity of a fully doped PEDOT:PSS layer. The maximum conductivity is shown to be dependent not only on the material, but also on device channel size. Using the maximum conductivity value together with the Conventional Semiconductor model it is possible to extract the other parameters for the full description of the OECT: intrinsic charge carrier density, initial holes density, initial PSS<sup>-</sup> concentration and conductive polymer layer volumetric capacitance. Having a tool to make easy parameters extraction and characterization of any OECT, permits not only to increase the level of device description, but most importantly to highlight the correlation between external and internal device parameters.

Finally it is shown how to make the whole description of the real OECT device, all the models were validated by fitting the modelled and experimentally measured data profiles.

As a result, not only the purely theoretical model was presented in this thesis to describe the device physics, but also the prominent step was made on simple real device characterization.

Keywords: Organic electronics, bioelectronics, device physics, organic electrochemical transistor, de-doping, numerical modelling, analytical modelling

# Résumé

Les Transistors Organiques Electrochimiques (OECT) sont largement utilisés comme les capteurs dans de nombreux appareils bioélectroniques. Bien qu'ils aient été largement étudiés au cours de ces dernières années, il n'y a pas encore de compréhension fondamentale et univoque principe de fonctionnement d'un OECT, notamment en ce qui concerne le mécanisme du dé-dopage et la distribution d'ions et de trous à l'intérieur de la couche électrochimiquement conductrice.

Cette thèse est consacrée à la modélisation des Transistors Organiques Electrochimiques. Tout d'abord, un modèle d'état stationnaire numérique a été établi. Ce modèle utilisant les équations de Poisson-Boltzmann, Nernst-Planck et Nernst, nous permet de décrire finement le processus du dé-dopage dans la couche de PEDOT: PSS ainsi que, la distribution des ions et trous dans le capteur. Trois modèles unidimensionnels de transistors électrochimiques organiques ont été réalisés: "sans pénétration d'ions", "neutralité locale" et "neutralité globale". Pour chaque modèle, l'ensemble des profils de concentration en ions, de concentration des trous et de répartition du potentiel ont été obtenus pour le cas en régime permanent. Pour mesurer la distribution du potentiel à l'intérieur de la couche de PEDOT: PSS, une configuration expérimentale a été faite. Avec cette configuration, il était possible non seulement de mesurer le profil de potentiel en régime permanent, mais aussi de voir l'évolution du «front mobile» dans le temps. Chacun des profils de potentiel calculés a été comparé au profil de potentiel mesuré expérimentalement dans deux dispositifs différents.

Il a été prouvé expérimentalement que le modèle numérique dit de « neutralité global » est valable pour expliciter le fonctionnement global du capteur, mais aussi, l'origine et le résultat du processus du dé-dopage. La transition d'un modèle totalement numérique à un modèle analytique a été réalisée en ajustant la fonction analytique paramétrique de Boltzmann au profil de conductivité calculé numériquement.

Nous avons pu ainsi extraire, la fonction analytique de la dépendance du courant de drain en Fonction du potentiel local. Cette fonction ajustée sur un profil de courant de drain mesuré expérimentalement en fonction du potentiel appliqué permet d'obtenir la conductivité maximale d'une couche de PEDOT: PSS entièrement dopée. La conductivité maximale est dépendante non seulement du matériau, mais aussi de la taille du canal. Il est possible d'extraire, en utilisant la valeur de conductivité maximale et un modèle de semi-conducteur conventionnel, les autres paramètres pour la description complète d'un OECT: densité intrinsèque de charge, densité de trous initiaux, concentration initiale de PSS<sup>-</sup> et capacité volumétrique de la couche polymère conductrice. Le fait d'avoir un outil permettant d'extraire et de caractériser facilement tous les OECT permet non seulement d'augmenter le niveau de description de compréhension du transistor, mais surtout de mieux maîtriser la corrélation entre paramètres internes et externes.

L'analyse complète d'un seul OECT a également été effectuée. Cette analyse comprend le cycle complet de modélisation à partir de l'obtention de la conductivité maximale avec le modèle paramétrique analytique jusqu'à l'obtention de l'ensemble complet des courbes de sortie et de transfert pour le dispositif réel du transistor électrochimique organique. La comparaison de ces courbes avec celles mesurées expérimentalement a montré que la modélisation analytique-numérique mixte pouvait très bien prédire le comportement du dispositif pour un large éventail de potentiels drain-source et grille-source.

Finalement, l'approche que nous avons réalisée, couplant modélisation analytique et numérique, nous a permis de proposer une description complète du fonctionnement physique d'un OECT. En outre nous avons pu valider expérimentalement la pertinence de nos modèles en les comparant avec les caractéristiques obtenues via des mesures réelles.

Mots-clés: Électronique organique, bioélectronique, physique des appareils, transistor électrochimique organique, dopage, modélisation numérique, modélisation analytique

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# CHAPTER 1. INTRODUCTION

## Organic electronics: definition, history, evolution

In the past five decades inorganic materials, such as metals, metal oxides, silicon and gallium semiconductors were playing the major role in the field of electronics. Only these types of materials were assumed to be suitable as conductive and semiconductive layers for microelectronics. But in 1954 first organic conductor perylene-bromine complex was discovered.[1] Conductivity of this material was not very high in comparison to inorganic semiconductors, only about  $1 \cdot 10^{-3} \text{ S} \cdot \text{cm}^{-3}$ , but this discovery laid the foundation of intensive research in the field of organic electronics. Since that time a lot of effort was made to discover and improve conductive, semiconductive and lightemitting properties of organic and organic-inorganic (hybrid) composite materials. There was given a Nobel Prize in Chemistry in a domain of organic electronics to Alan J. Heeger, Alan J. MacDermitt and Hideki Shirakawa for a discovery and development of oxidized, iodine doped polyacetylene. Fabrication of organic electronics components with performance comparable to inorganic led to massive enhancement of organic conductive materials since 1990s (Figure 1.1)[2]. In several years organic and hybrid materials might reach silicon wafers performance.

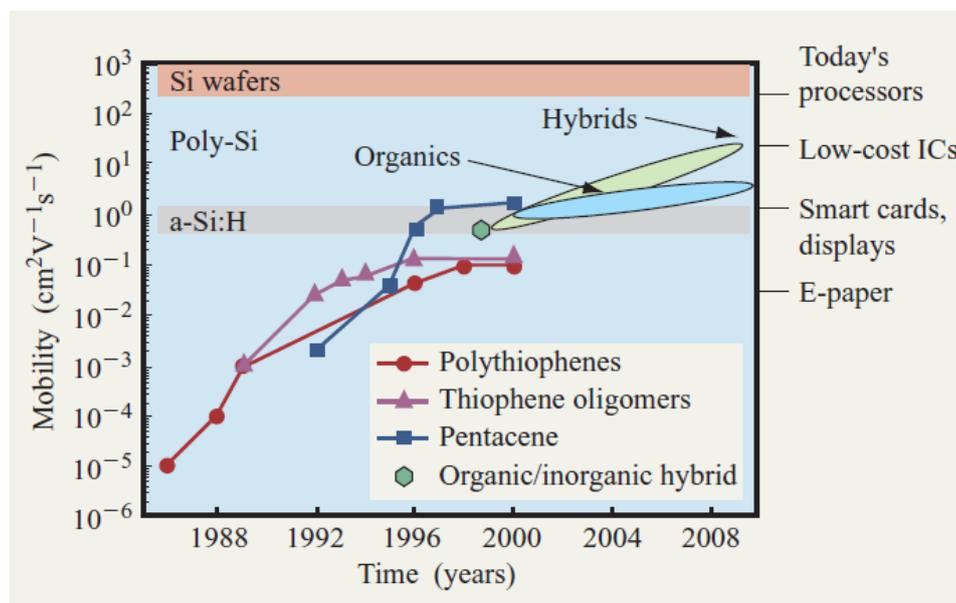


Figure 1.1 – Organic conductors' mobility enhancement[2]

Among the other key advantages of organic conductive materials are: possibility to tune their electronic properties depending on conditions of chemical synthesis[3]; low cost manufacturing by flexographic, offset and inkjet printing techniques; flexibility. Due to these advantages organic conductive materials found their application in different areas. Organic conductors are used in fabrication of electronics devices such as: Organic Light-Emitting Diodes (OLED), Organic Photovoltaic Cells, Organic Transistors and Organic Sensors.

# Organic Sensors

Organic electronic devices are also found their application as organic sensors and in particular biosensors. According to the definition: biosensor is a device which uses specific biochemical reactions mediated by isolated enzymes, immunosystems, tissues, organelles, or whole cells to detect chemical compounds, usually by use of electrical, thermal or optical signals.[4] First biosensor was developed in 1962 for glucose blood monitoring.[5] Biosensors could be used as cheap, rapid and simple alternative to already existing testing platforms for emergency tests and diagnostics. With biosensors it is possible to detect different types of analytes, such as different types of ions, glucose, cholesterol, lactate, blood gases, hemoglobin as well as DNA and presence of living cells. [6, 7] Different types of transistors are suitable for biosensing applications, because they could be easily miniaturized and integrated into a portable electronic device. They are able to amplify and control the input signal depending on applied electric field, which is in its turn, influenced by the processes occurred inside in the presence or in the absence of reactions with analyte.

There exist several types of transistors, most wide-spread type is Organic Thin-Film Transistor (OTFT) (Figure 1.2) also known as Organic Field Effect Transistor (OFET), it also includes ion-sensitive OFET (ISOFET), metal-oxide-semiconductor FET (MOSFET), electrolyte-gated OFET (EGOFET) and its subtype Organic Electrochemical Transistor (OECT).[8, 9]

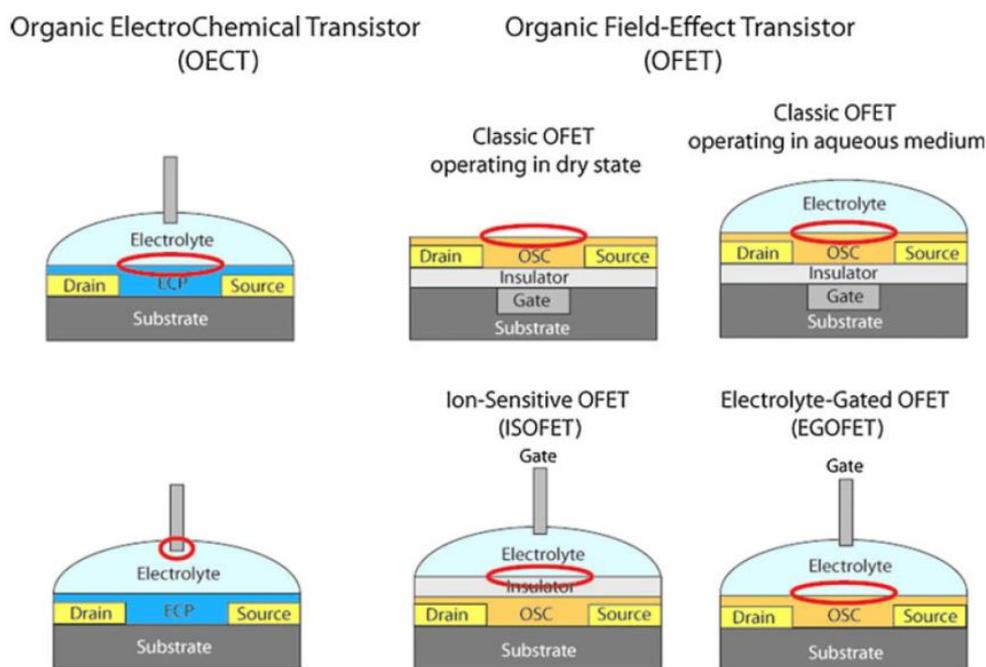


Figure 1.2 – Architecture of OFET and OECT transistors. Sensing part, that could be also functionalized, is marked by red[8]

Modification or functionalization of different active sites of biosensors is often used to make a biocompatible device with high selectivity to different analytes. In Figure 1.2 active sites, marked as a red circles, are shown, each of these active sites could be also functionalized. An example of two different types of bio functionalized label-free EGOFET immunosensor for C-reactive protein (CRP) detection is represented at the Figure 1.3. In the first case, the functionalization of organic

semiconductor with anti-CRP antibody coating was made. In the second case anti-CRP antibodies are forming self-assembled monolayer, which is covalently anchored on the golden Gate electrode. Both configurations are allowing an ultrasensitive detection of CRP in phosphate buffered saline and in human serum samples.

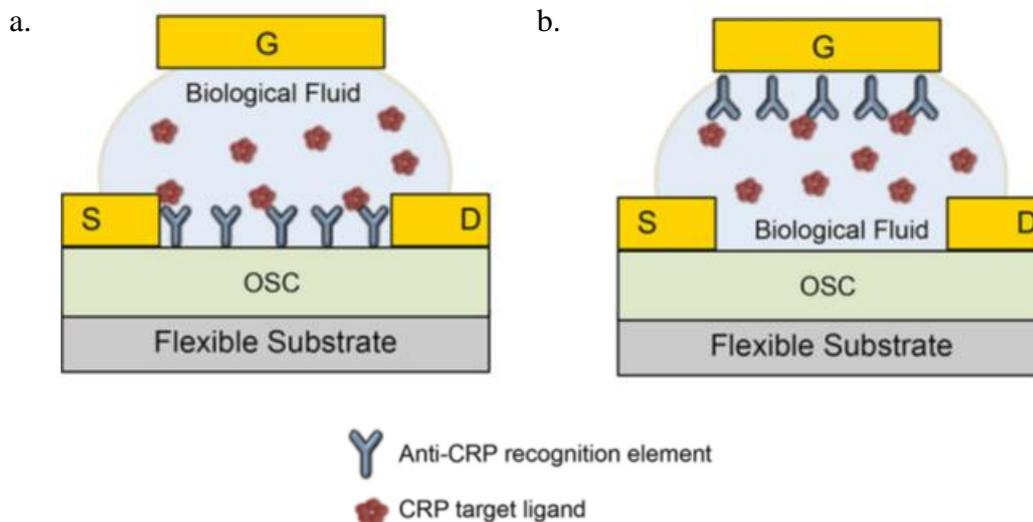


Figure 1.3 – Schematic representation of bio functionalized EGOFET biosensor structure with Anty - CRP antibodies: a) anchored of organic semiconductor interface; b) covalently attached to the gold electrode[10]

Different types of OTFTs are used for biosensing application (Table 1.1), the type of sensor used depends on sensor possibility to transform a chemical signal to an electrical signal for the specific type of analyte and on required analyte detection limit.

OECT	Glucose [11-13] Lactate [14] Liposome [15] Dopamine [16] DNA [17]
Another type of OFET	Lactic acid [18] Biotin [19] Streptavidin [20] DNA [21, 22] Glucose[23] pH[24] Trimethylamine[25]
Another type of OTFT	Glucose [26] Glial fibrillary acidic protein [27] BSA [28] Anti-BSA [29] pH [30] DNA [31, 32]

Table 1.1- Bio-analytes that could be detected by different biosensors (modified from [33])

Nowadays OFET transistors are the most extensively studied and used as chemical sensors and biosensors due to unique combination of high sensitivity, electronic output and possibility of an entire low-cost fabrication from natural biodegradable materials.[34] In the most of the cases  $\pi$ -conjugated organic semiconductors are use as conductive material in OFET sensor due to their biocompatibility, bio-functionalization and bio-integration possibilities. The classical structure of OFET is represented at the Figure 1.2. Gate is separated from a conductive channel by an insulating layer. Source and Drain are connected by a conductive layer, conductivity of which is dependent on applied Gate-Source potential. Upon the application of a positive Gate-Source voltage in n-channel device, free electrons, drawn to compensate the charge at semiconductor-insulator interface, are forming a conductive channel (and visa-versa for p-channel device). A positive (negative) potential is applied between Drain and Source, electrons (or holes for p-channel device) are injected from the Source and current flows through the channel.

Classical OFET could operate in a dry or wet state (aqueous medium) (Figure 1.2). The type of sensor is chosen depending on analyte that needs to be detected, for example dry state OFETs are used for DNA[35] or protein[36] detection. Wet state OFETs are often used for biological applications, for example to detect glucose or lactic acid [37]. Even though that simple wet state OFETs have found their application in biosensing, there several other types of OFET that are commonly used as biosensors in aqueous media: ISFET, EGOFET and OECT.

An ISOFET is one of the most common OFETs used as a biosensor (Figure 1.2).[38] In this sensor Gate is immersed in electrolyte layer, which is separated from a conductive channel by an insulating layer. In this case Drain current is controlled by the potential at the electrolyte-insulator interface. This type of sensor is used for different analyte sensing, such as pH[24], glucose[23] and trimethylamine[25].

Insulating layer could be suppressed, in case of using an electronically conductive layer, which is highly stable in aqueous media. In case of EGOFET sensors (Figure 1.4a), applied Gate-Source potential causes the formation of two double layers: at the interface of the Gate electrode and at the interface between an electrolyte layer and an electronically conductive layer. In this type of devices gating is achieved due to formation of these double layers. In case of EGOFET ions are not penetrating inside the electronically conductive layer. One of the biggest advantages of EGOFETs is the possibility of low voltage operation, which makes them suitable for biological application: sensing of huge variety of many biologically relevant analytes such as penicillin, lactose, maltose, glucose, urea, etc. [39]

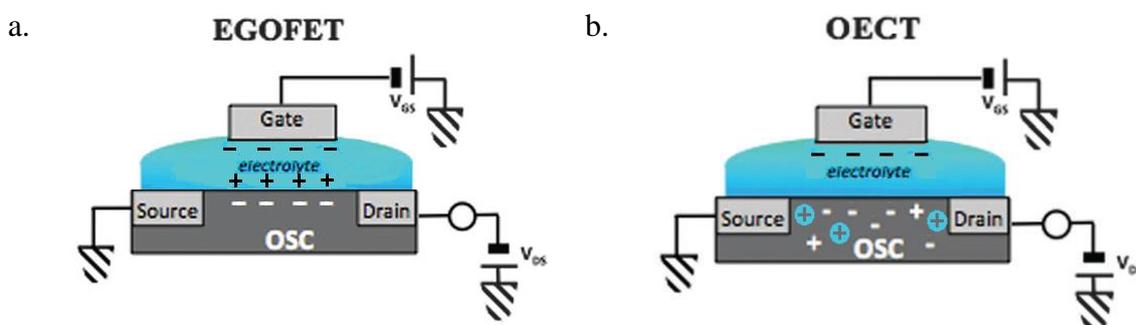


Figure 1.4 – Schematic representation of different types of OFET transistors: a) Typical EGOFET biosensor; d) Typical OECT biosensor (modified from [38])

The next important type of OFET biosensors is an OEET. In this transistor, ions from electrolyte are penetrating inside an electronically conductive layer, changing the local potential and provoking decreasing of charge carrier concentration. The difference between OEET and EGOFET is clearly represented on the Figure 1.4. An investigation of an OEET is the main goal of the current thesis.

## Organic Electrochemical Transistor as a sensor

Organic Electrochemical Transistors have several significant advantages among the other types of organic transistors such as:

- 1) The absence of gate dielectric, which leads to a decrease of trapping instabilities and as a result to better performance.
- 2) The ability to operate at very low voltage (lower than 1 V).[9]
- 3) A very simple design that leads to an easy printing process. [40]
- 4) The ability to conduct electronic as well as ionic charge carriers, so they could be used as a perfect platform for electronic and biological system integration. [41]

Typical OEETs consist of three electrodes (Source, Drain and Gate) and two conducting layers: electrolyte and conductive polymer.[42, 43] The gate electrode is immersed into the electrolyte, while source and drain electrodes are located at the sides of the conductive polymer layer, which forms a conductive channel. (Figure 1.5)

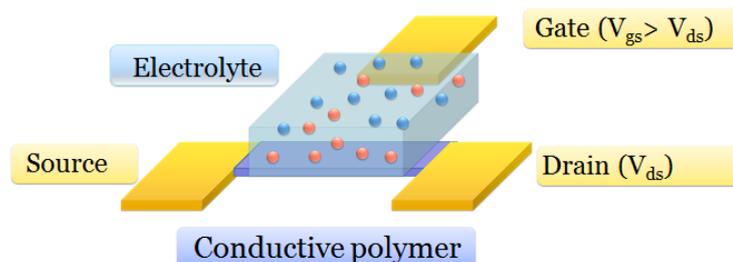


Figure 1.5 – Structure of typical OEET

The Source electrode is grounded; the voltage applied between the Gate and the Source is generally greater than that applied between Source and Drain. The current modulation is generated by a de-doping effect induced by a reduction of the conductive polymer to its neutral (non-conducting state). Since the amount of charge carriers in the conductive polymer is decreased, current between source and drain electrodes also decreases (Figure 1.6).[44] This kind of current variations is dependent on the electrolyte composition, gate voltage and device geometry. [40, 44]

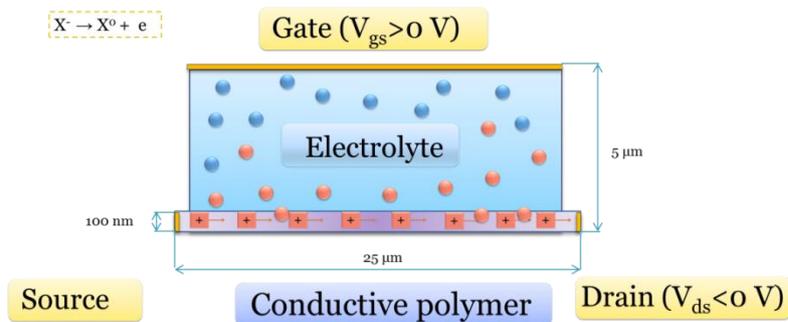


Figure 1.6 – Schematic representation of dedoping process in OECT

The main working principle of an OECT as a sensor is the charge transfer between analyte and Gate electrode. In case of the charge transfer the potential of electrolyte changes and the value of this change ( $V_{\text{analyte}}$ ) is described by the Nernst equation. Since the Gate stays at a constant voltage, in case of potential drop change at gate/electrolyte interface potential drop at electrolyte/channel interface also takes place. This kind of changes are dependent on analyte concentration, therefore the drain current is influenced by analyte concentration. Main requirements of effective OECT sensors are:

- 1) Gate electrode should be smaller than channel
- 2) The charge carrier mobility should be as high as possible
- 3) Capacitance per unit area of polymer should be high
- 4) Ion to polymer penetration possibility should be high
- 5) Channel width should be high and length should be low

In case of satisfying such requirements the OECT would be sensitive enough to be used as an electrochemical sensor. [45]

An OECT could also be successfully used as ion-to-electron converter. In this case transient ionic current in electrolyte is induced by application of a positive gate voltage. Conducting polymer film is reduced and cations are penetrating from electrolyte, therefore the drain current is decreasing. So an OECT works as a converter of transient electronic current to the change of drain electronic current.

The main characteristics of effective OECT ion-to electron converter are:

- 1) Gate electrode should be much larger than channel
- 2) Gate electrode should be non-polarizable
- 3) Polymer should be highly conductive
- 4) Channel width and thickness should be high
- 5) Channel length should be low

In case of following this structural rules the response of OECT ion-to electron converter is high.

As a result Organic Electrochemical Transistor is a normally on-transistor, in which the channel is initially conductive, that represents a very efficient device to be used as an ion-to-electron converter or a sensor that could successfully detect different types of analytes in different types of media. It has a simple three electrode structure coupled with ionically and electronically conductive layers that could be fabricated in a micro scale and operates under very low voltages. Thus OECT is a perfect device to be used not only in-vitro, but also in-vivo to detect chemical or electric field change in a media.

# Motivation and thesis overview

Several analytical models have been recently developed to describe the operation principle of OECT, predict the device response and analyze experimental observations of the device. [45-47] However these models do not present an equal degree of precision in case of different OECT geometries and applied potential. To fully understand the working mechanism of OECTs and predict the device behavior it is absolutely necessary to establish more precise models.

In every good model consider the combination of three processes:

- 1) Movement of ions in, out and inside polymer layer (electrochemical process)
- 2) Recombination of the electronic and ionic charge carriers in the polymer
- 3) Transport of the charge carriers (holes) inside polymer

This is why the goal of this thesis is to develop a model which will describe precisely the processes that happened inside Organic Electrochemical transistor after application of source-drain and source-gate potentials. This thesis is dedicated to development of two models: simple analytical model that suits well for parameters extraction and description of an OECT; numerical model that allows describing the processes that occur inside OECT and especially inside a conductive polymer layer, special attention is paid to dedoping process.

**Chapter 1 Introduction** outlines the history and the field of Organic Electronics and especially Organic Sensing Devices, among which the role of Organic Electrochemical Transistor is important. In this chapter the outline and motivation of the thesis is also highlighted.

**Chapter 2 Background information** begins by investigating the question of conductivity of ionically conductive layer, processes of ions interaction with the media and electrode surface. The next part of this chapter gives an explanation of the nature of conductivity and transport properties of organic conductive materials. The last part of this chapter describes current state of the art of Organic Electrochemical Transistors including description of already existing models.

**Chapter 3 Numerical model of an OECT** describes several numerical models made in COMSOL Multiphysics program, how these models are explaining the de-doping effect upon gate voltage application, how they fit to experimental results and how they could be used to describe and improve already existing devices. The link between the numerical and analytical models is also established in this chapter.

**Chapter 4 Analytical model of an OECT** is dedicated to the analytical modeling explanation and results, and importance of the information that could be extracted from the models.

**Chapter 5 OECT device full model** shows how all the models could be implemented practically for any single device characterization and description.

**Chapter 6 Experimental validation** describes the experimental work that has been done on Organic Electrochemical Transistors fabrication. The second part of this chapter is dedicated to the fabrication of the device to prove the validity of the numerical model and to the results of the Moving front experiment and local potential measurements.

## CHAPTER 2. BACKGROUND INFORMATION

Any Organic Electrochemical Transistor consists of three electrodes [47]: Source, Drain and Gate; a conductive polymer, which is placed between Source and Drain electrodes; an ionically conductive material, which is located in contact with the conductive polymer and the Gate electrode. To understand the working principle of this transistor as a whole, it is important to describe the source of conductivity in each active part of the device and an origin of dedoping process occurs inside the device. In this chapter an explanation of the nature of an electronic conductivity of conductive polymer layer and an ionic conductivity of ionically conductive layer will be given. An explanation of the de-doping process which is in charge of current modulation will take place within the Chapters 3. and 4.

### Ionically conductive materials

First important conductive layer in an Organic Electrochemical Transistor is an ionically conductive layer. Different types of ionically conductive materials are used in OECT: ionic liquids[48], ionogels[49], electrolyte solutions[9, 45, 47] and biological analytes[41]. The letter type of an organic conductor is the widely used because it allows OECTs implementation as a biosensor for different type of sensing applications, for example in-vitro for cell grows detection[50, 51] or in vivo for brain activity recording[52]. Never the less the most used and studied ionically conductive layer of an OECT is an electrolyte layer. This is why it is very important to give a brief description of physics and chemistry of electrolyte solution.

### Ion-Solvent interactions in electrolyte solution

Electrolyte is an electrically neutral solution of positive ions (cations) and negative ions (anions) in a polar solvent, such as water. According to ion-solvent interaction electrolytes could be divided on *true* and *potential* electrolytes. True electrolyte is ionically conductive in a pure liquid form (all salts, such as NaCl, KCl are belonging to this type). Potential electrolyte (or ionogels) is non-ionically conductive in its liquid form, but in contact with solvent chemical reaction takes place and ions are produced, so electrolyte solution becomes ionically conductive. Examples of this type of electrolyte are acetic acid and oxalic acid. So in ionically conductive solution not only weak interactions are possible but also ion-forming chemical reactions.

Common electrolyte solutions that are used in Organic Electrochemical Transistors are solutions of true electrolytes, such as NaCl and KCl in water. When salt is placed in water dissociation takes place and two ions are formed:



Where (s) is a solid form of NaCl and (aq) indicates hydrated ions of  $\text{Na}^{+}$  and  $\text{Cl}^{-}$ .

Due to collisions of solvent and crystal walls, ions in lattice are going into the water phase obtaining more energetically favorable state. This ion-solvent interaction causes formation of conducting ionic solution (Figure 2.1a).[53]

Water in its liquid form has a dipole structure; its molecule contains two hydrogens bonded with oxygen. Hydrogens have slightly positive charge and oxygen slightly negative. This makes water to

be a polar solvent and allows it to form hydrogen bonds (H-bonds) with nearby water molecules and ions. Spherically symmetric electric field of ion tears water dipoles, which becoming trapped and oriented near the ion (Figure 2.1b). These water molecules are immobilized near the ion, so they are moving with the ion.

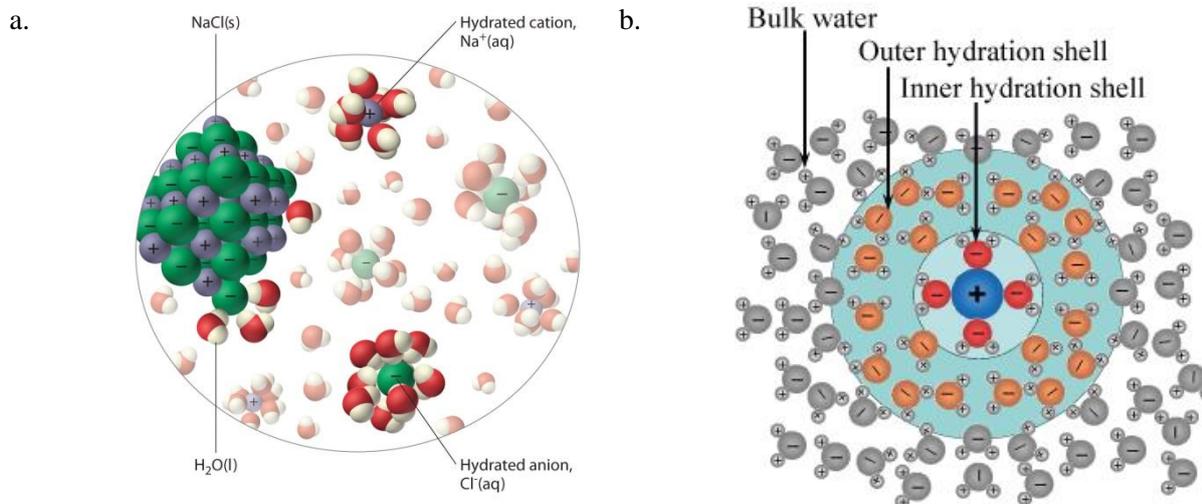


Figure 2.1 – Solvation process in aqueous electrolyte solution: a) Water molecule interaction with NaCl salt crystal; b) Formation ion of surrounding hydration shells [54]

The closest layer of completely orientated water molecules near the ion forms an inner hydration shell. The next layer of water molecule is still experience an influence of ion electric field, but simultaneously it has an influence of bulk water network, which also tries to orientate water dipoles. So this in-between partially-orientated water adopts a compromise structure and form outer hydrated shell. Hence in each ionic solution there is an interaction between solvent and ion due to single ion electric field.

## Ion-Ion interactions in electrolyte solution

It is important to mention that ion-solvent interactions are not the only ones that take place in the solution. The other key process is an interaction between ions itself in the solution. Due to its electric field an ion sees not only water dipoles, but also the other charged particles, such as the other ions. These interactions are particularly important, because they affect equilibrium properties of the solution. The degree of interactions depends on ionic charges and density in electrolyte, so on its nature and concentration.

True electrolytes when placed in water are totally dissociated in ions; to fully understand properties of true electrolyte solution it is necessary to fully understand ion-ion interactions. Ion-ion interactions are assumed to have an electrostatic origin. The theory proposed by Peter Debye and Erich Hückel lead to formulation of simplified, but never the less powerful model, of ion-ion interaction and time-averaged ions spatial distribution in electrolyte solution.[55] To understand the whole system it is important to look firstly on reference (central) ion with discrete charge selected electrolytic solution consisted of solvated ions and water. Water molecules around this ion are orientating and behaving as dielectric medium with dielectric constant equal to bulk water dielectric constant ( $\epsilon=80.1$  at 293 K). The other ions around are treated in the medium in a form of an excess

charge density  $\rho$  (Figure 2.2). Central ion has an effect on this medium and excess of charge density  $\rho$  varies with the distance from reference ion. In the same time net charge density is equal to 0, so globally electrolyte is electroneutral.

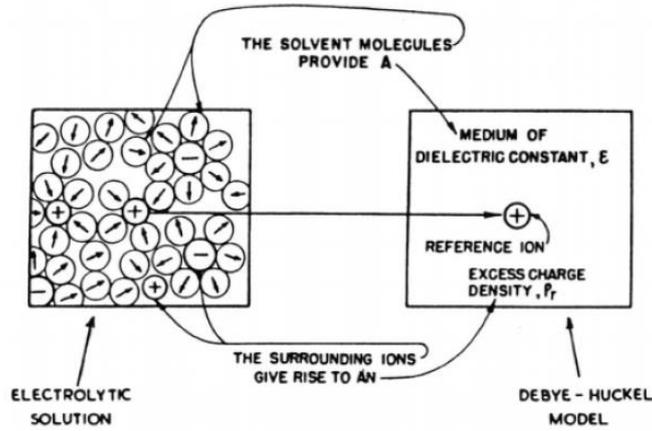


Figure 2.2 – Graphic representation of Debye–Hückel model[56]

Reference ion attracts the neighborhood ions of an opposite sign so near the ion  $\rho \neq 0$ , this attraction takes place due to electrostatic attraction forces between oppositely charged ions. Together with electrostatic forces there are thermal forces that are knocking ions around and affect on smoothening charge density to  $\rho=0$  everywhere. So balance of these two forces leads local excess for negative charge near the positive ion and positive charge near negative ion, with simultaneous keeping global electrolyte electroneutrality.

Relation between excess charge density  $\rho$  and local potential  $\phi$  are described by the Poisson's equation:

$$\nabla^2 \phi = -\frac{\rho}{\epsilon \epsilon_0} \quad (2.2)$$

where  $\nabla^2$  - Laplacian operator considered to be:

$$\nabla^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \quad (2.3)$$

Freedom of ionic movement and charge distribution could be described by the Boltzmann statistics:

$$c_i = c_i^0 e^{\frac{-z_i U}{k_B T}} \quad (2.4)$$

Where  $T$  is the temperature,  $k_B$  - Boltzmann constant,  $z_i$  is the charge of an ion and  $c_i$  is a local concentration of ion type ( $i=1, 2, \dots$ ),  $U$ - change in a potential energy of  $i$  particles when their concentration is changed from bulk  $c_i^0$  to local  $c_i$  in the volume element  $dV$ . In case of no ion-ion interaction local  $c_i$  equals to bulk  $c_i^0$ , so  $U=0$ , in case of repulsion for two ions with the same sign  $c_i < c_i^0$ , so potential energy is positive. In case of two oppositely charge ions, attraction takes place, so the  $c_i > c_i^0$ , which gives negative  $U$ . [57]  $U$  could be represented as  $U_{positive} = z_i \phi q$  in case of

positive ion and  $U_{negative} = -z_i \phi q$  for negatively charged ion, where  $q$  is the charge of an electron and  $\phi$  is the potential. Using the Boltzmann statistics for of monovalent ion one obtains equations for positive and negative ions concentrations[56]:

$$c^+ = c_i^0 e^{\frac{q\phi}{k_B T}} \quad (2.5)$$

$$c^- = c_i^0 e^{\frac{-q\phi}{k_B T}} \quad (2.6)$$

In the presence of several ions charge density would be:

$$\rho = F \sum_i z_i c_i q \quad (2.7)$$

Where  $F$  is a Faraday constant. Taking in account Boltzmann statistics:

$$\rho = F \sum_i z_i q c_i^0 e^{\frac{-z_i q \phi}{k_B T}} \quad (2.8)$$

Poisson-Boltzmann equation is obtained by bringing together Boltzmann statistics with Poisson equation:

$$\nabla^2 \phi = -\frac{F}{\epsilon \epsilon_0} \sum_i z_i q c_i^0 e^{\frac{-z_i q \phi}{k_B T}} \quad (2.9)$$

This equation could be linearized and solved analytically for diluted solution of an electrolyte with the valence of ions  $z_i=1$  or  $z_i=2$ . The result of the linearization has a Helmholtz equation form[58]:

$$\nabla^2 \phi_i(r) = K^2 \phi_i(r) \quad (2.10)$$

Where  $r$  is the distance from the reference charge and  $K$  is the Debye screening length denoted as:

$$K^2 = \frac{2q^2}{k_B T \epsilon \epsilon_0} \sum_i c_i z_i^2 \quad (2.11)$$

This linearized analytic solution is represented at the Figure 2.3.

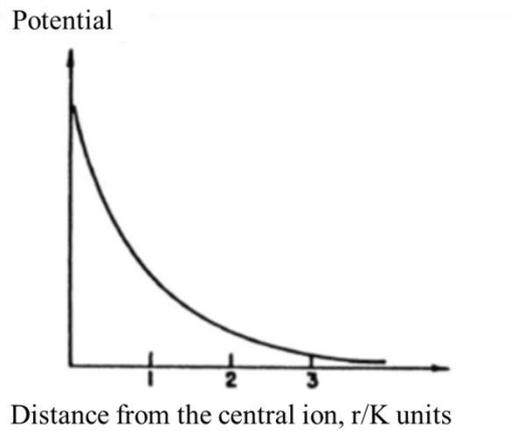


Figure 2.3 – Analytic solution of linearized Poisson-Boltzmann equation. Electric potential dependence from the distance from atom[53]

It is not possible to solve the Poisson-Boltzmann equation analytically for electrolytes with high concentrations of higher valence of ions, the only possible way to obtain the solution is a numerical modelling.

## Ionic transport in a solution

In a solution ions are moving under different applied forces to compensate their influence. This movement has two aspects: an individual aspect and a group aspect. The individual aspect takes in account an individual motion of every ion present in the solution; this ions movement is usually random in direction and speed. The group aspect is more significant because it account on the group ions movement in a certain direction producing the flux (or drift) of ions, this results not only directed transport of charges, but also transport of mass.

Ionic flux could have three different origins:

- 1) The difference in the concentration in different regions of electrolyte would result a concentration gradient, which produces a flow of ions to compensate the concentration difference. This type of flux is called *diffusion* (Figure 2.4a).
- 2) The difference in electrostatic potentials in different electrolyte regions would lead to flow of electric charges under the applied electric field - *drift* of charges (Figure 2.4b).
- 3) The gradient of temperature or pressure that gives rise to a flow of charges *is* called *convection*.

Despite of the origin, any flux is a result of the reaction of a system, that is distorted, that leads to an equilibrium maintaining.

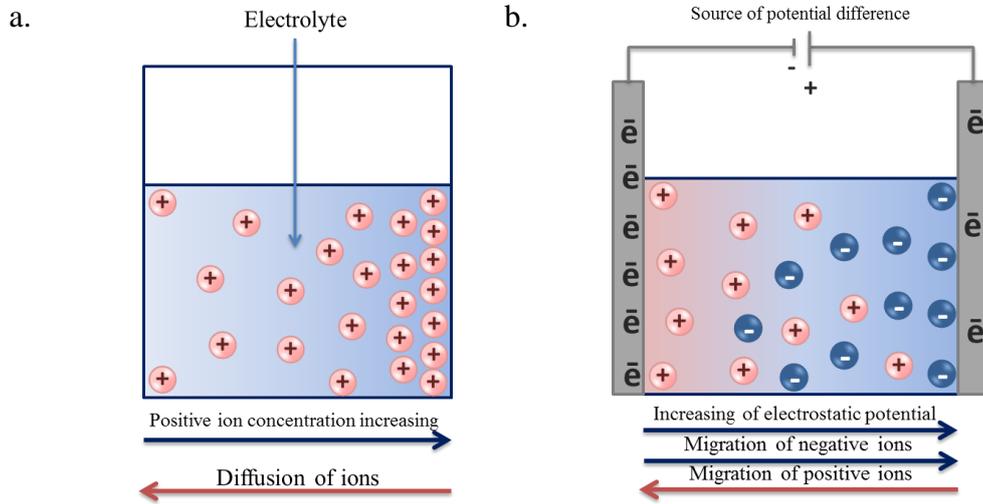


Figure 2.4 – Origins of ionic flux in electrolyte: a) Diffusion due to gradient of concentration; b) Migration due to gradient of potential

Diffusion is a movement of molecules to the region of lower concentration from the region of higher concentration. The diffusion in the steady state is proportional to the gradient of concentration. The diffusive flux relation with concentration is described by Fick's law (here it is presented in one spatial dimension):

$$J_{diff} = -D \frac{dc_i}{dx} \quad (2.12)$$

Where  $D$  is the diffusion coefficient.

The diffusion coefficient or diffusivity could be calculated using the Einstein–Smoluchowski relation[59]:

$$D = \frac{\mu_i k_B T}{q} \quad (2.13)$$

Where  $\mu_i$  - the electrical mobility of charged particle;  $q$  - electrical charge of the particle

As soon as equilibrium is reached and there is no more gradient of concentration only process of self-diffusion, which originates from the random molecular motion, takes place in the solution. So-called dynamic equilibrium is established. The diffusion process and an absence of the other external forces will cause a complete and uniform mixing in the solution.

Under applied potential and created electric field ions are starting to compensate an electric field to restore equilibrium of the system. So negatively charged ions are starting to drift towards the higher potential (or more positive electrode immersed into solution); positively charged ions are moving towards an area with lowest potential (or negatively charged electrode). As a result the spatial separation of charges is produced, but electroneutrality of electrolyte is never the less preserved. The drift flux of ions could be described with the following formula (here in one spatial dimension):

$$J_{drift} = -\mu_i z_i q c_i \frac{d\phi}{dx} \quad (2.14)$$

Since the drift of ions takes place due to the fact that they are charged, the flux of negative and positive ions could be separately presented as:

$$J_{drift}^+ = -\mu_i^+ z_i^+ c_i^+ q \frac{d\varphi}{dx} \quad (2.15)$$

$$J_{drift}^- = \mu_j^- z_j^- c_j^- q \frac{d\varphi}{dx} \quad (2.16)$$

The convective term is used to describe excitant gradient temperature or pressure in the solution. Combined together with the drift and diffusion terms the total ionic flux in electrolyte solution could be described. So the Nernst-Planck equation is obtained. Here this equation is represented in one-dimensional mass transfer form:

$$J_i(x) = \underbrace{-D_i}_{\text{Flux}} \frac{dc_i}{dx} - \underbrace{\frac{z_i F}{RT}}_{\text{Diffusion}} D_i c_i \frac{d\varphi}{dx} + \underbrace{c_i v(x)}_{\text{Migration (Drift)}} + \underbrace{c_i v(x)}_{\text{Convection}} \quad (2.17)$$

In general, in three-dimensions, the Nernst-Planck equation takes the following form:

$$J_i = -D_i \nabla c_i - \frac{z_i F q}{RT} D_i c_i \nabla \varphi + c_i \mathbf{v} \quad (2.18)$$

In case of an unstirred or stagnant solution with no gradients of density last, convective term is suppressed:

$$J_i = -D_i \nabla c_i - \frac{z_i F q}{RT} D_i c_i \nabla \varphi \quad (2.19)$$

In case of charged species, such as ions in electrolyte flux  $J_i$ , is equivalent to the current density. This is how an ionic current in electrolyte is established.[60]

## Ion-Electrode interaction in electrolyte solution

In an Organic Electrochemical Transistor the Gate electrode is put in contact, or immersed into an ionically conductive solution. Understanding of the processes that takes place at this interface is essential for understanding of the whole transistor working principle [13, 47, 61].

Electrode kinetics depends on electrode surface structure, purity and chemical reactions occur there. It is possible to classify all electrodes according to chemical process that happen in their interface in *polarizable* and *non-polarizable* electrodes[56].

Ideally polarizable electrode is characterized by absence of net reaction on its surface. That means that only transient (displacement) current could flow through its interface due to non-faradaic process. When potential is applied, charges will move towards an interface and accumulate there. Charging (displacement) current will flow to compensate for an excess (or deficiency) of the electrons in the interfacial electrode layer. Amount of this current will depend on the resistance in

the circuit. Basically, if there is no electrochemical reaction at the electrode it is possible to consider the electrode-solution interface as analogous to a capacitor, which capacitance  $C$  is proportional to stored charge  $q$  per applied potential  $E$ :

$$C = \frac{q}{E} \quad (2.20)$$

The amount of charge at the metal electrode is equal to amount of charge in the solution. All the stored charges form electrical double layer which composed of different sub-layers:

- 1) Inner layer (Helmholtz layer or Stern layer) where stay molecules which are specifically absorbed (inner Helmholtz layer) and nearest approached solvated ions (outer Helmholtz layer) that are non-specifically absorbed due to electrostatic interaction with an electrode.
- 2) Outer layer (Diffuse layer) is composed of the rest of redistributed non-specifically adsorbed ions. It is located between the Outer Helmholtz Plane and the bulk of electrolyte. Thickness of a diffuse layer is dependent on electrolyte concentration, for the small concentration it is about 100 Å.

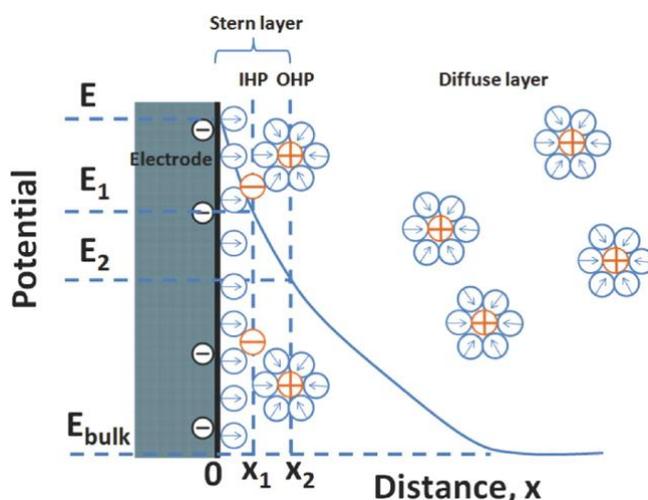


Figure 2.5 – The schematic representation of an electrolyte structure near an ideally polarizable electrode[62]

The presence of a diffuse double layer leads to the drop of potential near the electrode surface, so existence of a double layer mustn't be neglected for an accurate description of processes in any electrochemical system.

An ideally non-polarizable electrode shows exactly the opposite type of behavior. Current is passing freely through a perfectly non-polarizable electrode, so this electrode is characterized by an interfacial reaction due to the presence of a faradaic process. If there is a more negative potential applied on the electrode, electrons are gaining higher energy that could be sufficient enough for an electron transfer from an electrode to ionic species adsorbed on the electrode. That means a reduction reaction takes place on the surface and reduction current flows through the electrode-electrolyte interface. An opposite - oxidation process could take place if an electrode potential is high enough, so for electrons of ionic species there is more favorable to flow from an electrolyte to an electrode, creating oxidation current. A critical potential at which these processes take place is related to the standard electrochemical potential  $E_{0[56]}$ . The current is proportional to stoichiometric coefficients of the reaction at the electrode surface, so the amount of product made as a result of this

process is proportional to amount of charge that passes through the electrode's interface. The relationship between current (or charge that passes) and amount of product formed as a result of an electrochemical reaction could be calculated by using the Faraday's law:

$$m = \frac{QM}{Fz} \quad (2.21)$$

Where  $m$  - mass of the product as a result of an electrode reaction;  $M$  - molar mass of the product;  $Q$  - electric charge passes the boundary;  $F$  - Faraday constant

Even though the potential of an electrode is not enough to perform an electrochemical reaction other processes will, never the less, occur independently from the faradaic process at the interface. The processes called non-faradaic, such as an adsorption and desorption would influence on a solution-electrode interface. When potential is applied ions are migrating from the bulk to electrode surface region, where the chemical reactions could take place, then they are adsorbed on the electrode surface, where an electrochemical reaction with an electron transfer happens. External current could flow due to the adsorption - desorption process, even if there is no electrochemical reaction in the interface. Product desorbed after an electron transfer diffuses from an electrode surface to the bulk of the electrolyte under the gradient of concentration and the gradient of an electric field (in case if the product is charged). There are also possibilities of chemical reactions between the product and the other species in the electrolyte in the bulk or in the near-electrode region. (Figure 2.6)

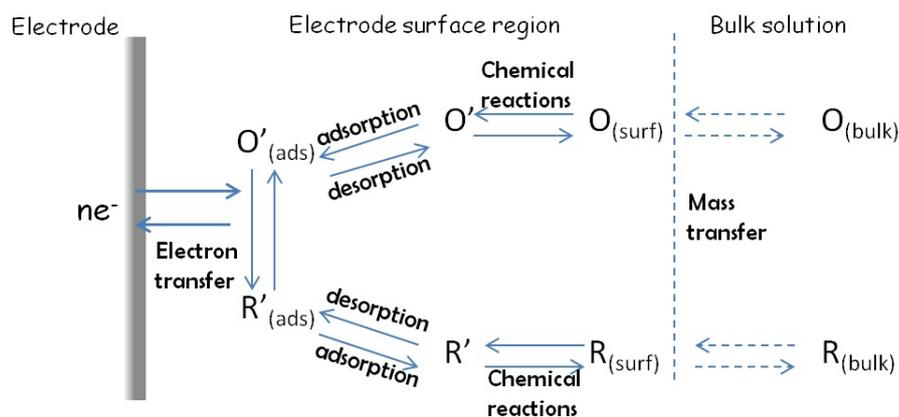


Figure 2.6 – Schematic representation of the process near the non-polarizable electrode under applied potential[56]

As a result when there is a reaction at the electrode: both faradaic and non-faradaic processes take place; only non-faradaic process is possible in case of an absence of the reaction, but the transient current could still flow due to adsorption-desorption process. Charging current could be very significant in case of low concentration of an electrolyte. In some cases it could be even larger than faradaic current. To conclude: both faradaic and non-faradaic processes must be taking in account for the proper explanation of the reaction and charge transfer in a system.

## Organic electronically conductive materials

Even though organic conductive materials in general have lower conductivity than inorganic semiconductors or conductors they have a lot of advantages in comparison with inorganic materials,

such as: low cost, easy properties tuning by chemical modification and a lot of fabrication possibilities by different techniques. Conductivity of organic conductors highly varies in the range  $10^{-2} - 10^5 \text{ ohm}^{-1}\text{cm}^{-1}$ , and depends on the type of organic conductor itself and also on its dopant.[63] Organic conductors could be categorized into conductive small molecules and conductive polymers. Small molecules are conductive materials that have the weight less than 1000 daltons, par contrary conductive polymers are defined by mass bigger than 1000 daltons. According to IUPAC definition polymer is a substance composed of macromolecules. Macromolecule is a molecule of high relative molecular mass, the structure of which essentially comprises the multiple repetitions of units derived, actually or conceptually, from molecules of low relative molecular mass.[64] In general small molecules are more soluble in organic solvents, but polymers have better mechanical properties and in general higher conductivity due to doping possibility.

## Structure and conductivity of organic conductors

Even though the structure of conductive polymers and conductive small molecules is different, the source of conductivity has the same chemical and physical nature. To understand the nature of organic material conductance it is necessary to look precisely at the chemical structure and bonds' configuration of these molecules.

The core element of an organic conductive material is carbon which has a  $1s^2 2s^2 2p^2$  electron configuration. Core orbital electrons are not participating in a chemical bonding, so in covalent bond formation only four electrons from  $2s^2 2p^2$  take part. While bonding with other atoms depending on the number of combined orbitals, formation of orbitals with different type of hybridization:  $sp^1$ ,  $sp^2$ ,  $sp^3$  is also possible. (Figure 2.7) As a result single, double or triple bonds are formed.

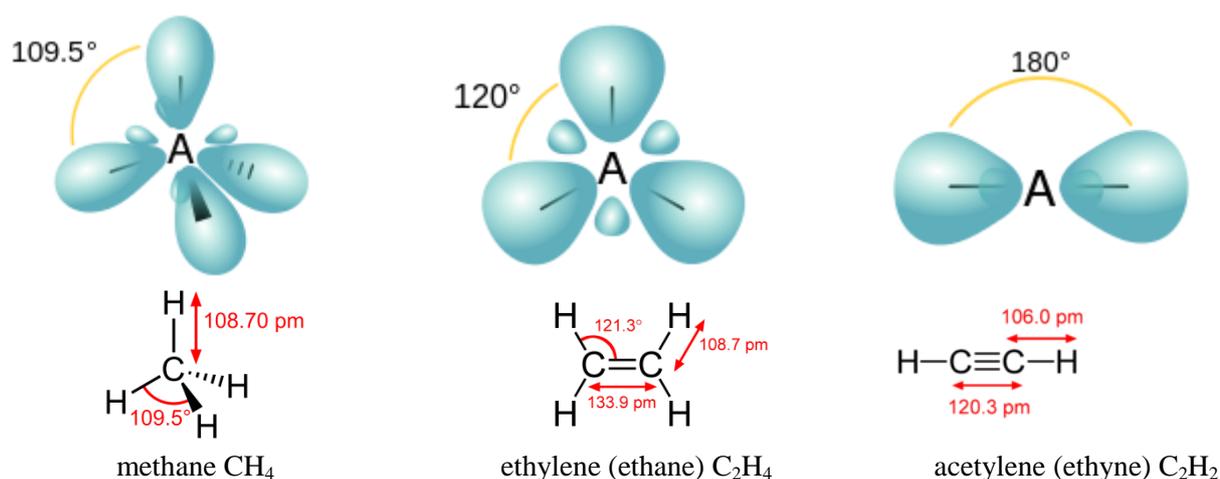


Figure 2.7 – Different types of carbon bond hybridizations and example of organic molecules with this hybridization type[65]

Conducting properties of a polymer is closely related to its chains and bonds configurations. To be conductive, organic material should have a chain with  $sp^2 2p_z$  hybridization. In conductive materials one  $2s$  orbital pairs with two  $2p$  orbitals of a carbon atom to form 3  $sp^2$  orbitals. Two out of three  $sp^2$  orbitals form covalent bonds with neighboring carbon atoms and the last  $sp^2$  orbital forms a covalent bond with the side group, or with a hydrogen atom. This type of bond is the

strongest type of a covalent bond which is formed by head-on overlapping of the atomic orbital (Figure 2.8), it is called  $\sigma$ -bond.[66, 67] The left  $p_z$  orbital of a carbon atom overlaps with  $p_z$  orbital of a neighbored carbon atom and forms  $\pi$  bond. This type of bond is weaker than  $\sigma$ -bond, so it has much less energy and less stability due to smaller overlap between two  $p_z$  orbitals.

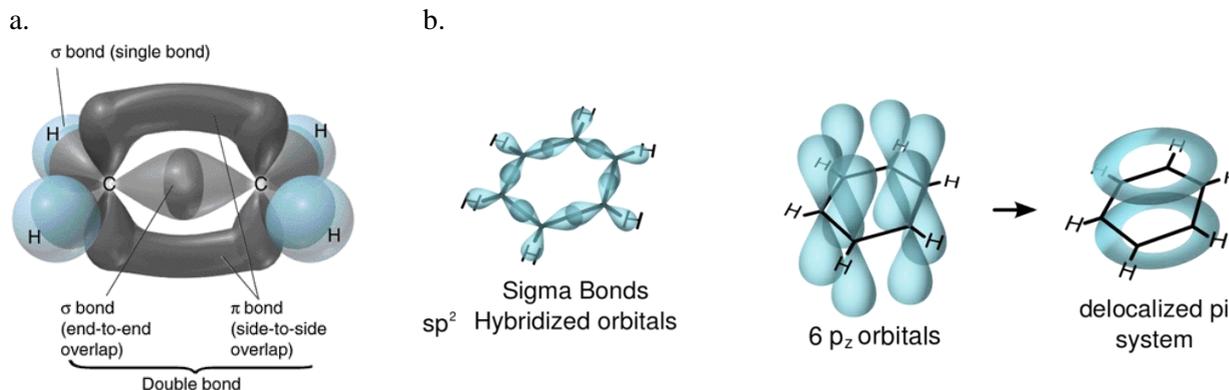


Figure 2.8 – Formation of  $\sigma$  and  $\pi$  bonds in: a) ethylene molecule; b) benzene molecule

Bonding weakly, the electrons of a  $\pi$  bond are easily delocalized. Split of  $\pi$  bond on  $\pi$  bonding and  $\pi^*$  anti-bonding band leads to the energy-gap ( $E_g$ ) formation. Conjugated molecules are the molecules that have single and double bonds alternation. The increase of the number of double bonds in a conjugated molecule leads to an energy-gap decrease. In case of large number of interacting  $p_z$  orbitals – fully occupied  $\pi$ -valence band and empty  $\pi^*$ -valence band are formed. In this case energy gap is determined as the difference between lowest unoccupied molecular orbital (in conduction band)-LUMO and highest occupied molecular orbital (in valence band) - HOMO (Figure 2.9).[68]

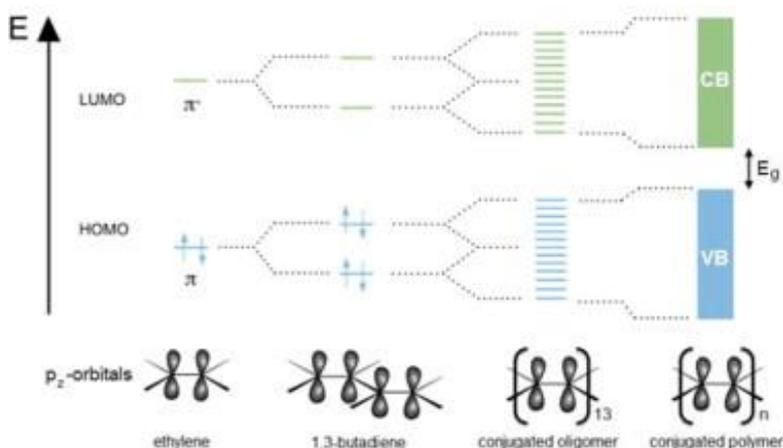


Figure 2.9 – Energy band formation in conjugated polymer materials[69]

The Band gap determines conductive properties of the material; it depends on molecule structure and the number of repeating units, so this property could be tuned on molecular level by changing the molecular structure of a material.

Conductive properties of organic materials are in a lot of cases highly dependent on additives that could tune conductive properties towards much higher or lower values.

## Doping of conductive materials

The most widely used class of organic conducting materials is conductive polymers.  $\pi$ -conjugated polymers don't have very high conductivity; this characteristic could be increased through the doping process. There exist n-type and p-type dopants. The conductivity of a material is proportional to a doping concentration up to some extent, but even a small amount of dopant (several ppm) could increase sufficiently the conductivity of a conjugated polymer. Dopant is charge-transfer agent used to generate, by oxidation or reduction, positive or negative charges in an intrinsically conducting polymer[4]. In conductive polymers dopant molecules are placed between conjugated polymeric chains, it doesn't form with them covalent bonds, but attached to them by Coulomb force (Figure 2.10) [70]. Addition of a dopant forms an ionic complex with a conjugated polymer by an electron exchange and provokes a charge separation near the polymer molecule keeping, nevertheless, the global electroneutrality.

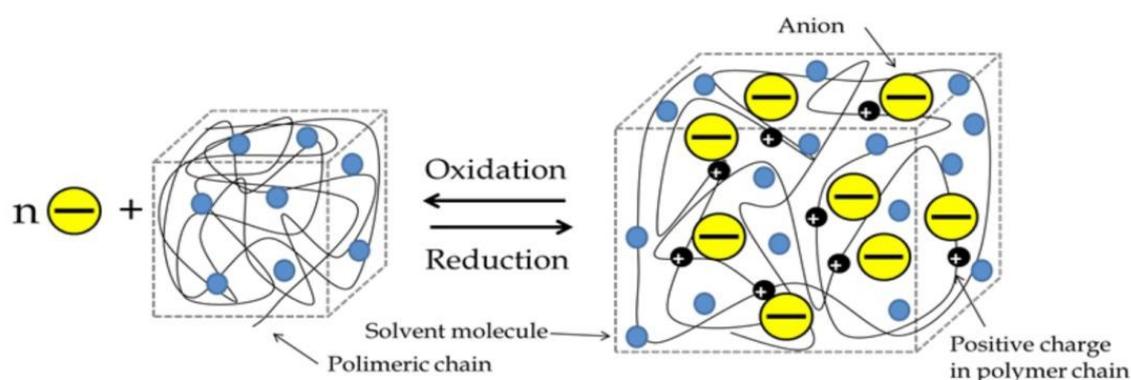


Figure 2.10 – Process of n-type doping of polymer molecule[71]

Chains of the conjugated polymer are starting to deform immediately after doping. The charge is delocalized along several units of the polymeric chain, the difference between single and double bonds decreases gradually, it causes a polaron creation. There are two types of polarons:  $P^+$  (hole) polaron - electron from lower polaron level leaves and going to an acceptor;  $P^-$  (electron) polaron - electron is leaving donor and goes to the acceptor higher level. In terms of chemistry polaron is lattice distortion associated radical ion.[72] Formation of polaron and bi-polarons (lattice distortion associated union of two like charges) is possible in doped conjugated polymers. Polarons are formed in case of low doping level and bi-polarons are formed in case of higher doping level.

Charge transport in conductive polymers is a multi-scale process. In an intra-molecular level under applied potential bi-polaron or polaron travels along the chain causing charge propagation with continuous polymer conformational changes[63]. Electron or hole current could be defined depends on polaron origin. Charge hopping process occurs between two macromolecular chains in the inter-molecular level. In supra-molecular level charge travels by a percolation between amorphous and crystalline domains (Figure 2.11).

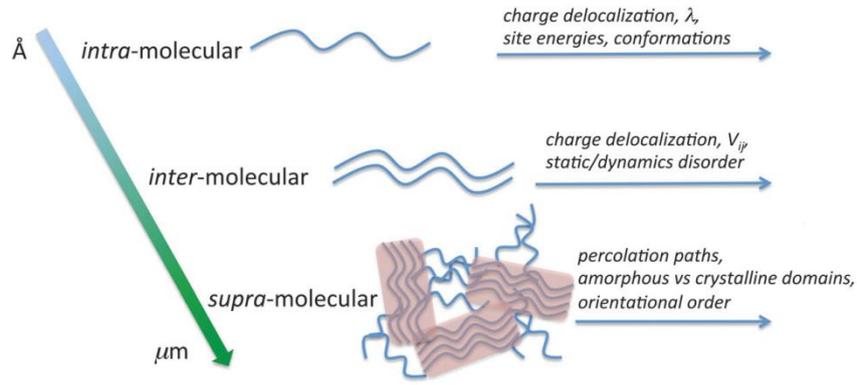


Figure 2.11 – Schematic representation of multi-scale transport in conductive polymers[73]

All three scales of charge transport are affecting the total charge transport process, so parameters such as molecular chain flexibility and structure, packaging and aggregation should be considered during synthesis and processing of the material[73].

## Charge carrier transport in conductive polymers

Conductive polymers could be doped by one of two different types of dopant:  $n$  or  $p$ -type. As a result depending on dopant type one of two polarons is created:  $p^+$ - polaron and  $n^-$ - type polaron. So the main charge carriers in conductive polymers are electrons and holes. The set of equations governing electrons and holes transport in conductive polymers is very similar to those one that is used for positive and negative ions transport description in electrolyte. So holes and electrons density distribution and movement is described by the set of Drift-Diffusion and Poisson-Boltzmann equations:

$$\nabla \cdot \varepsilon \nabla \varphi = -e(p - n) \quad (2.22)$$

$$J_p = e\mu_p p E + qD_p \nabla p \quad (2.23)$$

$$J_n = e\mu_n n E + qD_n \nabla n \quad (2.24)$$

Where  $p$  and  $n$  – holes' and electrons' concentrations,  $\mu_p$  and  $\mu_n$  - holes' and electrons' mobility,  $D_p$  and  $D_n$  - holes' and electrons' diffusion constant.

Similar to an ionic case, this set of equations has an analytical solution only in limited amount of very particular cases, so the proper solution could be obtained only in case of a numerical model building.

## PEDOT:PSS as an electronic conductor

The main interest of this thesis is an Organic Electrochemical Transistor, in particular OECT with poly(3,4-ethylenedioxythiophene) polystyrene sulfonate (PEDOT:PSS) as electron-conductive layer. PEDOT:PSS has a good biocompatibility, thermal, electrical and electrochemical stability.[74] A relatively high conductivity, about  $1000 \text{ S}\cdot\text{cm}^{-1}$  allows to fabricate not only conductive channel, but also Source, Drain and Gate electrodes from PEDOT:PSS[75].

From a chemical structure point of view, PEDOT:PSS is a mixture of polythiophene polymer (PEDOT) doped by polystyrene sulfonate (PSS) polyanion (Figure 2.12). PEDOT:PSS is a heavily

doped p-type conductive polymer, in which  $\text{PSS}^-$  compensates a positive charge from PEDOT backbone that creates a positive polaron redistributed through several polymeric units and forms a poly-ion complex[76].

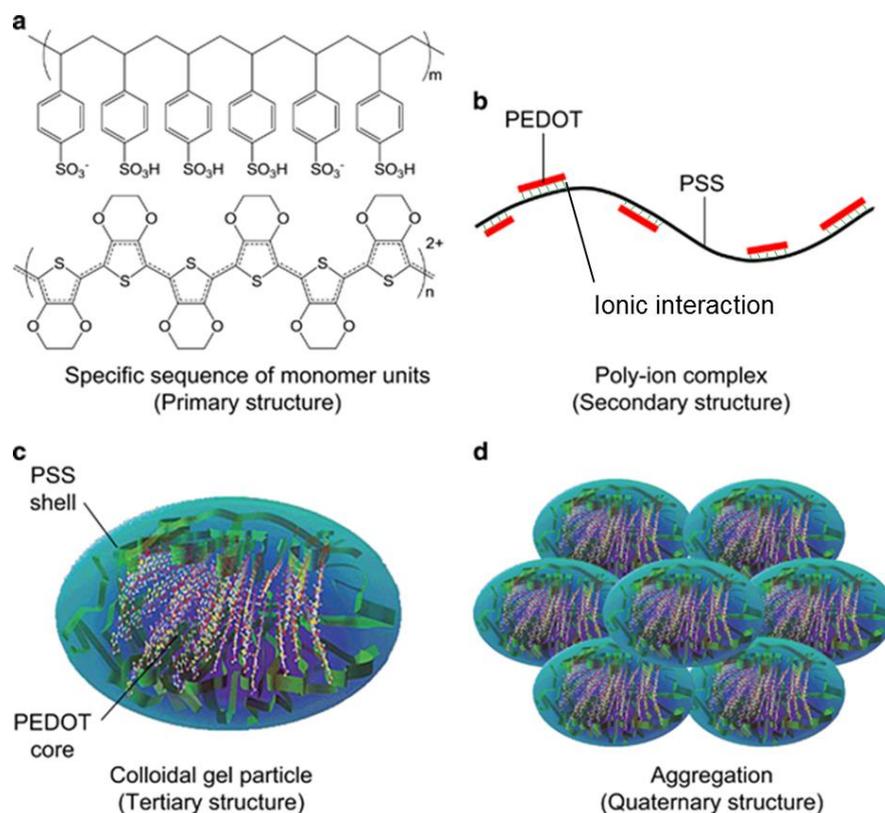


Figure 2.12 – Chemical structure of PEDOT:PSS p-type conductive polymer (modified from[77])

PEDOT:PSS complex exists in water in a form of colloidal gel particle, which could be processed into a fiber, thin or thick film by the variety of techniques, such as spin-coating, inkjet printing, flexography, lithography[76].

PEDOT:PSS is usually synthesized from EDOT monomer and PSS in water phase with an oxidizing agent – sodium peroxodisulfate. PEDOT itself is not soluble in any solvent, but PEDOT in oligomeric form (about 20 units) in ionic complex with PSS is dispersed in an aqueous medium. The ratio of PEDOT:PSS which is normally used for organic electrochemical transistors fabrication is 1:2.5 with amount of water equal to 2%. A dispersion contains 1-2.5% PEDOT:PSS in water has an optimal viscosity to be used by main deposition techniques[76]. Different dispersions of PEDOT:PSS are commercially available by Clevios and Sigma Aldrich. To better crosslink PEDOT:PSS film, for more stable operation in an aqueous environment, (3-glycidyloxypropyl) trimethoxysilane (GOPS) is often added[78]. It is possible to enhance the conductivity of a PEDOT:PSS layer by different additives integration during film formation. For example, ethylene glycol (EG) addition increases both an inter- and intraparticle charge carrier transport.[79] The addition of 3% EG would lead to an increase of PEDOT:PSS conductivity up to two orders of magnitude (from  $3 \text{ S cm}^{-1}$  to  $175 \text{ S cm}^{-1}$ ), but further increase of ethylene glycol concentration would lead to conductivity decrease. The possible explanation of this effect could be that in a pristine film PEDOT:PSS has the structure of aggregates of an amorphous PEDOT surrounded by an insulating PSS (Figure 2.12d) where the transport is unfavorable due to relatively high activation

energy (about 20 meV). An addition of a small amount of EG would promote the PEDOT phase crystallization and the insulating PSS shell thickness decrease, which lowers an activation barrier to 5.1 meV and promotes a charge-carrier hopping. Further increase of EG concentration would lead to increase of conductive domains size and defects formation[77]. Further enhancement of conductivity is also possible by different methods, for example by a solvent addition[80, 81] or a thermal treatment [82].

One of important and remarkable properties of PEDOT:PSS is it's electrochromic behavior. Electrochromism is a property of a material to reversibly change the color as a result of changing the state due to redox reaction[83]. In case of PEDOT:PSS the color is switching from light-violet (near-infrared) to deep blue (visible). Figure 2.13 represents an absorption spectrum of PEDOT:PSS. It could be seen that upon application of the potential the absorption spectrum has been shifted towards lower waive-length.

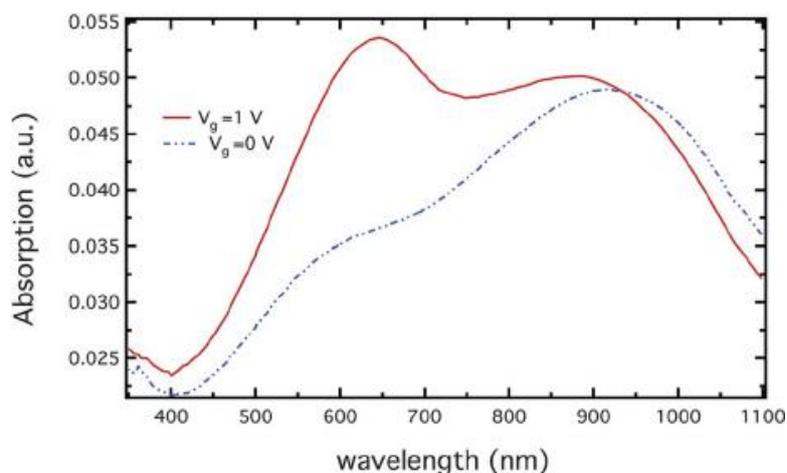


Figure 2.13 – Absorption spectrum of PEDOT:PSS in OECT at 0V and 1V of applied Gate potential[84]

This kind of behavior could be explained as a result of following redox reaction[85]:



The potential difference change of the system leads to a change of the system's free energy. Thus this potential change is linked with all sorts of electrochemical change, such as electrochemical reactions that take place in the system.

If the reversible redox reaction takes place in the system (such as it is  $PEDOT^+ \leftrightarrow PEDOT^0$ ), than the Nernst equation is used to describe the dependence of the reagent-product concentration from electrochemical potential[86]. It is worth mentioning that the Nernst equation is only used to treat a thermodynamically and electrochemically reversible system in the equilibrium state, which means when rates of a reduction reaction and an oxidation reaction are equal.

If the reaction in the system could be described by the following equation:



Where  $Ox^+$  is the concentration of oxidized (reagent) species;  $Red^0$  – concentration of reduced (product) species, then the concentration-potential dependence could be calculated from the following Nernst equation of half-reaction:

(2.27)

$$E = E^0 + \frac{RT}{nF} \ln \frac{a_{Ox}}{a_{Red}}$$

Where  $a_{Ox}$  and  $a_{Red}$  are activities of oxidized and reduced species.

For low concentrations it is possible to use the concentrations of reduced and oxidized species instead of activities:

$$E = E^0 + \frac{RT}{nF} \ln \frac{C_{Ox}}{C_{Red}} \quad (2.28)$$

Where  $C_{Ox}$  and  $C_{Red}$  are the concentrations of an oxidized and a reduced species;  $R$  - standard gas constant;  $T$  - temperature;  $n$  - number of transferred electrons;  $E^0$ -standard potential of the reaction.

Using this equation it is possible to calculate the concentration of a reagent:

$$C_{Ox} = \frac{C_{Red}}{e^{\frac{nF(E-E^0)}{RT}}} \quad (2.29)$$

$$C_{Ox} = C_{Red} e^{\frac{nF(E^0-E)}{RT}} \quad (2.30)$$

From this representation of the Nernst equation it could be easily seen that under equilibrium, concentration of the reactant ( $C_{Ox}$ ) is proportional to concentration of the product and reversely proportional to the temperature of the system and highly dependent on applied potential: if applied potential is higher than standard potential of reaction, then equilibrium is shifted towards the higher concentration of the product and vice-versa[56].

According to the Figures 2.14a and 2.14c, the reduction potential for PEDOT:PSS molecules from three different producers is from 0V to -0.1V and oxidation potential is about 0.1-0.2 V[83]. As soon as the applied potential is greater than reduction potential of  $PEDOT^+$ , the reduction reaction takes place and  $PEDOT^+$  is transferred to  $PEDOT^0$ . The result of a redox reaction of the real PEDOT:PSS is shown on Figure 2.14b.

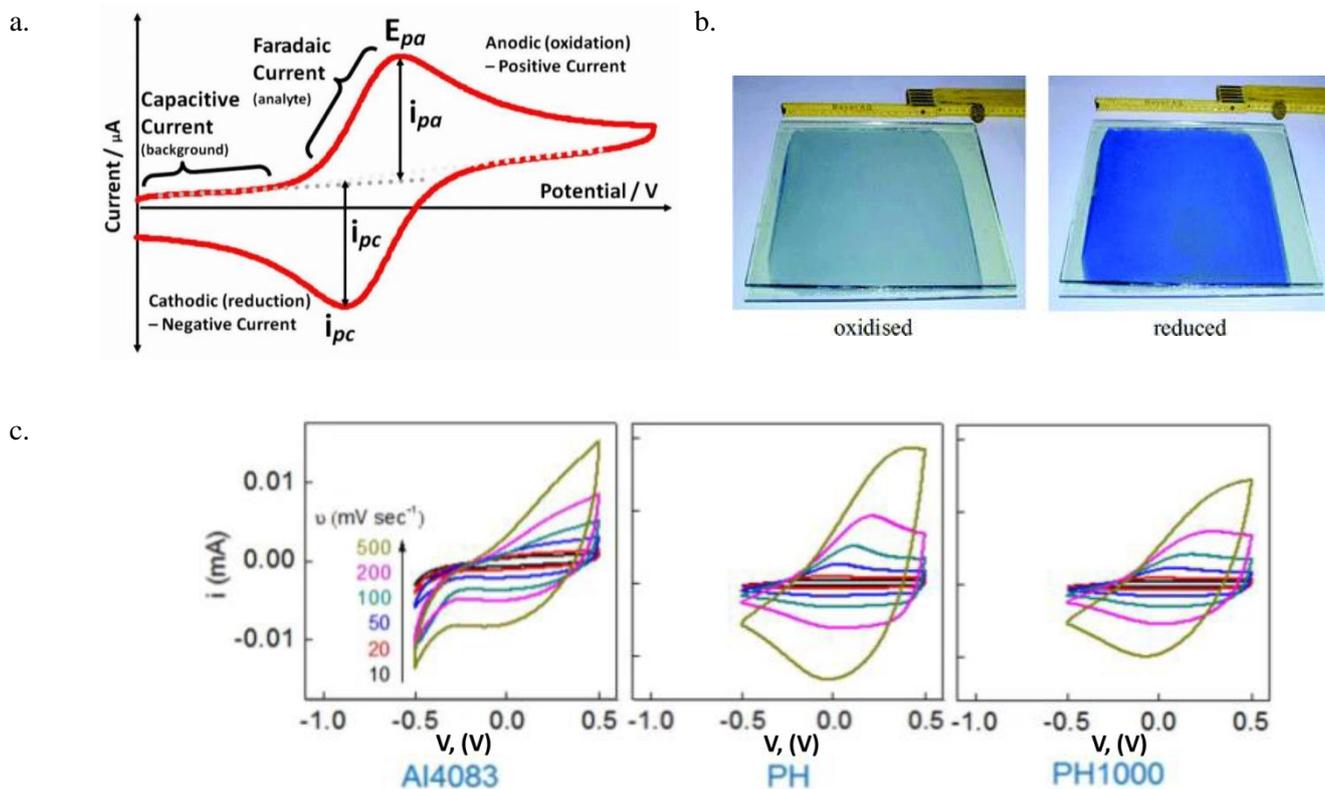


Figure 2.14 – Redox behavior of PEDOT:PSS: a) Theoretical cyclic voltammogram; b) Electrochromic PEDOT:PSS behavior as a result of redox reaction[87] ;c) Cyclic voltammogram of PEDOT:PSS [88]

An electrochromic behavior of PEDOT:PSS is interesting not only from its application point of view. Being a wonderful tool to track ions, PEDOT<sup>+</sup> distribution and device conductivity profile it also helps to understand the dedoping process that happens upon the application of certain gate potential in Organic Electrochemical Transistors.

## OECT modeling

There are two different approaches to a device modeling: numerical and analytical. The purpose of both types of models is a correct description and prediction of the main characteristics of a modeled object and processes occurring inside modeled object under known applied conditions. An analytical model normally allows calculating precisely the main characteristics of an investigated object and obtaining the exact solution. A numerical modeling is used when parameters and all device characteristics couldn't be calculated analytically. Numerical models do not give an exact solution of solved equations; instead, the solution with a certain degree of approximation is the result of numerical calculations. Never the less, both types of models are important for understanding the device physics and chemistry. To build up a working model it is useful to study precisely the already existing state of the art.

There exist several analytical models of an OECT that could give, up to some extent, an explanation and prediction of the device properties. Most of the models are considering depletion mode of an OECT operation. Different behavior of Organic Electrochemical Transistors is well defined for transient and steady-state. These models could be differentiated one from another taking in account to which state of operation a model is dedicated. A lot of models are looking at an

OECT from a point of view of physical generalization, and represent this device as a sum of resistive and capacitive elements.

The first model of OECT by Prigodin et al.[89] described the foundation of OECT modeling from the point of solid state physics. In this model mechanism of the channel conductivity and current decrease was attributed to holes mobility decrease due to cations injection under the applied Gate potential. This model being purely theoretical doesn't allow the data extraction and prediction.

The second model made by Robinson et al.[90] par contrary proposed to look at an OECT from an electrochemical and electrostatic point of view and linked the drop in conductivity with the applied potential induced by a de-doping process due to cations penetration with the following hole extraction. This model is numerical and also doesn't allow the straightforward data fitting and parameters extraction.

The third model made by D.A. Bernards and G. G. Malliaras[47] look at OECT, operating in non-Faradaic regimes, as at sum of two circuits an ionic and an electronic. They come across two behaviors of an OECT in transient and steady-state. The ionic part (electrolyte) is modeled as a resistor and a capacitor in series. (Figure 2.15) Electronic part (conductive polymer) is modeled using Ohm's law.

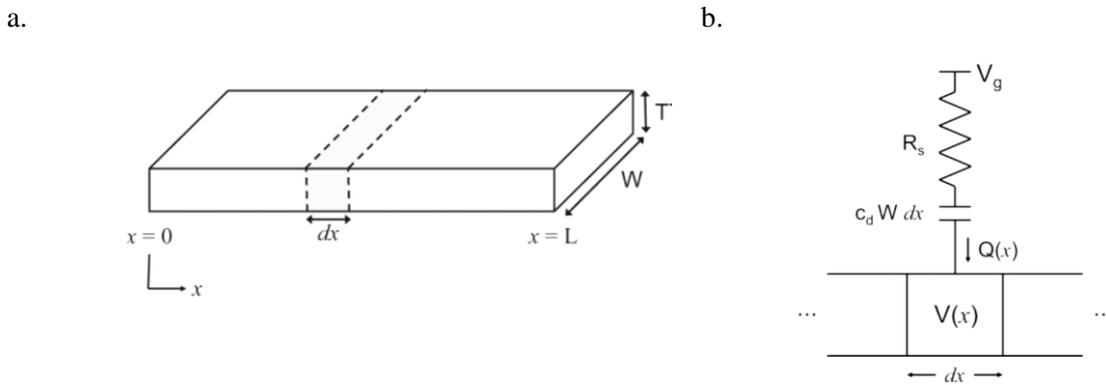


Figure 2.15 – Modeling of ionic part of OECT device as a resistor and capacitor in series: a) Schematic representation of the device structure used in the model. Drain is located at  $x=L$  and Source at  $x=0$ ; b) Circuit-like representation of the device, where the charge  $Q(x)$  is coupled with voltage according to the position  $x$  along the conductive channel

In the electronic part, the channel current density was calculated by the Ohm's law in one dimension with  $x$  being the position along the channel between source and drain:

$$J(x) = q\mu p(x) \frac{dV(x)}{dx} \quad (2.31)$$

where,  $q$ - elementary charge,  $p(x)$  - hole density with respect to  $x$ ,  $\mu$  - hole mobility,  $V(x)$  potential along the channel.

As a result of de-doping process, the amount of holes is decreasing; this could be described by the following equation:

$$p(x) = p_0 \left( 1 - \frac{Q(x)}{qp_0WLT} \right) \quad (2.32)$$

Where  $p_0$  – initial doping level (holes density);  $Q(x)$ - total charge of cations injected from the electrolyte as a result of de-doping process. Gradual channel approximation was used to define the gradient of charge density.  $W, L, T$  are channel width, length and thickness accordingly.

Since the ionic part was defined by a capacitor and a resistor in series, then the amount of charges injected to the layer could be calculated according to the following expression.

$$Q(x) = c_d W dx (V_g - V(x)) \quad (2.33)$$

Where  $c_d$  is a differential capacitance and  $V_g$  is an applied gate potential.

Combining all three expressions above it is possible to calculate the current in the channel depending on applied Gate potential.

$$I(x) = WTq\mu p_0 \left[ 1 - \frac{V_g - V(x)}{V_p} \right] \frac{dV(x)}{dx} \quad (2.34)$$

Where  $V_p$  is a pinch-off potential - Gate potential at which total channel de-doping takes place in the absence of applied drain potential.

As a result, using this model it was possible to calculate the current in OEET in case if a non-faradaic process is taking place on ideally polarizable gate electrode with different applied gate potentials, working in different regimes (partial de-doping, linear and saturation). Even though this model is suitable for current-voltage profiles characterization and predictions it has several drawbacks, such as inability to describe characteristic s-shape transfer curve and also not precise enough fitting between experimental and modeled results.

Extension of the third model towards the description of the faradaic regime of an OEET operation was made one year after by Bernards et al.[13] . This model takes in account an analyte electrochemical reaction at the Gate electrode, it allows to link the drain current response to the analyte concentration change in the process of enzymatic sensing of glucose.

Next model made by Yaghmazadeh et al [45] extends the two previous models to obtain the better fitting of the experimental and modeled profiles. The improvement was concerning more precise variation of the potential along the channel and the channel polarizability. But still, as the analytical models mentioned above, it was not able to explain the saturation of the current and the shape of the transfer curve.

It should be also mentioned the numerical model made by Stavriniidou et al.[46] which explains an ionic movement inside the PEDOT:PSS layer, since this movement and the de-doping process are the least explained and understood processes in Organic Electrochemical Transistors. The model represented the moving front experiment[91] in the PEDOT:PSS layer (Figure 2.16). For this experiment the device with two electrode structure, electrolyte and conductive polymer was fabricated. Ions from the electrolyte are claimed to move inside the PEDOT:PSS conductive polymer layer causing the de-doping effect and provoking holes extraction at the grounded electrode (Source).

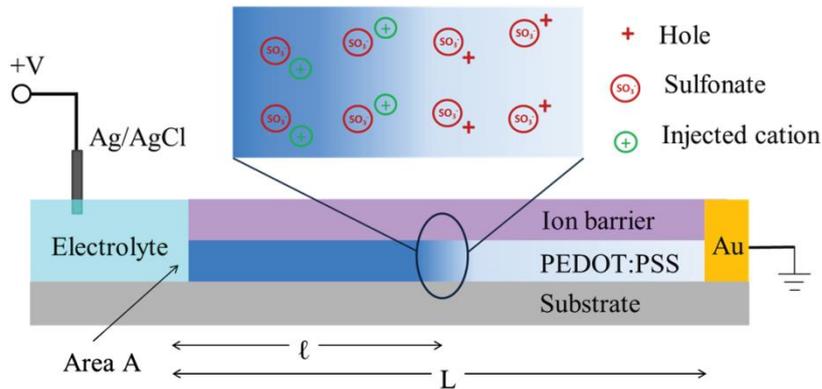


Figure 2.16 – Visualization of charge distribution in the polymer during Moving front experiment: positive ions injected from electrolyte are replacing the holes in the polymer during the Moving front propagation

It is stated that movement of this ionic front could be visualized by transient optical measurement of the conductive polymer layer transmission. Local concentration of the  $\text{PEDOT}^+$  could be extracted from the measurements. Figure 2.17.1 represents experimentally measured optical transmission light intensity, after application of Gate-Source potential, since  $\Delta T$  is proportional to electrolyte injected cations concentration, then this experimental measurements could be compared with modeled by Stavrinidou et al. moving front (ions concentration) profile (Figure 2.17.1).

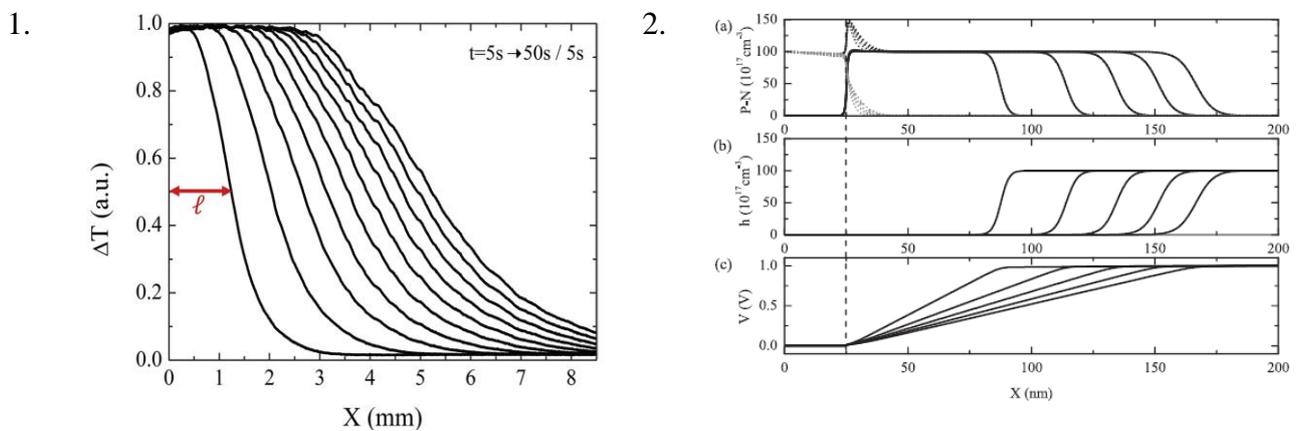


Figure 2.17 – Moving front experiment: 1) Experimentally measured evolution of the de-doping front for time  $t$  from 5 to 50 seconds ( $\Delta T$  is the change of transmitted light intensity along the conductive polymer channel); 2) Numerically modeled moving front for time  $t=2, 4, 6, 8,$  and  $10 \mu\text{s}$ : a) Spatial distribution of injected electrolyte cations (gray dotted), anions holes (black dotted) and positive charges due to electrolyte ions injection (solid black); b) Holes spatial density; c) Potential profile

Even though the time and the channel length are not the same it is not difficult to notice that modeled and experimental moving front profiles have the same shape and type due to ions injection. Stavrinidou et al. model predicts successfully ions concentration in the PEDOT:PSS layer after Gate-Source potential application it is not clear what would happen when the steady-state will be reached, when the moving front will stop and why, how the final ionic distribution would look like. For the full and clear description of OECT all of these questions need to be answered.

There are no doubts that already existing models could be used to describe OECT and quantify changes in the current with change in applied potential, up to some extent. But each of them have some drawbacks: generally, the existing analytical models are showing the good fit only to some experimental data and only for limited applied potentials; numerical models based only on the Poisson-Boltzmann and the Nernst-Planck equations are describing quite well only transient behavior of OECT due to all assumptions made about an ionically initiating de-doping of PEDOT:PSS. As a result, some effort needs to be made to build up more precise numerical and simple analytical models for the complete description of an OECT working principle.

## Conclusion

The understanding of any system starts with the understanding of a working principle of each part of this system. An Organic Electrochemical Transistor consists of two active layers. One of them is ionically and the other is electronically conductive. And both of them together are making a complicated and undividable system.

This Chapter was dedicated to the understanding of the origin of the conductivity in each of the layers and key processes that occur inside of each of them. In an electronically conductive layer the source of conductivity is an electron or a hole propagation under the gradient of applied potential or gradient of concentration. In ionically conductive layer charge carriers are positively or negatively charged ions, which are moving in the media due to drift, diffusion or convection. Even though the types of charge carriers are different, forces causing their movement have the similar origin. There are some other processes that need to be considered for a successful modeling: faradaic and non-faradaic processes on the electrode immersed in electrolyte, including an electrochemical reaction and a displacement current. Sum of all of these processes together with the de-doping process, which mechanism is one of the focal points of current thesis, is the core subject of further investigation and modeling.

It is not possible to proceed with the subject without mentioning, at least briefly the current state of the art in particular area of the research interest. In this case the already existing analytical and numerical models were the starting point for the following research.

## CHAPTER 3. STEADY STATE NUMERICAL MODELING

Numerical modeling of Organic Electrochemical Transistor will allow building up the picture of device operation and processes solving set of equations, such as Nernst-Planck together with Poisson-Boltzmann, that couldn't be solved otherwise.

For numerical modeling we used COMSOL Multiphysics<sup>®</sup>[92], software based on finite element approach. This software is based on advanced numerical methods for physical-based simulation and modeling. To solve the complex problem, the software divides the whole system in a set of small, so called finite elements. Then set of equations is resolved within each of this element with following reassemble into a bigger continuous system of equations that describes fully the modeled object. So this software gave us a nice tool for the system understanding and description.

COMSOL Multiphysics<sup>®</sup> allows to couple and model several types of processes happening simultaneously in the same system. It is possible to model chemical reaction together with physics linked with applied potential and transport of different types of charged species, such as electrons holes and ions. For OECT modeling two modules of COMSOL Multiphysics<sup>®</sup> were coupled together: Chemical Species Transport (Transport of Diluted Species) and AC/DC (Electrostatics) from Electrochemical Module. Two types of numerical simulations were made: one dimensional model with two electrodes and two dimensional device model with full structure (three electrodes). This approach was aimed to deeper understanding of inner device physics such as de-doping process and electrolyte influence and distribution in PEDOT:PSS conductive polymer layer.

Ions penetration under applied Gate potential assumed to be the main process responsible for PEDOT:PSS layer de-doping and as a result electrical current dropping in the channel[13]. This theory is the most widely accepted one [44, 47, 93]. According to this theory under applied potential positive ions from electrolyte penetrate inside the PEDOT:PSS layer, then are trapped near PSS<sup>-</sup> unit where they replace PEDOT<sup>+</sup>, thus maintaining an electrical neutrality. As a result, the amount of holes in the polymer layer decreases, and since in PEDOT:PSS the electrical current is holes-generated, the current decrease is linearly proportional to the hole amount decrease (Figure 3.1a).

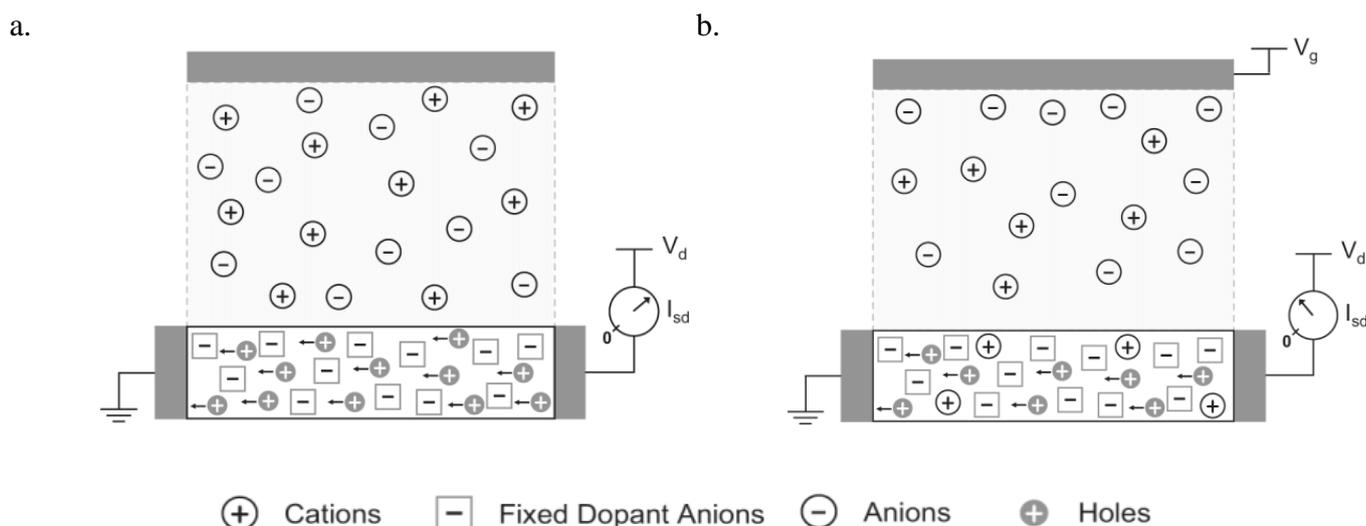


Figure 3.1 – Widely accepted representation of de-doping process[47]: a) Charges distribution inside OECT before gate voltage application; b) De-doping process representation upon applied gate potential

In this approach the fact that reduction of  $\text{PEDOT}^+$  takes place after application of certain redox potential is not considered. So building up one dimensional model first, which takes into account redox reaction in conductive polymer layer, ion movement and faradaic process on gate electrode under different applied potentials, is mandatory.

## One-dimensional modeling

Before building up full device model it is necessary to understand how the two main events: electronic and ionic are interacting between each other and how ions from the electrolyte act on PEDOT:PSS layer after penetration.

For this, a one dimensional model was developed first. Basically this model is aimed to model ions penetration from electrolyte to the conductive polymer layer and the result of electrolyte-conductive polymer interaction in steady state. Figure 3.2 represents modeled geometry.

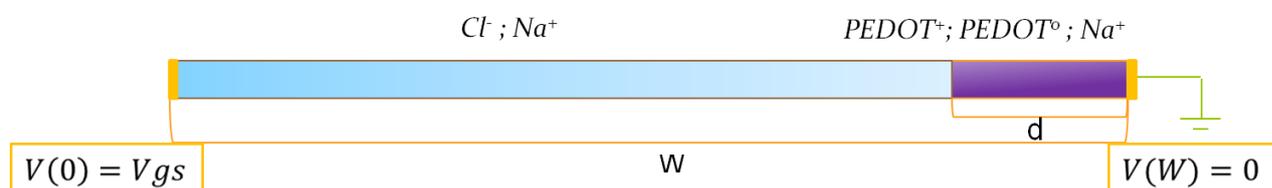


Figure 3.2 – Schematic representation of COMSOL numerical 1D model geometry. At the right hand side grounded source electrode is situated so  $V(W) = 0$  V; at the left hand side there is a gate electrode, so  $V(0) = V_{gs}$ ; in between there are ionically conductive layer that contains  $\text{Cl}^-$  and  $\text{Na}^+$  ions and electronically conductive PEDOT:PSS layer, where  $\text{Na}^+$  are penetrating upon de-doping occurred.

Modeled device consists of two layers:

- 1) Ionic layer with 100 mM of NaCl solution. Thickness of the layer is  $W-d=900$  nm
- 2) Electronically conductive PEDOT:PSS layer. Initial density of  $\text{PEDOT}^+$  (mobile holes) being equal to the density of  $\text{PSS}^-$  (immobile electrons) is  $10^{18} \text{ cm}^{-3}$ . Thickness of modeled conductive polymer layer is  $d=100$  nm. This thickness was chosen since real OEECT biosensors have thickness of the same order of magnitude.

According to the most popular theory applied potential between Gate and Source is pushing positive  $\text{Na}^+$  ions inside conductive channel. But this theory does not take into account the redox reaction of  $\text{PEDOT}^+$  under applied potential. The following model seems more realistic since it also includes the electrochemical nature of the process:

- 1) Before Gate-Source application ions are redistributed in electrolyte, PEDOT:PSS channel is fully doped (Figure 3.3a). In case of three electrode device the current is proportional to applied Source-Drain potential
- 2) A potential applied between gate and source electrodes reduces the amount of holes by polymer reduction according to the Nernst equation, leaving uncompensated negative  $\text{PSS}^-$  charge in PEDOT:PSS layer (Figure 3.3b). At the same time in case of polarizable electrode (for example Ag/AgCl) the oxidation reaction takes place on the gate electrode, amount of  $\text{Cl}^-$  that is oxidized at the Gate electrode is equal to amount of  $\text{PEDOT}^+$  reduced in conductive polymer layer, thus global electroneutrality in the electrolyte is preserved.

- 3) To maintain electroneutrality in the polymer positive  $\text{Na}^+$  ions are intercalated in the PEDOT:PSS layer so that the total amount of positive charge  $\text{PEDOT}^+_{\text{left}} + \text{Na}^+_{\text{intercalated}}$  is equal to that of negative  $\text{PSS}^-$  (Figure 3.3c). This process starts together with  $\text{PEDOT}^+$  reduction. Since the amount of  $\text{Na}^+$  ions that entered the conductive polymer is equal to that of  $\text{PEDOT}^+$  left which in turn is the same amount of  $\text{Cl}^-$  reacted at the Gate, the electroneutrality in the whole system is preserved.

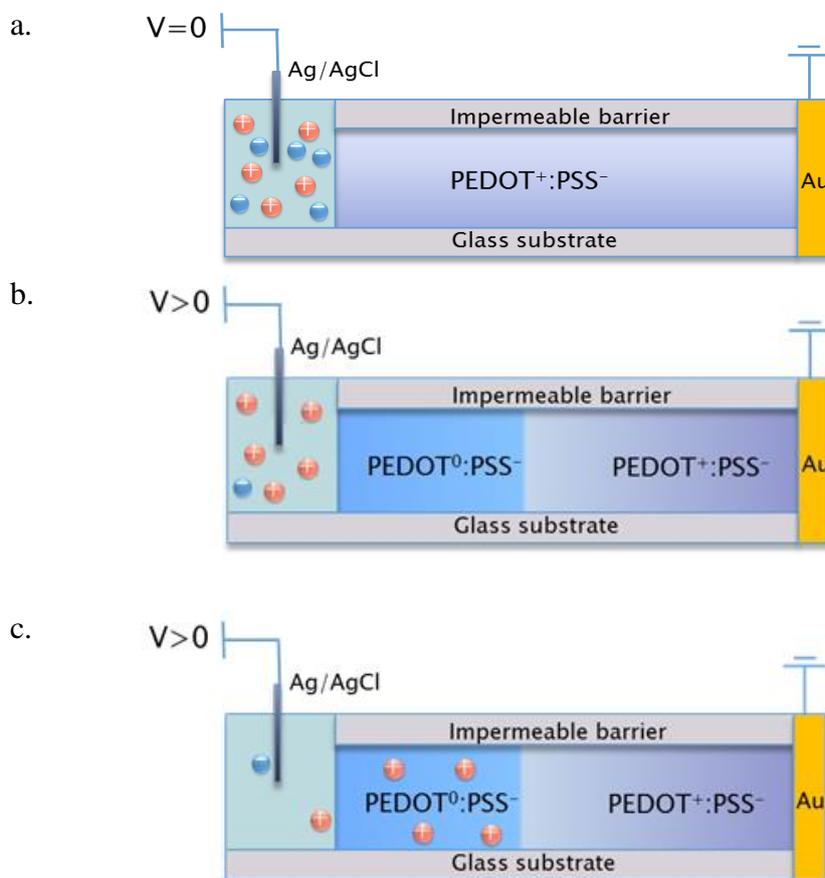


Figure 3.3 – Simplified representation of moving front modeled at different stage: a) Before Source-Gate potential is applied; b) Right after potential application part of PEDOT:PSS layer is reduced, oxidation reaction occurs at Ag/AgCl electrode; c) Excess of neutral charge in the polymer provokes positive  $\text{Na}^+$  to diffuse from electrolyte to restore the charge neutrality in polymer and electrolyte layers

As it was mentioned before, to build up a realistic OEET model it is important to take in account all major processes occurring inside the device under applied potential.

## Electrolyte layer modeling

Our modeling of an OEET starts with that of the electrolyte layer and processes definition, since processes in electrolyte with immersed electrode are well-described in the literature. In the model  $\text{NaCl}$  solution was used as electrolyte. In aqueous environment hydrolysis takes place and two ions are formed:



Where (aq) indicates hydrated ions of  $\text{Na}^{+}$  and  $\text{Cl}^{-}$ .

These ions motion in the electrolyte was modeled by Nernst-Planck equation for  $\text{Na}^{+}$  and  $\text{Cl}^{-}$  ions:

$$J_{Na} = -D_{Na}\nabla c_{Na} - \frac{Fq}{RT}D_{Na}c_{Na}\nabla\varphi \quad (3.2)$$

$$J_{Cl} = -D_{Cl}\nabla c_{Cl} + \frac{Fq}{RT}D_{Cl}c_{Cl}\nabla\varphi \quad (3.3)$$

For the model we are assuming that there is no mechanical stirring or thermal non-equilibrium that is why the last convective term of Nernst-Planck equation is equal to zero.

Local potential in any point of the device is calculated by Poisson-Boltzmann equation takes into account all positive and negative charges concentration:

$$\nabla \cdot \varepsilon \nabla \varphi = -e(c_{Na} - c_{Cl} + c_{PEDOT^{+}} - c_{PSS^{-}}) \quad (3.4)$$

Where  $c_{Na}$ ,  $c_{Cl}$ ,  $c_{PEDOT^{+}}$ ,  $c_{PSS^{-}}$  are the local concentrations of positive  $\text{Na}^{+}$ , negative  $\text{Cl}^{-}$  ions, PEDOT<sup>+</sup> holes generating units and PSS<sup>-</sup> units.

In the electrolyte layer global electroneutrality takes place and Poisson-Boltzmann equation for this part of two-electrode device is:

$$\nabla \cdot \varepsilon \nabla \varphi = -e(c_{Na} - c_{Cl}) \quad (3.5)$$

To maintain system layer global neutrality, several global constraints were put in the system:

- 1) To preserve constant amount of  $\text{Na}^{+}$  in the system:

$$\int_0^W c_{Na} dx = c_0 \cdot W \quad (3.6)$$

Where  $c_0$  is initial  $\text{Na}^{+}$  concentration: 100 mM in the electrolyte layer and 0 mM in conductive polymer layer.

- 2) To preserve global electroneutrality in the electrolyte layer it is necessary that the total concentration of  $\text{Cl}^{-}$  is equal to that of  $\text{Na}^{+}$ :

$$\int_0^{w-d} c_{Na} dx = \int_0^{w-d} c_{Cl} dx \quad (3.7)$$

- 3) To preserve global electroneutrality in conductive polymer layer:

$$\int_{W-d}^W c_{Na} dx = \int_{W-d}^W (c_{0_p} - c_{PEDOT^+}) dx \quad (3.8)$$

where  $c_{0_p}$  is initial  $PEDOT^+$  concentration (which is also the concentration of  $PSS^-$ ).

The set of equation above is successfully describing all processes happen in electrolyte layer for any Organic Electrochemical Transistor. This set of equations should be coupled with another set of equations that is describing conductive polymer layer and a result of de-doping process.

## Electronically conductive polymer layer modeling

Electrically conductive layer is made of  $PEDOT:PSS$  polymer which is electrically neutral due to equal amount of  $PEDOT^+$  and  $PSS^-$  units and equal to number of holes. Since  $PEDOT:PSS$  is a p-type conductor, then the current in this polymer is a hole - current. Even though holes are moving under applied electric field  $PEDOT^+$  and  $PSS^-$  units are immobile. Amount of holes is correlated and almost equal to amount of  $PEDOT^+$  units, so in the equation below  $C_{PEDOT^+}$  is the concentration of hole generated  $PEDOT^+$  units, which is equal to hole concentration.

Knowing that number of  $PEDOT^+$  units is highly dependent from applied potential due to redox reaction:



it is possible to calculate it according to Nernst equation for low concentrations:

$$\varphi = E^0 + \frac{RT}{F} \ln \frac{C_{PEDOT^+}}{C_{PEDOT^0}} \quad (3.10)$$

$$\varphi = E^0 + \frac{RT}{F} \ln \frac{C_{PEDOT^+}}{C_{0_p} - C_{PEDOT^+}} \quad (3.11)$$

Hence:

$$C_{PEDOT^+} = \frac{C_{0_p}}{1 + e^{\frac{F(E^0 - \varphi)}{RT}}} \quad (3.12)$$

Normally the Gate electrode is taken as the reference electrode, but in OECT the Source plays this role; it will lead to the Nernst equation modification:

$$C_{PEDOT^+} = \frac{C_{0_p}}{1 + e^{\frac{F(\varphi - E^0)}{RT}}} \quad (3.13)$$

For proper use of the latter equation it is necessary to estimate  $E^0$ , which is a redox potential of net reaction. So if we are taking in account faradaic process of  $Cl^-$  oxidation on Ag/AgCl the gate electrode. Then net reaction is described by the following equation:



Reaction at the gate electrode would be described by Butler-Volmer equation:

$$J = nFk_B \left[ C_{AgCl} e^{-\left(\frac{\alpha F}{RT}\right)(E^0 - E)} - C_{Cl^-} e^{\left(\frac{(1-\alpha)F}{RT}\right)(E^0 - E)} \right] \quad (3.15)$$

where:  $J$  - electrode current density,  $E$  - electrode potential,  $E^0$  - equilibrium potential,  $T$  - absolute temperature,  $F$  - Faraday constant,  $R$  - universal gas constant,  $\alpha$  - so-called cathodic charge transfer coefficient

To simplify the model global constraint was used, concentration of  $Cl^-$  in electrolyte was assumed to be equal to  $Na^+$  concentration, so the result of the electrochemical reaction on the Gate electrode was modeled, not the reaction itself.

But it is important to know redox potential of the Gate electrode reaction to calculate  $E^0$  – cell redox potential:

$$E_0 = E_{cathode}^0 - E_{anode}^0 \quad (3.16)$$

In case of Ag/AgCl in NaCl (100 mM)  $E_{anode}^0$  is 0 V, for  $PEDOT^+$  reduction reaction  $E_{cathode}^0$  is V, so  $E^0$  is about -0.1 V.

Since PEDOT:PSS layer is conductive it is necessary to consider charge carrier movement:

$$J_{PEDOT^+} = -D_{PEDOT^+} \cdot \nabla c_{PEDOT^+} - \frac{Fq}{RT} D_{PEDOT^+} \cdot c_{PEDOT^+} \cdot \nabla \varphi \quad (3.17)$$

In any case, considering all processes happening in all the layers, global electrical neutrality must be maintained. To understand PEDOT:PSS de-doping process it is necessary to consider that after the reduction of  $PEDOT^+$  there are two possible types for  $Na^+$  distribution in conductive polymer layer:

- 1) According to a widely accepted picture,  $Na^+$  is locally electrostatically attached to  $PSS^-$ , taking exactly the place of  $PEDOT^+$  that was reduced. In this case local neutrality of de-doped conductive polymer layer is preserved as well as global neutrality of the whole system. We call this case the Local Neutrality numerical model.
- 2) It should be also taken in account that an electrostatic force between  $Na^+$  and  $PSS^-$  is small and it could be not enough to stop  $Na^+$  from moving inside the conductive polymer layer, so the ions could drift under applied potential towards the less positive Source electrode. We will call this second possibility the Global Neutrality numerical model.

Both of these two models could have a rational physical explanation, to understand which one of the models is the closest to the real situation in OECT comparison of the modeled profiles with experimental data was made.

## No ion penetration (EGOFET-like) model

Before developing any model including ion penetration from electrolyte and its movement inside conductive polymer layer it is better to take a look at simple reference model. For Organic Electrochemical Transistor this type of reference model could be Electrolyte Gated organic Field Effect Transistor[93] (EGOFET) model.

EGOFET is a transistor that operates in accumulation mode; similarly to the OECT it consists of two layers: one ionically conductive and one electronically conductive. The difference between these two types of transistors is that in EGOFET ions do not penetrate from ionically conductive layer into the electronically conductive medium; rather, they accumulate on its surface, creating an electrical double layer. (Figure 3.4)

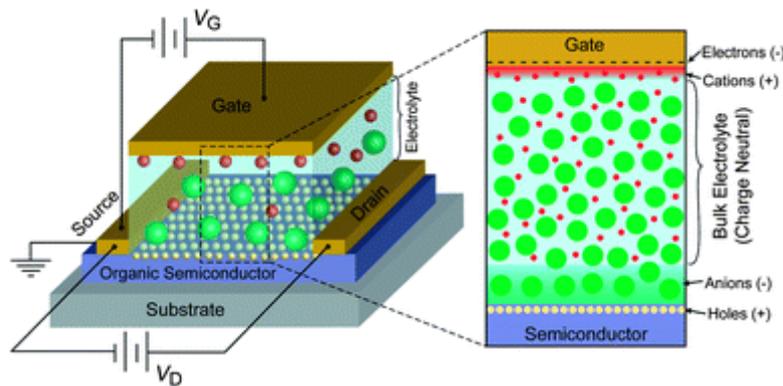


Figure 3.4 – Electrolyte Gated Field Effect Transistor structure[93]

In this type of transistor there is no charge transfer between ions and electronically conductive material. Studying this model and comparing it with ion-penetrating case would allow to understand deeper the effect of penetrated ions on conductive polymer properties.

One-dimensional structure was modelled, equal to what represented in Figure 3.4, with 900 nm layer of NaCl as electrolyte and 100 nm layer of PEDOT:PSS as a conductive polymer. In this model the concentration of the electrolyte was 100 mM, no reaction at the gate and no ion penetration inside conductive polymer layer were assumed. Influence of ions on the conductivity of conductive polymer layer was due to accumulation of the ions at the electrolyte-polymer interface. Nevertheless the concentration of PEDOT:PSS is local potential-dependent according to the Nernst equation (3.20) .

Poisson-Boltzmann equation for the electrolyte layer writes:

$$\nabla \cdot \varepsilon \nabla \varphi = -e(C_{Na} - C_{Cl}) \quad (3.18)$$

And for the conductive polymer layer:

$$\nabla \cdot \varepsilon \nabla \varphi = -e(C_{PEDOT^+} - C_{PSS}) \quad (3.19)$$

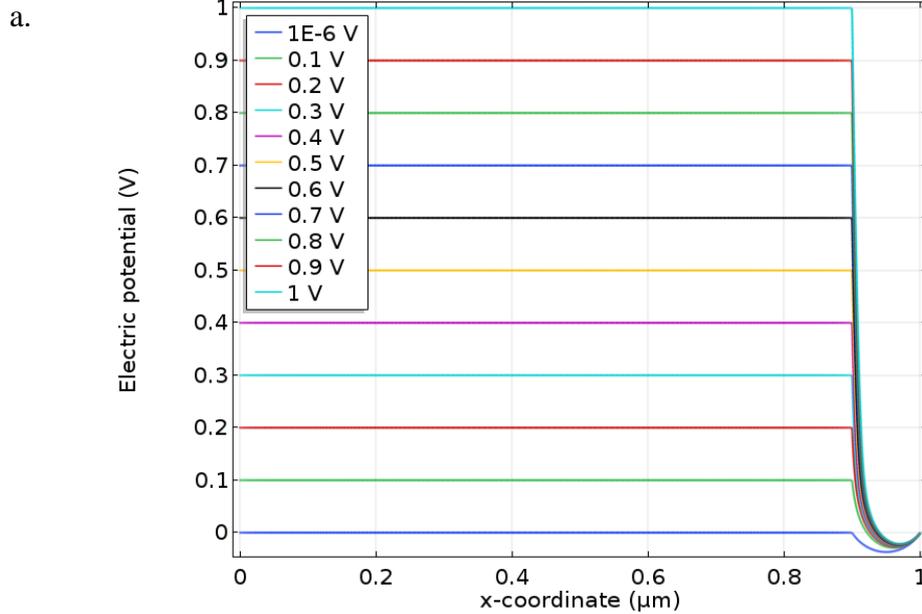
Concentration of  $PEDOT^+$  is calculated according to Nernst equation:

$$C_{PEDOT^+} = \frac{C_{0_p}}{1 + e^{\frac{F(\varphi - E^0)}{RT}}} \quad (3.20)$$

Concentration of  $Na^+$  ions, as well as  $PSS^-$  is constant in the system, but to preserve the global electroneutrality and account for the reaction on the gate electrode  $Cl^-$  concentration is calculated so, that:

$$\int_0^{L-d} C_{Cl^-} dx = C_{0_e} \cdot (L - d) - \int_{L-d}^L (C_{0_p} - C_{PEDOT^+}) dx \quad (3.21)$$

Combining equations (3.18-3.21) numerical calculations were performed. As a result potential and concentration profiles along the device were calculated. In Figure 3.5 the potential profile along device and along conductive polymer layer is represented. In Figure 3.5a small drop of the potential could be identified near the Gate electrode due to existing double layer, then there is a flat equipotential region inside electrolyte; and main drop of the potential occurs inside conductive polymer layer, more precisely near the interface between the two conductive layers. In Figure 3.5b it could be seen that potential drops slightly below 0 and then goes to 0 at the source electrode. This could be explained by  $PEDOT^+$  reduction to  $PEDOT^0$  under applied potential near the electrolyte-polymer interface. Since there is no charge neutrality, deficiency of  $PEDOT^+$  and uniform distribution of  $PSS^-$  would be in charge of such a potential distribution.



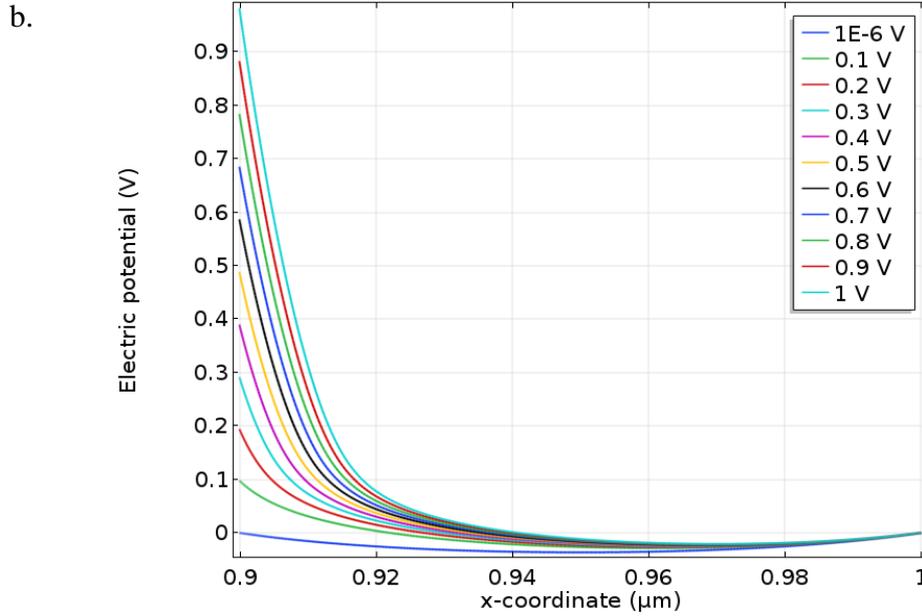


Figure 3.5 – Steady state EGOFET-like model potential profile inside PEDOT:PSS layer at various applied Gate-Source potentials (from  $10^{-6}$  to 1 V): a) Along whole device (electrolyte and conductive polymer layers); b) Along conductive polymer layer

Figure 3.6 represents the PEDOT<sup>+</sup> concentration profile resulting of the applied potential. It can be seen that in case of no ion penetration inside conductive polymer layer reduction of PEDOT<sup>+</sup> occurs at the interface with electrolyte. The reduced part of PEDOT:PSS layer widening when the applied potential is increasing. Nevertheless it is evident that even at relatively high applied potential (1V) only a small part, about 20%, of the channel will be reduced. Due to such a small influence of applied potential on PEDOT<sup>+</sup> concentration this model couldn't be used to describe moving front experiment results and Organic Electrochemical Transistor behavior.

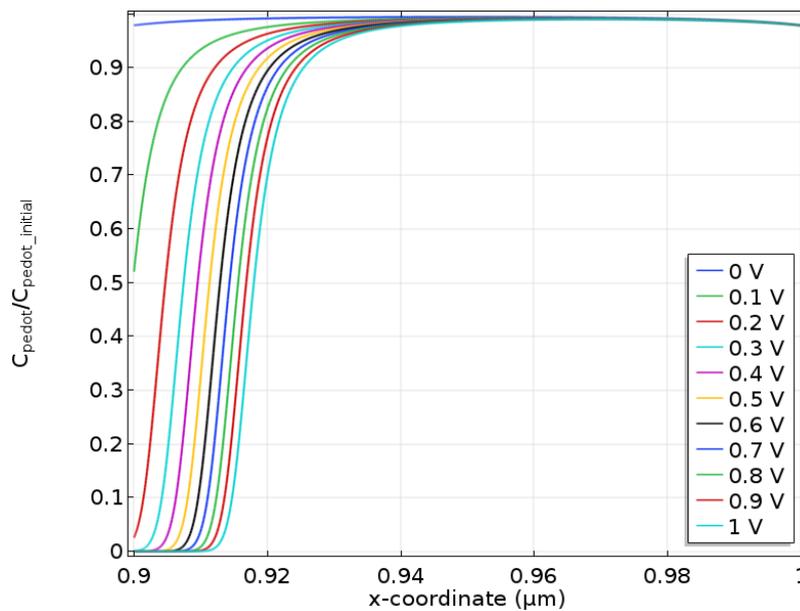


Figure 3.6 – Steady state EGOFET-like model positive species normalized PEDOT<sup>+</sup> concentration profile inside PEDOT:PSS layer at different applied Gate-Source potentials (from 0 to 1 V)

Even though this model is not suitable for an OECT description it could serve as a reference to the other models in terms of identifying the effect of ions that are penetrating inside PEDOT:PSS layer. It is also the proof that ions that penetrate are increasing the efficiency of dedoping and exactly this effect allows an OECT operation at small applied potential comparing to the other types of transistors.

## Local neutrality model

As it was mentioned before in case of local neutrality  $\text{Na}^+$  intercalated to conductive PEDOT:PSS layer took exactly the place of reduced  $\text{PEDOT}^+$  ion. So local charge density would be equal to zero:

$$c_{\text{Na}} + c_{\text{PEDOT}^+} - c_{\text{PSS}} = 0 \quad (3.22)$$

Taking in account that  $\text{PSS}^-$  concentration always constant and equal to  $c_{0,p}$  local concentration of  $\text{Na}^+$  ions is:

$$c_{\text{Na}} = c_{0,p} - c_{\text{PEDOT}^+} \quad (3.23)$$

Knowing the  $\text{PEDOT}^+$  local concentration from the Nernst equation, it is easy to find the local concentration of sodium ions:

$$c_{\text{Na}} = c_{0,p} - \frac{c_{0,p}}{1 + e^{\frac{F(\varphi - E^0)}{RT}}} \quad (3.24)$$

According to Poisson-Boltzmann equation local potential will be dependent on  $\text{PEDOT}^+$  concentration  $\text{PSS}^-$  concentration and concentration of entered  $\text{Na}^+$ :

$$\nabla \cdot \varepsilon \nabla \varphi = -e(c_{\text{Na}} + c_{\text{PEDOT}^+} - c_{\text{PSS}}) \quad (3.25)$$

In case of local neutrality:

$$\nabla \cdot \varepsilon \nabla \varphi = 0 \quad (3.26)$$

$$\nabla E = 0 \quad (3.27)$$

Where  $E$ - is the applied electric field

Taking in account all the equations and constraints mentioned above numerical model was made. Figure 3.7a represents the potential profile along the device from source to drain dependent on applied potential. Enlarged part Figure 3.7b of this figure for conductive PEDOT:PSS channel. As expected there is a small potential drop near the Gate electrode due to electrolyte double layer. The main drop of the potential occurs in conductive polymer layer, this drop of the potential is linear which fits perfectly expected zero electric field gradient profile, mentioned above.

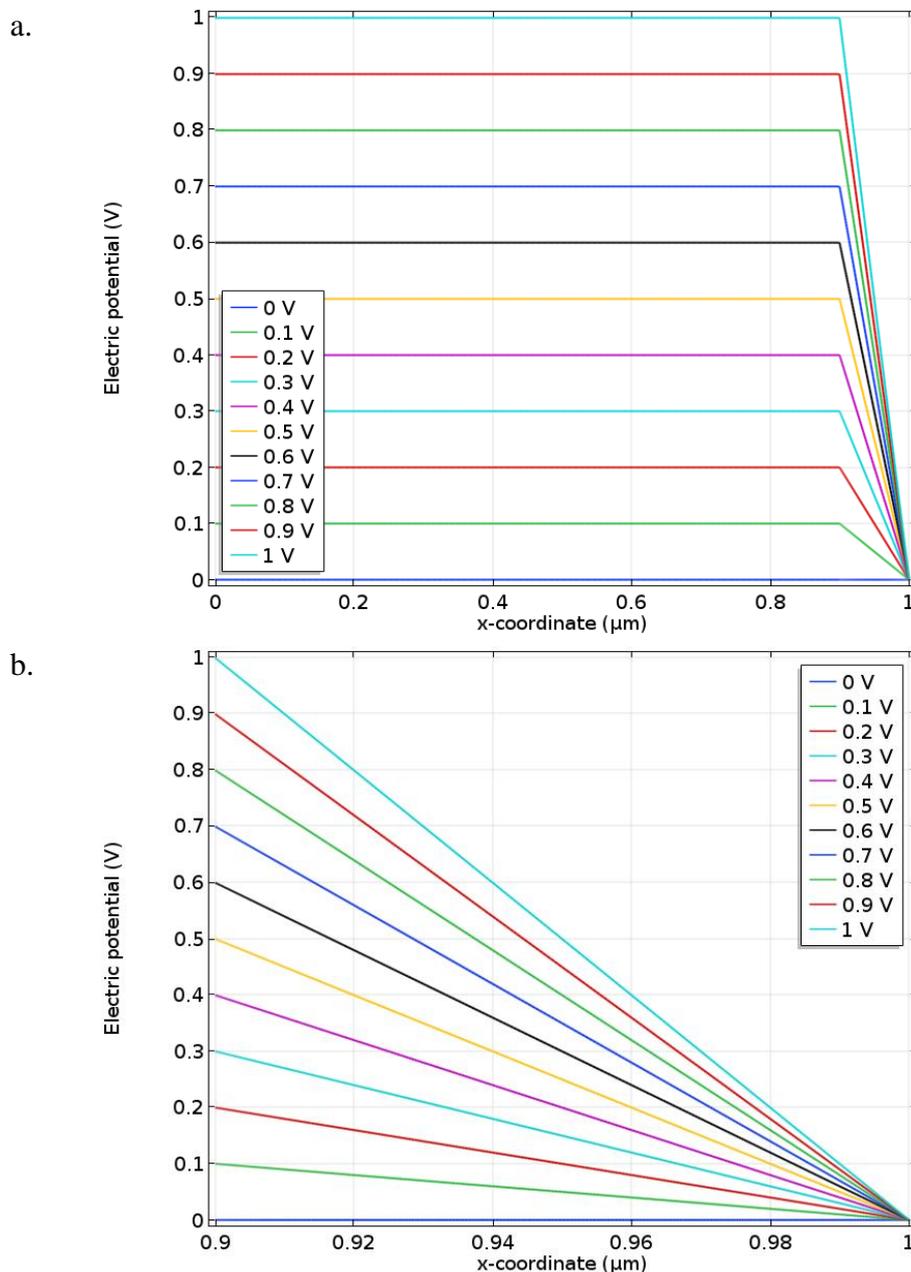


Figure 3.7 – Steady state local electroneutrality model potential profile inside PEDOT:PSS layer at different applied Gate-Source potentials (from 0 to 1 V): a) Along whole device (electrolyte and conductive polymer layers); b) Along conductive polymer layer

Figure 3.8a represents the concentration profile of  $\text{PEDOT}^+$  along the channel, as it was expected, concentration of  $\text{PEDOT}^+$  along the channel is decreasing with applied Gate potential, and the shape of this decrease highly resembles moving front experiment profile[91]. Since according to the local model  $\text{Na}^+$  ions are injected directly into the channel and locally replace reduced  $\text{PEDOT}^+$  ions, then Figure 3.8b represents exactly the expected  $\text{Na}^+$  concentration plot.

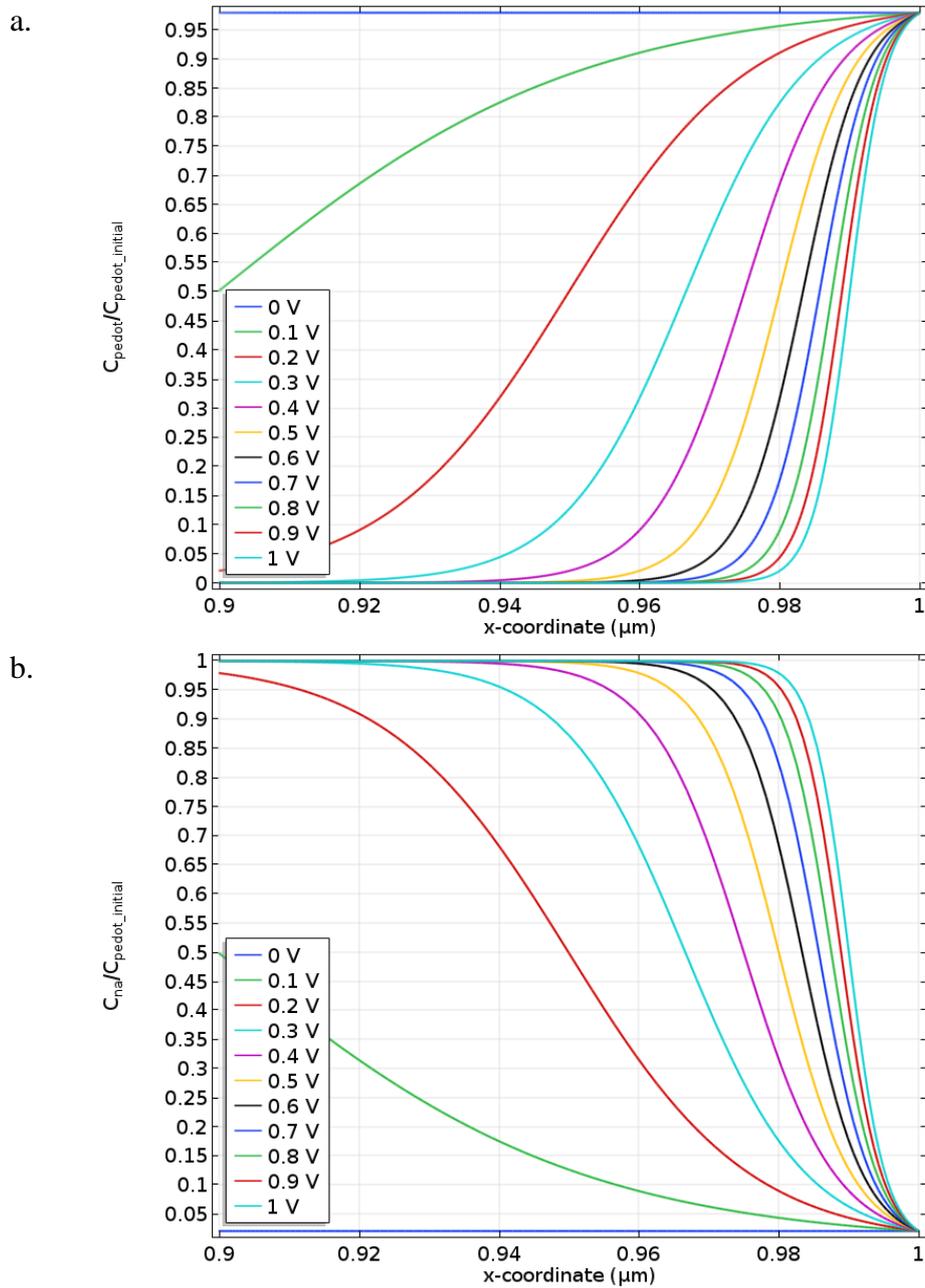


Figure 3.8 – Steady state Local electroneutrality model positive species normalized concentration profile inside PEDOT:PSS layer at different applied Gate-Source potentials (from 0 to 1 V): a) PEDOT<sup>+</sup> concentration profile; b) Na<sup>+</sup> concentration profile

The concentration profile suggests a behavior similar to that occurring in the Moving front experiment, that is, the establishment of a frontier between a undoped region, near the electrolyte, and the doped region near the source electrode. However, it must be pointed out that we are here dealing with a steady state model, so the front does not move with time, but instead with the applied gate-source voltage. To the best of our knowledge, no experimental data in the literature would confirm or reject such a prediction.

# Global neutrality model

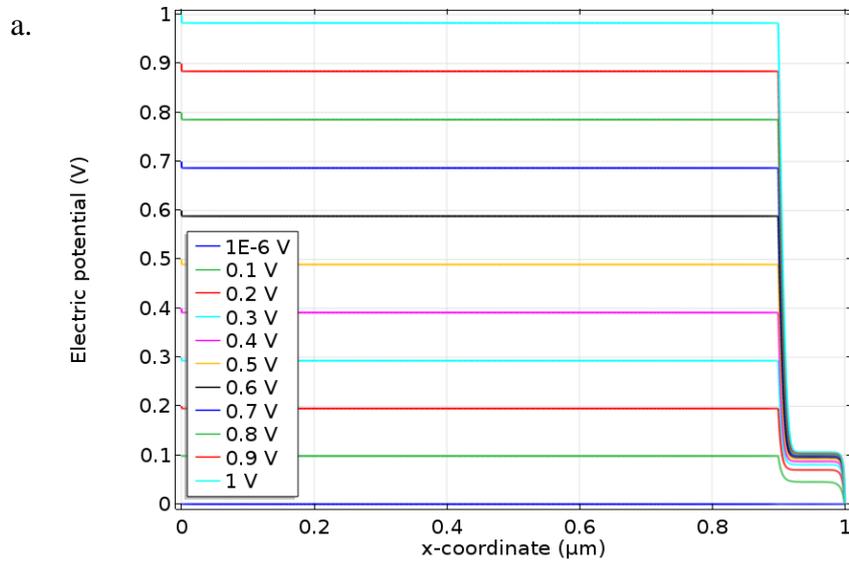
The second model made is Global neutrality model. In this model  $\text{Na}^+$  ions penetrating into PEDOT:PSS layer from an electrolyte are not considered to be locally trapped near the  $\text{PSS}^-$  units, par contrary they are considered to be freely moving inside the conductive polymer layer. Since global neutrality is assumed take place in conductive polymer layer, global amount of  $\text{Na}^+$  in PEDOT:PSS layer is equal to global amount of reduced PEDOT. So global amount of  $\text{Na}^+$  is defined by the third constraint and local concentration is defined by the Poisson-Boltzmann and the Nernst-Planck equations:

$$\int_{W-d}^W c_{\text{Na}} dx = \int_{W-d}^W (c_{0p} - c_{\text{PEDOT}^+}) dx \quad (3.28)$$

$$\nabla \cdot \varepsilon \nabla \varphi = -e(c_{\text{Na}} + c_{\text{PEDOT}^+} - c_{\text{PSS}}) \quad (3.29)$$

$$J_{\text{Na}} = -D_{\text{Na}} \nabla c_{\text{Na}} - \frac{Fq}{RT} D_{\text{Na}} c_{\text{Na}} \nabla \varphi \quad (3.30)$$

Figure 3.9 represents the results of Global neutrality model implementation. Figure 3.9a represents the potential profile along the whole device and Figure 3.9b the potential profile along conductive PEDOT:PSS layer.



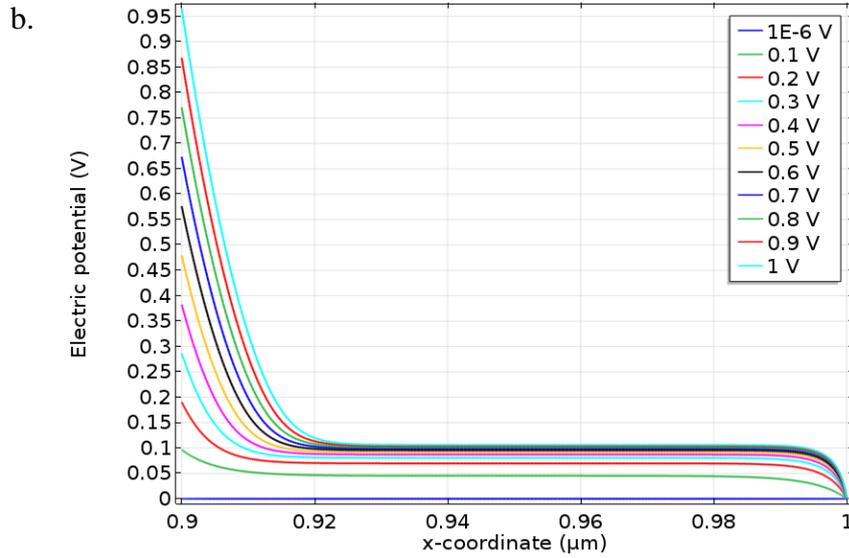
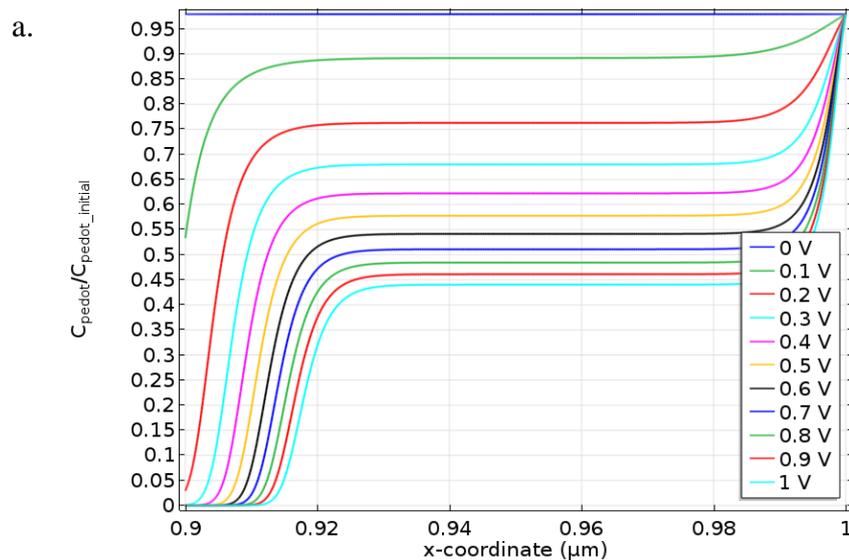


Figure 3.9 – Steady state local electroneutrality model potential profile inside PEDOT:PSS layer at different applied Gate-Source potentials (from  $10^{-6}$  to 1 V): a) Along whole device (electrolyte and conductive polymer layers); b) Along conductive polymer layer

From the Figure 3.9 it is possible to notice the small potential drop in electrolyte-Gate interface due to electrolyte double layer effect, but the main drop occurs in conductive polymer layer, in particular in the part of PEDOT:PSS which is close to electrolyte- polymer interface. The second big potential drop occurs near an interface between conductive polymer and Source electrode. In the middle, in the most part of the conductive layer potential profile is flat and it saturates at 0.1 V for all applied Gate-Source potentials from 0 V to 1 V.

Figure 3.10 - represents local concentration profiles of  $\text{PEDOT}^+$  and  $\text{Na}^+$  in conductive polymer layer. It is possible to notice that concentration and potential profiles in conductive polymer layers are very different from Local neutrality model.



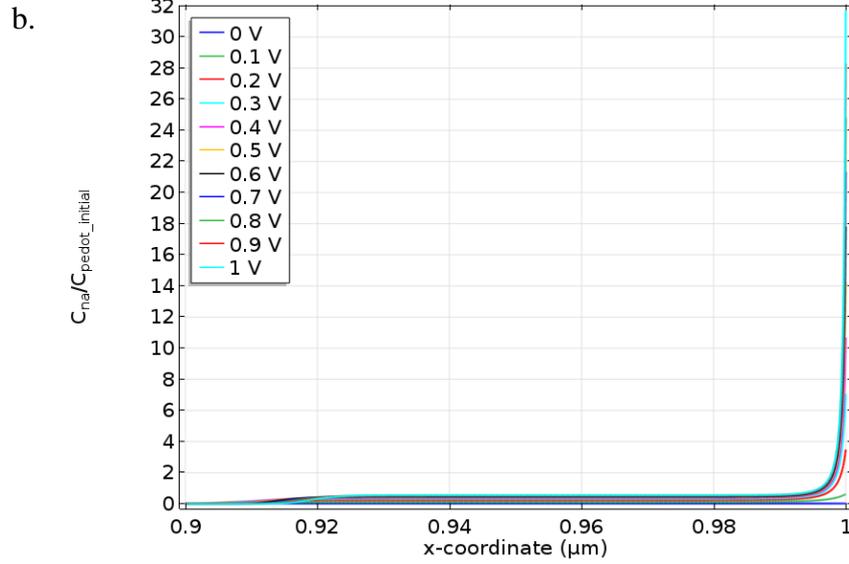


Figure 3.10 – Steady state Global electroneutrality model positive species normalized concentration profile inside PEDOT:PSS layer at different applied Gate-Source potentials (from 0 to 1 V): a) PEDOT<sup>+</sup> concentration profile; b) Na<sup>+</sup> concentration profile

From these two concentration profiles and potential profile there could be clearly defined three parts (Figure 3.11) where the concentrations of ions are very different. Difference in concentration is represented by the local normalized charge concentration value  $C$ :

$$C = \frac{c_{PEDOT^+} - c_{PSS^-} + c_{Na^+}}{c_0} \quad (3.31)$$

Where  $c_0$  is the initial local concentration of PEDOT<sup>+</sup> and PSS<sup>-</sup> in the conductive polymer layer.

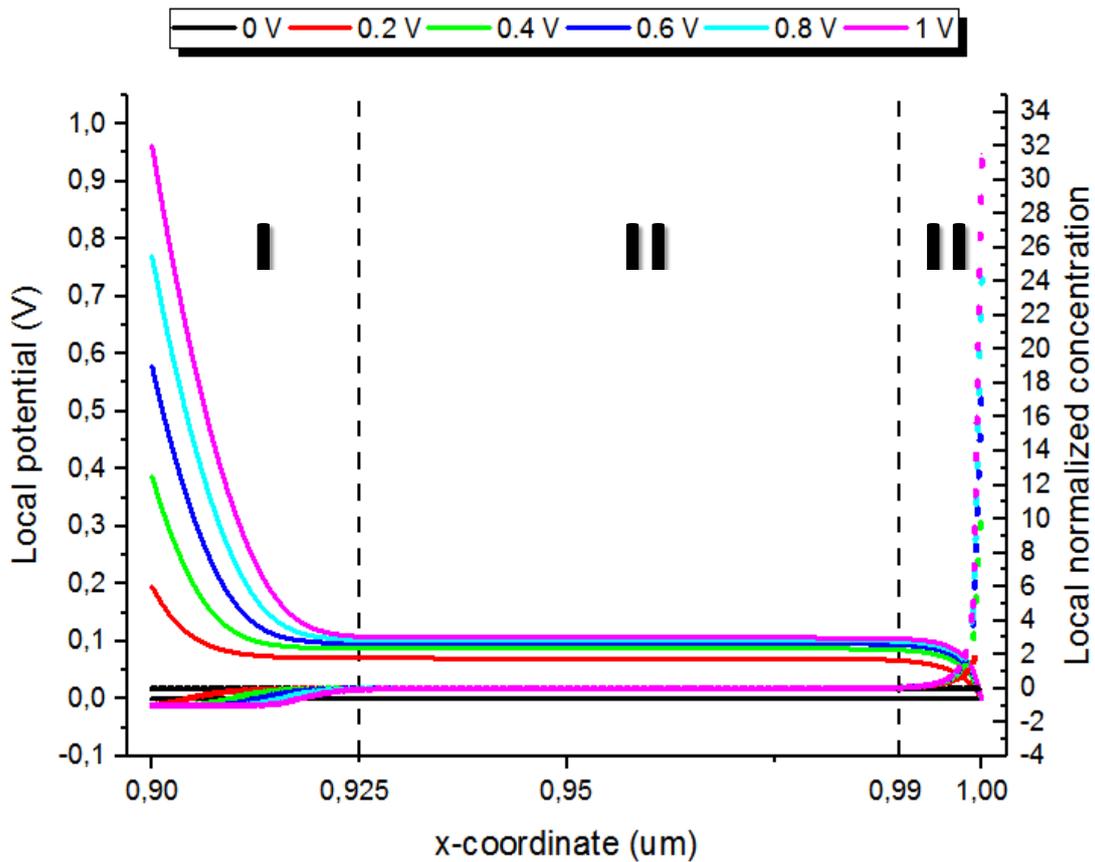


Figure 3.11 – Local normalized ion concentration (dotted line) and potential profile (continuous line) in PEDOT:PSS layer for Global neutrality model. I- locally negative region; II- locally-neutral region; III- locally-positive region

- 1) Part I: Close to the electrolyte-polymer interface. Drop of the potential is dramatic, big drop of  $\text{PEDOT}^+$  concentration, it reaches 0 mM for potentials more than  $\text{PEDOT}^+$  reduction potential  $E^0=0.1$  V. In the same time  $\text{Na}^+$  concentration is very low too, close to the interface it is almost 0 mM. Low positive charge concentration and constant  $\text{PSS}^-$  concentration means that this region is locally electronegative, that is why the drop of potential is very dramatic.
- 2) Part II: Most of the conductive polymer layer in the center. Potential profile as well as  $\text{Na}^+$  and  $\text{PEDOT}^+$  concentration profiles are flat, the sum of  $\text{PEDOT}^+$  and  $\text{Na}^+$  local concentrations is equal to  $\text{PSS}^-$  concentration, so this region is assumed to be locally quasi-neutral.
- 3) Part III: Close to polymer-Source interface. In this near electrode region  $\text{PEDOT}^+$  concentration drops from the initial concentration till the constant value in the central region. From the point of local concentration: in this region  $\text{PEDOT}^+$  concentration reaches the highest values and  $\text{Na}^+$  concentration is very high, so this region is the locally electropositive region.

Note that, at variance with the Local Neutrality model, there is no moving front in this case. Instead, the polymer layer is almost uniformly doped or de-doped.

## Current calculation from one-dimensional model

It is possible to calculate current drain inside OECT using one dimensional model and gradual channel approximation. Gradual channel approximation is successfully used for current calculation in most Field-Effect Transistors (FETs), including Field-Effect Transistors (OFETs)[94], Metal–Oxide–Semiconductor FETs (MOSFET) and also in Organic Electrochemical Transistors[47]. Gradual channel approximation assumes a monotonic decrease of the potential along the channel. In case of Organic Electrochemical Transistor it means that potential is gradually changing from  $V_{GS}$  (at the Source) to  $V_{GS} - V_{DS}$  (at the drain). Gradual channel approximation includes also the assumption that in the device the current is flowing only due to holes drift under applied electric field and diffusion current is negligible. So the current in the channel should be calculated according to the drift component of the Nernst-Planck equation:

$$j = -\frac{Fq}{RT} D_{PEDOT^+} \cdot c_{PEDOT^+} \cdot \nabla\varphi \quad (3.32)$$

$$D_{PEDOT^+} = \frac{\mu_{PEDOT^+} k_B T}{q} \quad (3.33)$$

$$\sigma = q c_{PEDOT^+} \cdot \mu_{PEDOT^+} \quad (3.34)$$

where  $\sigma$  is PEDOT:PSS conductivity. Putting together all three equations above and assuming that the potential is gradually changing only along the channel (x-axes) and knowing that conductivity of the material is potential-dependent:

$$j = -\sigma(\varphi) \frac{d\varphi}{dx} \quad (3.35)$$

Obtained equation is one of the Ohm's law representations.

$$j dx = -\sigma(\varphi) d\varphi \quad (3.36)$$

$$\int_0^L j dx = -\int_{V_{GS}}^{V_{GS}-V_{DS}} \sigma(\varphi) d\varphi \quad (3.37)$$

$$I_D = j W d = -\frac{W d}{L} \int_{V_{GS}}^{V_{GS}-V_{DS}} \sigma(\varphi) d\varphi \quad (3.38)$$

where W, d and L are width, thickness and length of OECT channel

According to the latter equation to calculate the drain current for Drain-Source potential from 0V to -1V and Gate-Source potential from 0V to 1V, it is necessary to know the conductivity of the channel at applied potential from 1V to 2V (Figure 3.12).

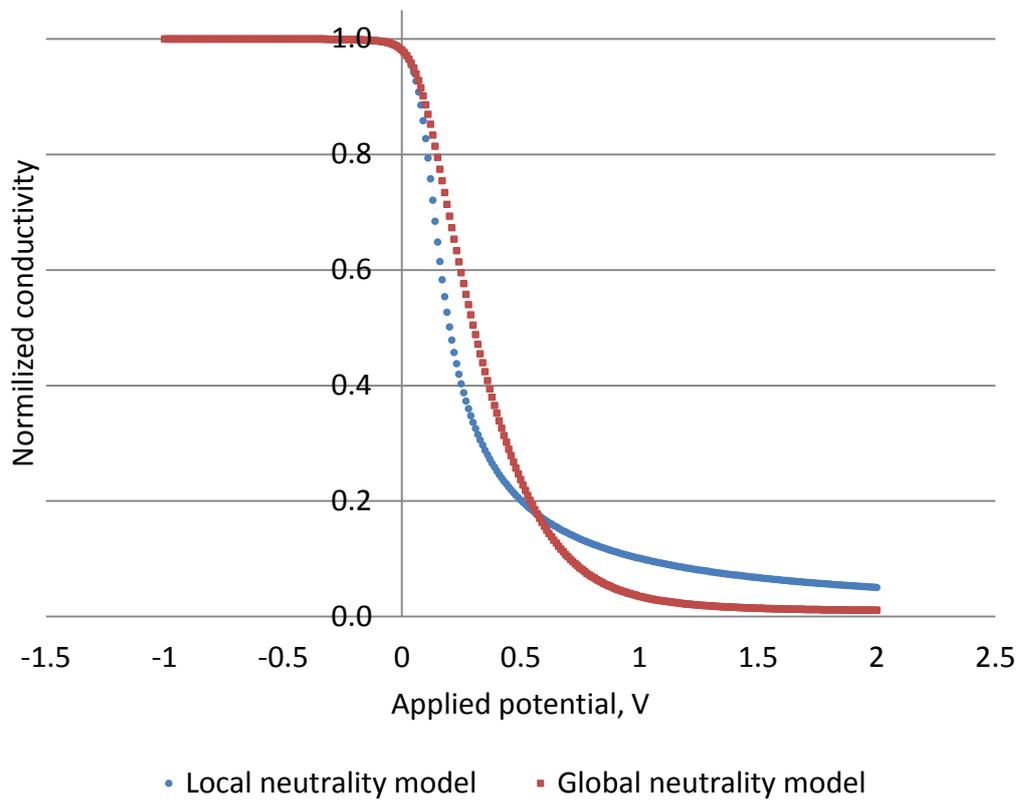


Figure 3.12 – PEDOT:PSS layer conductivity dependence on applied potential for Global neutrality model (red curve) and local neutrality model (blue curve)

Knowing the conductivity of the layer dependent on applied potential and using the gradual channel approximation, it is easy to calculate the current in OECT channel. Modeled transistor structure was:  $d=100$  nm,  $W=1$  mm,  $L=1$   $\mu$ m. In this model initial PEDOT<sup>+</sup> and PSS<sup>-</sup> density was  $c_0=10^{18}$  cm<sup>-3</sup> and constant mobility  $\mu=1\cdot 10^{-5}$  m<sup>2</sup>/V·s was assumed. To compare two models output (Figure 3.13a,d) and transfer (Figure 3.13b,c) curves were build. From the output curves Figures 3.13a,b is clearly seen the difference in I-V profile for local and global neutrality models:

- 1) In Global Neutrality model current reaches saturation for high applied Drain-Source potential in the whole range of applied Gate-Source potentials. By contrast local neutrality model doesn't show this, typical for the real devices behavior [61].
- 2) In Global Neutrality model drain current decrease is more pronounced and highly dependent on applied Gate-Source potential. In Local Neutrality model current is decreasing with applied Gate-Source potential, but even for high potential Drain current is not completely switched off, but still present with the value equal to about 20% of initial drain current, before Gate-Source potential was applied. From this point of view, devices show more similarities with the behavior of Global Neutrality model then the Local one.

Figures (3.13c,d) represent output curves for the two models. These curves have a well-pronounced S-shape in both cases; highest current is achieved when the applied Gate-Source potential is negative. This type of profile matches the real Organic Electrochemical Transistor output characteristics.

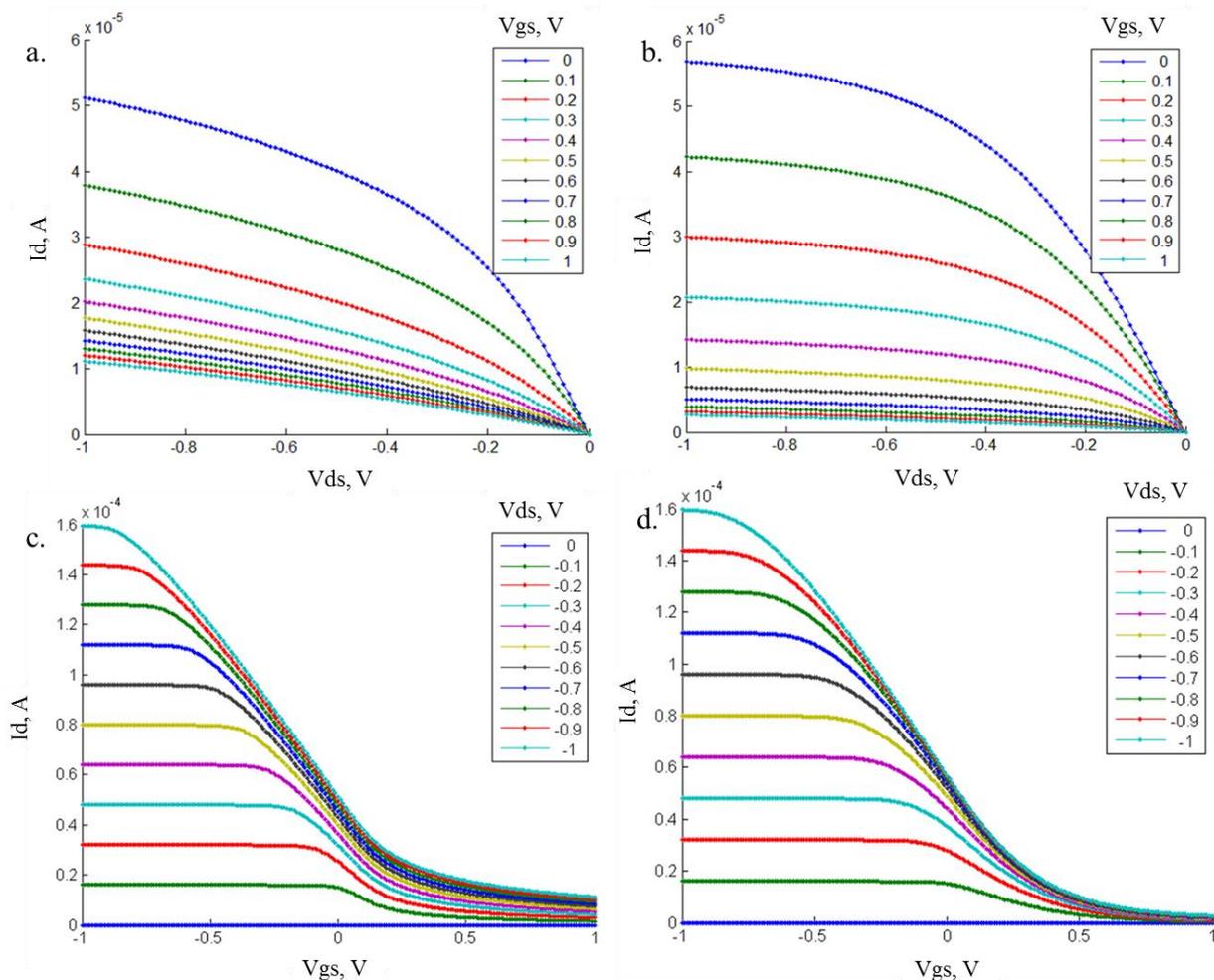


Figure 3.13 – Drain current profiles calculated from Local neutrality (a, c) and Global neutrality (b, d) models: a, b) Transfer characteristics: Drain current dependence on applied Drain-Source potential for different Gate-Source potentials; c, d) Output characteristics: Drain current dependence on applied Gate-Source potential for different Drain-Source potentials

Figures above are represented to show general difference in transfer and output curves for any transistor modeled in high range of applied potentials, taking in account Global or Local electroneutrality model. Of course to compare the result of these two models and real transistor behavior it is necessary to use experimentally obtained I-V profiles, real device geometries and characteristics.

## Two-dimensional modeling

One dimensional model is very useful for ion propagation and moving of de-doping front investigation, but it is necessary to remember that the Organic Electrochemical Transistor is a three electrode device. To model the device more precisely, not taking an assumption of gradual channel approximation and to investigate the charge distribution under applied Source-Drain and Source-Gate potentials it two-dimensional model was built. The first model was built according to the concept of Global Electroneutrality. Schematic representation of the real device and the structure of modeled device are given in Figure 3.14.

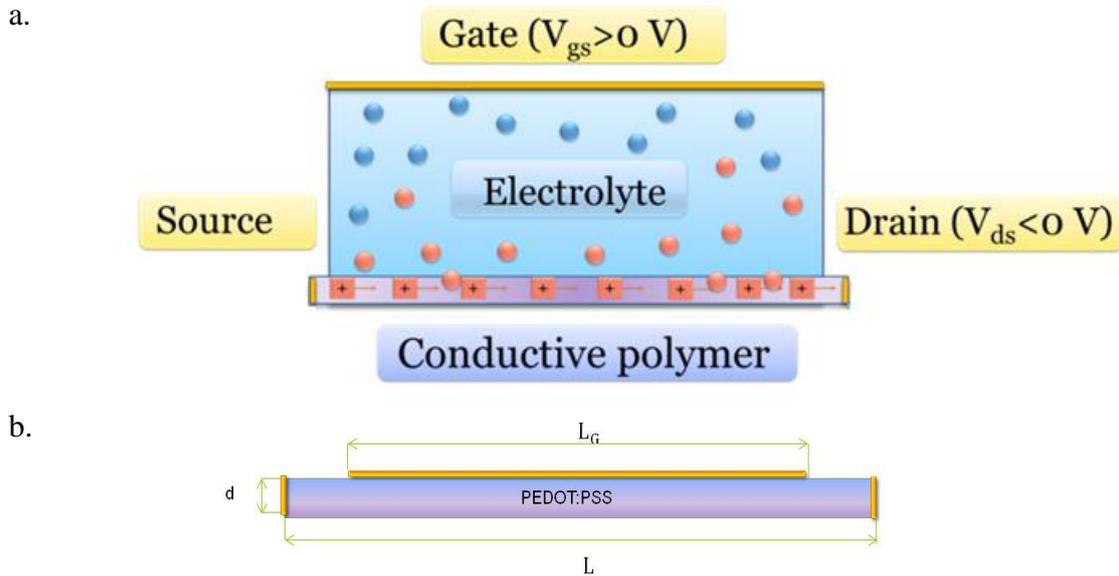


Figure 3.14 – Organic Electrochemical Transistor schematic two-dimensional structure: a) Real device structure with three electrodes and two conductive layers: electrolyte and conductive polymer; b) Modeled device structure with suppressed electrolyte layer

In case of 2D model it is necessary to define the channel of the device. The channel of OECT is the part of PEDOT:PSS layer which is located under the electrolyte layer, so for numerical model and the structure represented at the Figure 3.14b the channel is having the length  $L_G$  which is also equal to the length of the gate electrode, it was modelled this way to get rid of effects related to ununiformed gating of the device. In the model the electrolyte covers 80% of PEDOT:PSS channel length, so  $L_G = 0.8 \cdot L$ , where  $L$  is the length of conductive polymer layer. Importantly the thickness  $d$  of the channel is equal to that of PEDOT:PSS layer. This is at variance with the conventional field-effect transistor, where the channel reduces to a very thin layer close to the semiconductor-insulator interface (or the semiconductor-electrolyte interface in the case of the EGOFET).

Since the main potential drop occurs inside conductive polymer layer, to make the model simpler it is possible to get rid of the electrolyte layer, while still taking in account the effect of ions penetrating from electrolyte layer inside PEDOT:PSS layer. In this case the effect of ions doesn't change, it is still possible to calculate their concentration in conductive polymer layer and see the de-doping of the layer. In this purpose, because the following hypotheses were assumed:

- 1) Only  $\text{Na}^+$  ions penetrate inside the PEDOT:PSS layer, their global amount being equal to that of  $\text{PEDOT}^+$  that was reduced as a result of de-doping process.
- 2) Global charge neutrality is preserved inside the conductive polymer layer.

Like in the case on one-dimensional models the result of modeling was quite different in each case, and to understand this difference it is necessary to look at the result of two models separately first.

In the following, we will restrict to the Global Neutrality model.

The Global Neutrality model was taken in account through Equations 3.28-3.31 extended to the two-dimensional geometry shown in Figure 3.15. A typical result of the calculation is displayed at the Figure 3.15 which shows the potential profile along the whole PEDOT:PSS layer at  $V_{ds} = -0.5$  V and  $V_{gs} = 0.5$  V. We recall that the the simulation was performed at steady-state.

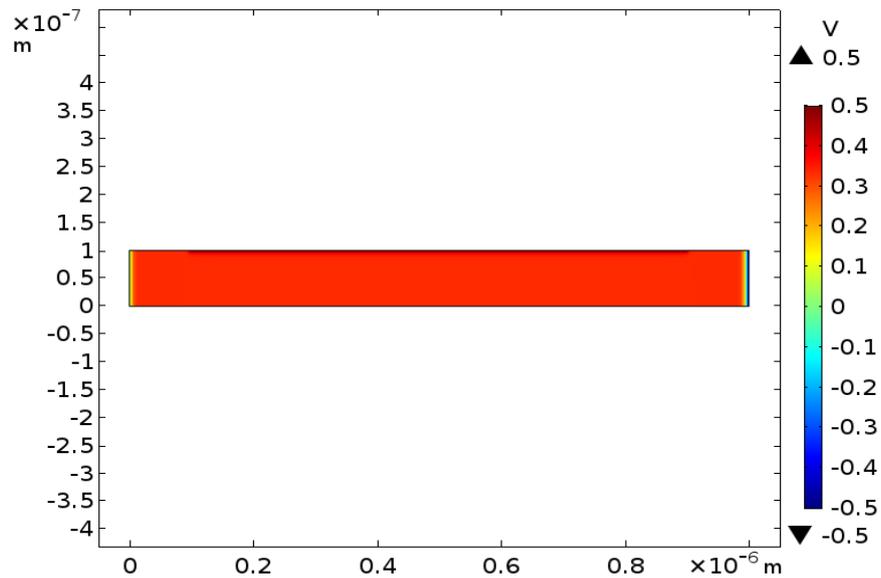


Figure 3.15 – Potential profile inside PEDOT:PSS layer at  $V_{ds} = -0.5$  V and  $V_{gs} = 0.5$  V for the steady state global electroneutrality two dimensional model

From the figure above we see that most of the channel is found to be under a constant potential with a value of about 0.35 V, and only near the contacts the potential is changing to become equal to the Source local potential (0 V) at Source, Drain local potential (-0.5 V) at Drain and Gate local potential (0.5 V) at the electrolyte interface.

To understand better how the potential profile inside OECT changes with applied  $V_{gs}$  the local potential was calculated along two cut lines. The first one was made parallel to the y-axis (perpendicular to the polymer-electrolyte interface) and cut PEDOT:PSS layer by the middle (Figure 3.16). The second was also cutting the PEDOT:PSS layer in the middle, but parallel to x-axis, parallel to the polymer-electrolyte interface (Figure 3.17a). Figures 3.17b and 3.17c represent the PEDOT<sup>+</sup> and Na<sup>+</sup> normalized concentration profiles for the second cut line.

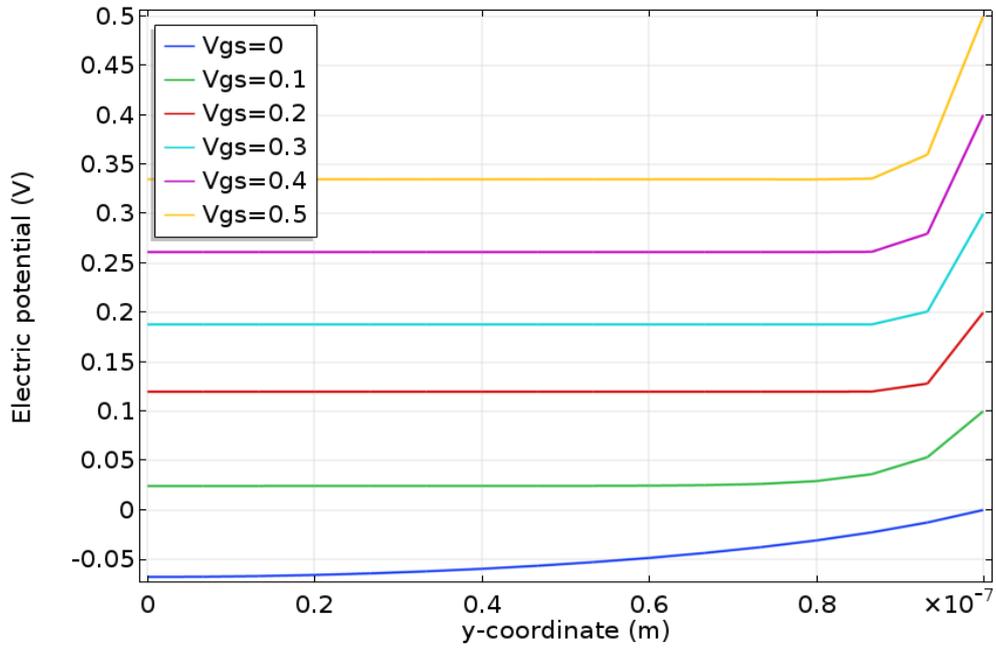
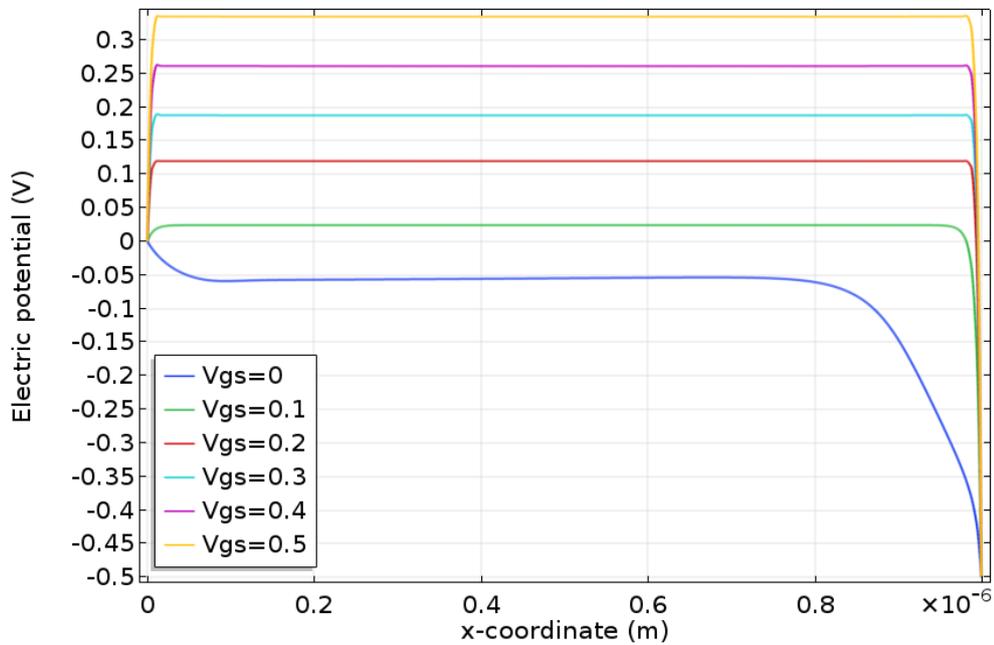


Figure 3.16 – Potential profile inside PEDOT:PSS layer at different applied at  $V_{gs}$  (in volts) and  $V_{ds} = -0.5$  V. Cut line is parallel to  $y$ -axis, cuts PEDOT:PSS layer in the middle

In two dimensional model, as well as in one dimensional one, de-doping takes place due to redox reaction in the channel and penetration of  $\text{Na}^+$  ions, so that the most significant potential drop occurs near the gate electrode (right hand side), while the potential is stable in the rest of the channel.

a.



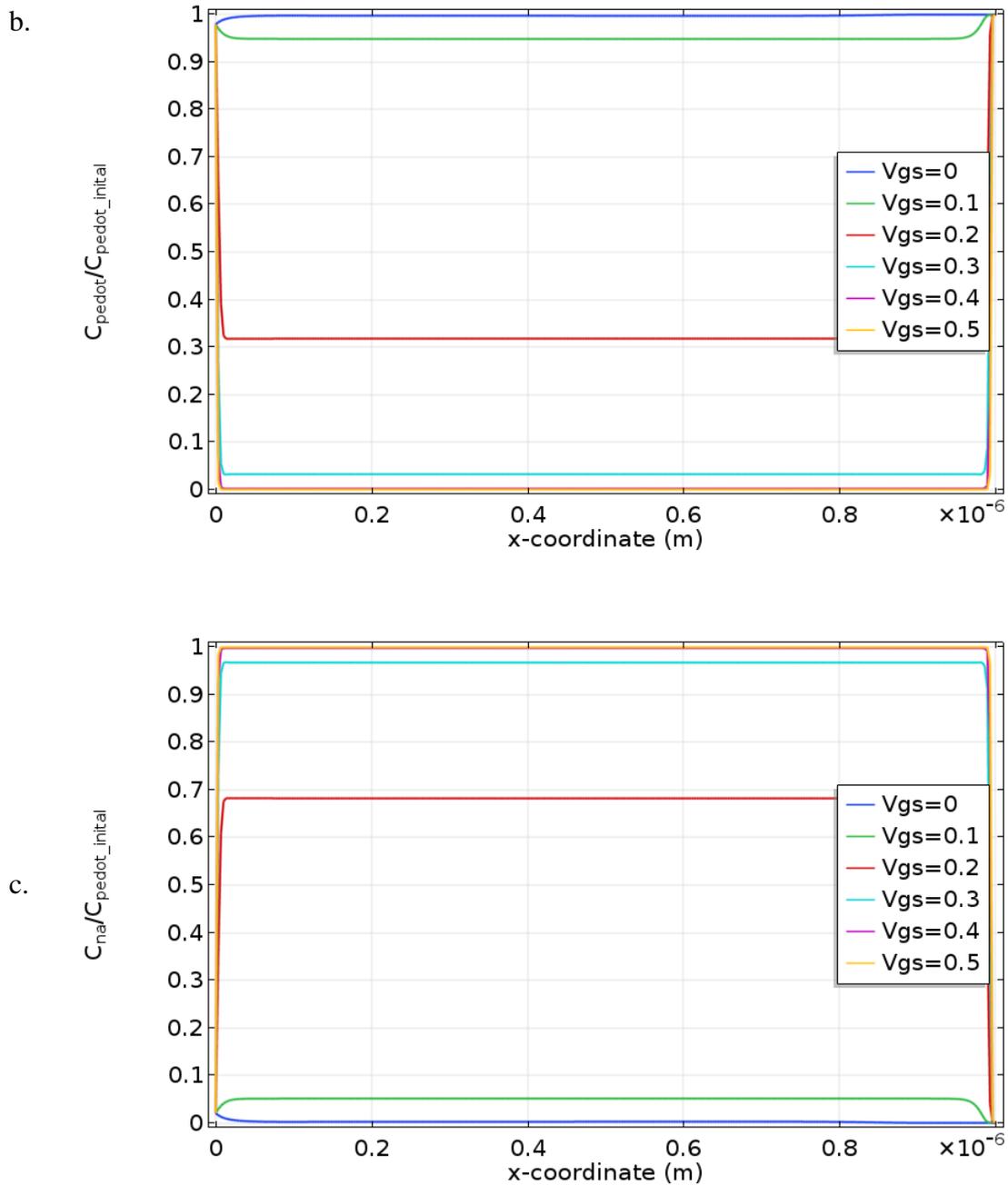


Figure 3.17 – Potential and concentration distribution in the channel at different applied  $V_{gs}$  (in volts) and  $V_{ds} = -0.5$  V. Cut line is parallel to x-axis, cuts PEDOT:PSS layer in the middle: a) Potential profile inside PEDOT:PSS; b) PEDOT<sup>+</sup> normalized concentration profile; c) Na<sup>+</sup> normalized concentration profile

Figure 3.17a. shows that the local potential increases from 0 V at the left source electrode to the constant value along the most part of PEDOT:PSS layer, then it drops again at the right-hand side, near the Drain. Such a behavior is not consistent with the fact that in reality, there is a hole current flowing from source to drain, which would imply the potential to monotonically vary between source and drain (as inferred e.g. in the gradual channel approximation.) Actually, the existence of this source-drain current would modify the potential profile (and in turn the concentration profiles) by introducing ohmic voltage drops through the polymer layer. To resolve this problem, one would introduce an additional steady-state current flowing from source to drain. Unfortunately COMSOL Multiphysics software makes the solution of this problem highly non-trivial, so probably the most

appropriate result would be still given by one dimensional model combined with a gradual channel approximation approach.

Similar results were obtained for the local neutrality model at steady-state.

## From numerical simulation to analytical modeling

Numerical model is a very powerful instrument that could be used not only to describe the system and the processes inside, but also to build up simple analytical models. These models would allow not only to describe the device, but to also to characterize it by extracting parameters and more important predict its output in case of parameters changing.

In case of Organic Electrochemical Transistors conductivity curves were build up (Figure 3.18) for both Local Neutrality and Global Neutrality models. By fitting these curves to known analytical equation it is possible to build up a semi-empirical analytical model for OECT. The prominent S-shape of the conductivity curve allows supposing that this curve would fit the best to one of logistic functions. A set of logistic functions was used to perform the normalized numerically calculated conductivity fit with Origin 3.2 software from OriginLab. One of the best fits for both Global and Local neutrality models was obtained for Boltzmann function. (Figures 3.18a,b)

Boltzmann function has the following form of analytical equation:

$$y = b + \frac{a - b}{1 + e^{\frac{x-d}{c}}} \quad (3.39)$$

In the case of the implementation of this equation to numerical models fitting b would be equal to the minimum conductivity and (a-b) to the maximum conductivity of PEDOT:PSS layer.

As a result of fitting process to Global neutrality model a full set of variables with a very small standard error was obtained, in case of Local neutrality model the fit also converged, but the standard error appeared to be a bit higher (Table 3.1). In both fitting processes the Coefficient of Determination  $R^2$  was estimated. This coefficient can take values from 0 to 1 and allows to statistically determine how well the function approximates the real data points. The closer  $R^2$  to 1, the better is the obtained fit.

Parameters	Global neutrality model	Local neutrality model
	Standard Error, %	
a	0.215	0.359
b	7.455	3.047
d	0.662	1.488
c	1.371	2.798
	$R^2$	
	0,998	0,994

Table 3.1 – Parameters obtained as a result of Boltzmann logistic function fitting to Global and Local neutrality numerically modelled data

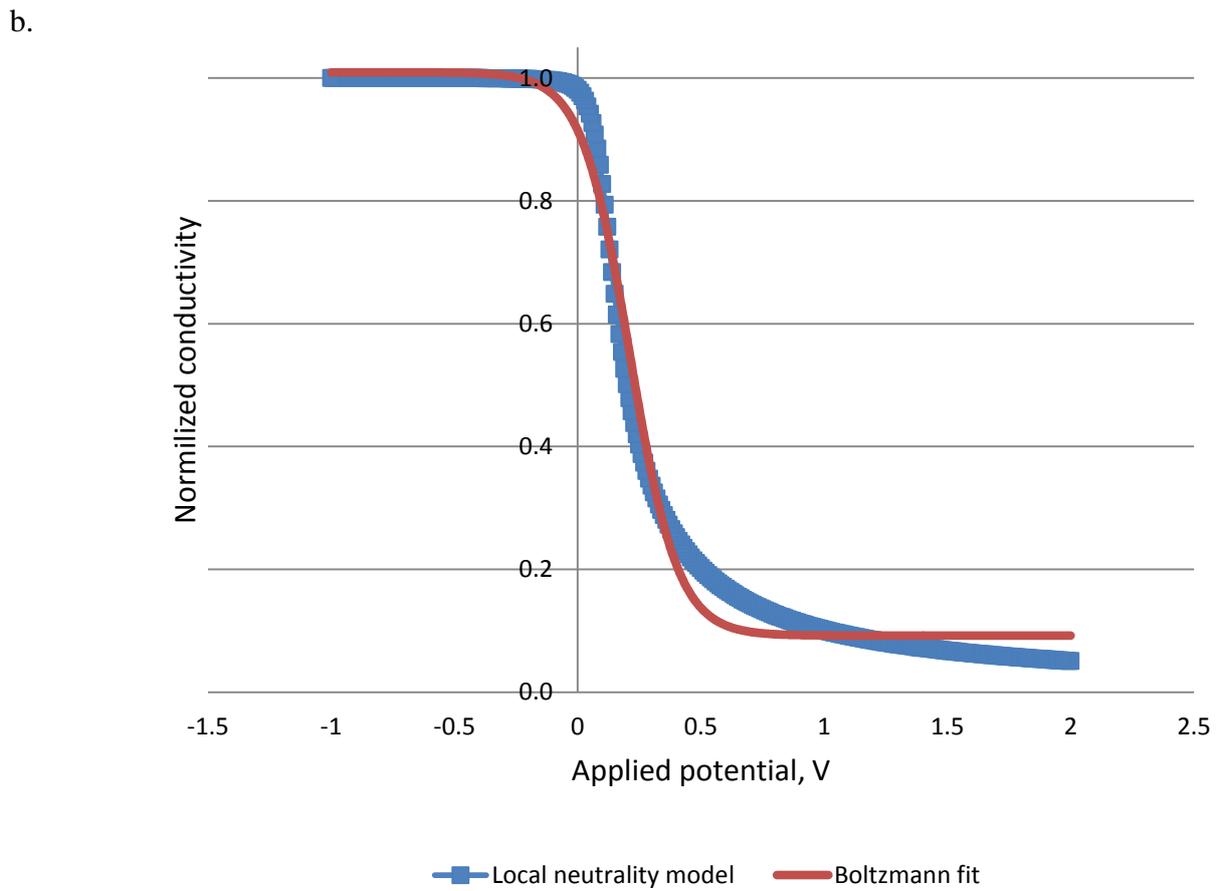
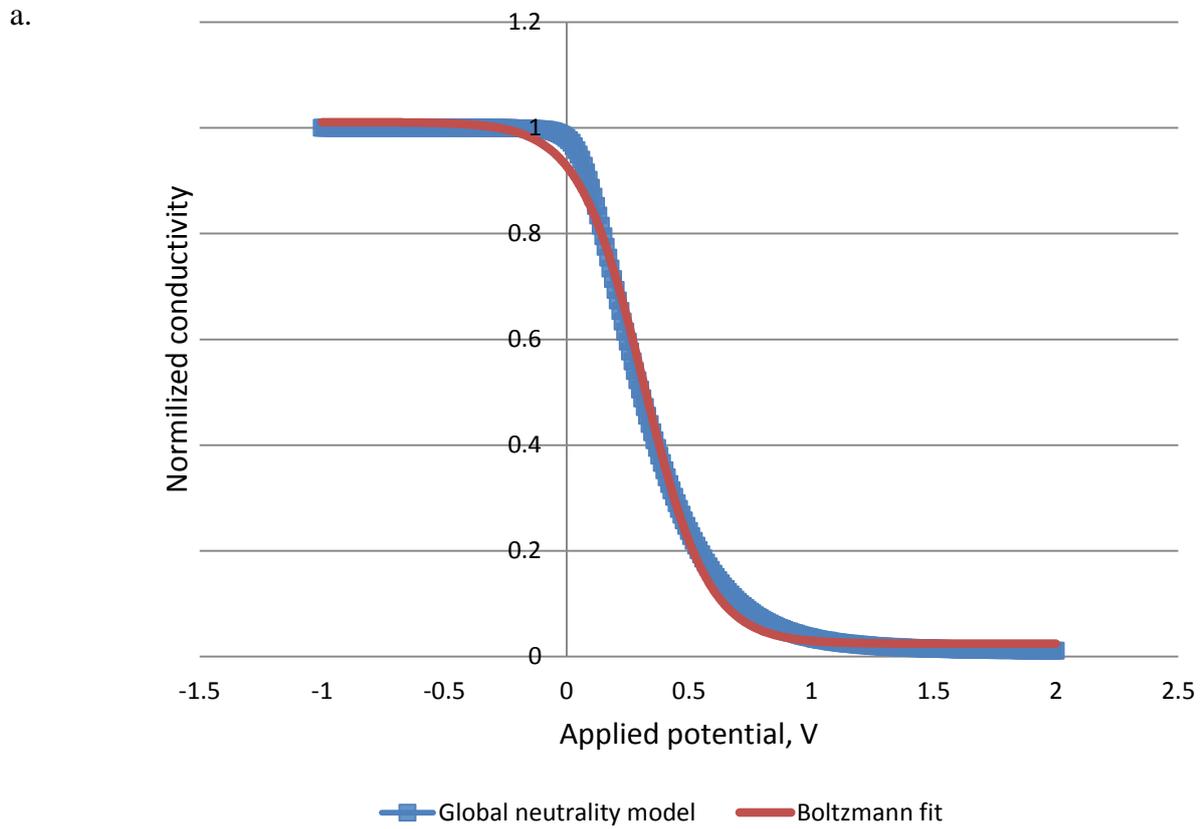


Figure 3.18 – Numerically calculated (blue squared) and fitted using Boltzmann function (red curve) normalized conductivity dependence from applied potential obtained for: a.) Global neutrality model; b.) Local neutrality model

As a result Boltzmann function appeared to fit better the normalized conductivity curve simulated with Global neutrality assumption, even though that the Standard error for the parameter  $b$  is 7.455 %, the resulted Coefficient of Determination  $R^2$  is equal to 0,998 which means that the quality of fit is high. In case of Local neutrality model Standard Error for all parameters are not higher than 3.1% , which is coupled with high Coefficient of Determination  $R^2=0.994$  means that the fit is good. All results mentioned above allow concluding that Boltzmann function could be successfully used for analytical modelling of Organic Electrochemical transistor in case of both Local or Global neutrality assumptions.

Even though Boltzmann function gives a good result in terms of fitting it is worth trying to search for other functions. In case obtained numerical models another function was found to fit well the positive part of obtained conductivity-potential curve. This function is called Hill logistic function and it has a following analytical representation:

$$y = b + \frac{(a - b)}{1 + \frac{x^n}{k^n}} \quad (3.40)$$

In this case  $a$  is equal to the maximum conductivity  $b$  is the minimum conductivity of PEDOT:PSS channel. On the Figure 3.19 at the bottom Hill function fit to both numerical models is presented. Hill function shows sufficiently good fit to both n Global and Local neutrality numerical models normalized conductivity curve (Table 3.2).

Parameters	Global neutrality model	Local neutrality model
	Standard Error, %	
a	0	0
b	11.043	3.252
k	0.479	0.518
n	1.07	0.989
	$R^2$	
	0,998	0,998

Table 3.2 – Standard error for different parameters fit for Global and Local Neutrality models

It could be noticed that even though in case of Global Conductivity model for parameter  $b$  Standard Error looks quite high, Coefficient of Determination  $R^2$  is equal to 0.998 for both cases, which means a very high quality of the fit. The reason for this contradiction could be that initially  $b$  which is equal to the minimum of conductivity is orders of magnitude smaller than  $a$ , so it is almost negligible, which means that even 11.043 % of Standard Error has almost no effect on resulting fitting.

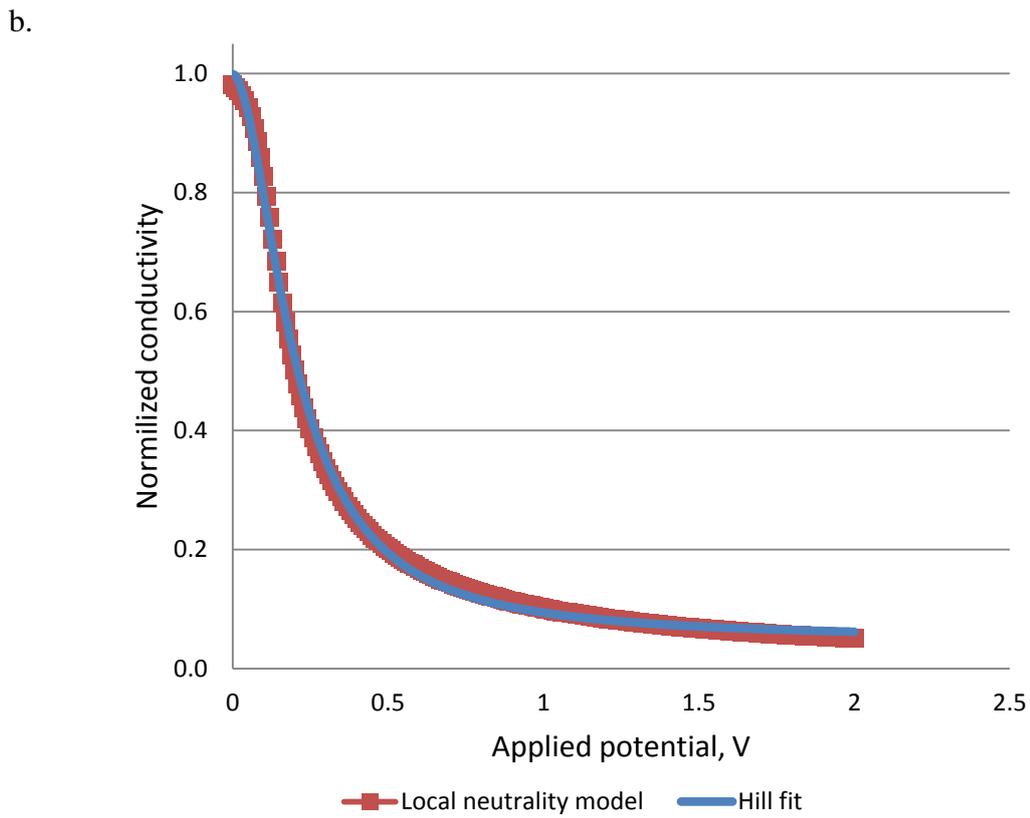
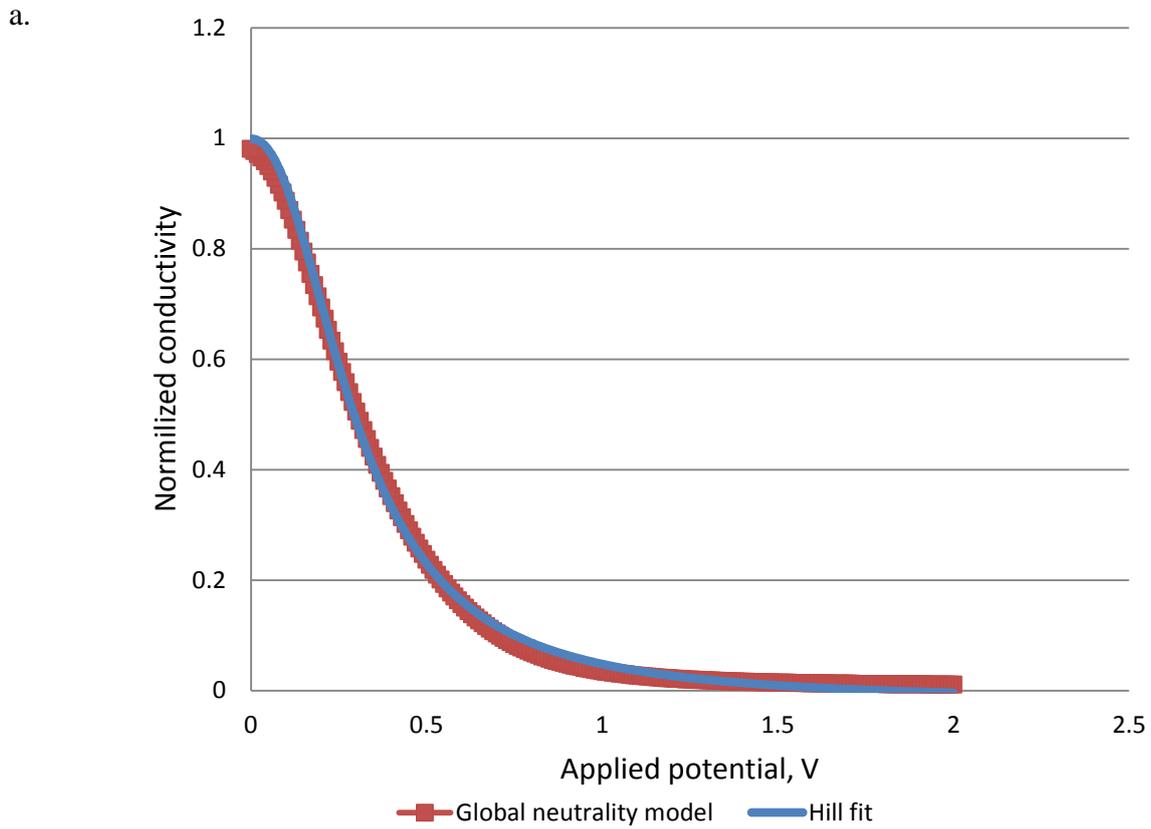


Figure 3.19 – Numerically calculated (red squared) and fitted using Hill function (blue curve) normalized conductivity dependence from applied potential obtained for: a.) Global neutrality model; b.) Local neutrality model

It is also worth mentioning that Boltzmann function used as a fitting function has, with no doubts, another important advantage: it could be used to fit whole conductivity curve including the negative potential area. This advantage makes Boltzmann function to be the best candidate to be used for an analytical modelling.

## Conclusion

There is no doubt about lack of theoretical understanding of Organic Electrochemical Transistor working principle. In this chapter the most important from already existing models were described. Then several types of new numerical models were built, based on the empirical observation, already existing theoretical models and using electrochemical laws for de-doping process descriptions. It was also proven that even though that the origin of de-doping process in PEDOT:PSS is purely electrochemical and could be explained using classical Nernst equation. Never the less it is absolutely necessary to take in account the movement and redistribution of electrolyte ions inside conductive polymer. Depending on different ions distribution assumptions two numerical model were made: Global Neutrality model and Local Neutrality model.

In the second part of the chapter two models were precisely described. It was also shown that despite the fact that Organic Electrochemical Transistor is a three terminal device and two dimensional model would be probably the most suitable option, it is hard to implement it and get the reasonable result without taking in account modification of the local potential due to current that is passing throughout the PEDOT:PSS channel. So it was decided to model the whole device as one dimensional structure with just two electrodes and two conductive layers. This approach allowed not only modeling the Moving Front experiment, but also build up the conductivity profile of PEDOT:PSS layer. This profile was used together with the gradual channel approximation to calculate the Drain current for both of numerical models. These profiles contained the evidence that it is more likely that Global Neutrality model describes the processes in the OECT more realistically.

In the last part of the chapter it was shown how to move from numerical model to parametric analytical model using to types of logistics equations: and Hill equation. It was also shown that even if both equations were fitting well the sigmoidal numerically modelled conductivity curve, Boltzmann equation is more suitable for the future parameter extraction.

To sum up in this chapter Organic Electrochemical Transistor was not only modelled numerically, which allowed to understand the chemical and physical processes inside the real device, but also the possibility of parametric analytical model creation based on this numerical model was shown. This type of transition allows going from pure theoretical description to the practical real device parameter extraction.

## CHAPTER 4. ANALYTICAL MODELING

Several analytical models have been recently developed to describe the operation of an OEET, predict the device response and analyze the experimental observations of the device. [45-47] However these models do not present an equal degree of precision in case of different OEET geometries and potentials applied. To fully understand the working principle of OEETs and predict the device behavior it is absolutely necessary to establish more precise models.

The analytical model described here shows a good fit to the experimentally obtained S-shaped curves. From the models there could be extracted a set of very important device parameters, such as: maximum conductivity of PEDOT:PSS layer, intrinsic charge carrier density, initial hole density, initial PSS<sup>-</sup> concentration and conductive polymer layer volumetric capacitance. Knowing these parameters it is possible to calculate the current for any given set of gate and drain potentials.

### Boltzmann Logistic Parametric analytical function

Organic Electrochemical Transistors are normally “on” devices in which the current flowing from the Source to the Drain is the maximum when the Gate-Source potential is negative. The conductivity of the channel is controlled by a doping-dedoping process, so by electrolyte ions penetration from electrolyte to conducting polymer. It is difficult to theoretically estimate the dependence of the conductivity on the Source-Gate potential, because it depends not only on the charge carrier density, but also on mobility, which could also be charge carrier density dependent.[95, 96]

The channel conductivity  $\sigma$  being assumed to be uniform over the whole thickness of conductive polymer layer, it is possible to estimate source-drain current as 1D problem. Uniform conductivity corresponds to the Global Neutrality model developed in Chapter 3.

By Ohm’s law:

$$j_d = \sigma E \quad (4.1)$$

Where  $j_d$  is the Drain current density and  $E$  is the electric field. This law can be rewritten in terms of the local voltage  $V$ :

$$j_d = -\sigma(V) \frac{dV}{dx} \quad (4.2)$$

Current conservation law allows rewriting the latter equation as follows:

$$j_d \int_0^L dx = j_d L = - \int_{V_{gs}}^{V_{gs}-V_{ds}} \sigma(V) dV \quad (4.3)$$

Where  $L$  is the length of the conductive channel between source and drain,  $V_{gs}$  source-gate voltage and  $V_{ds}$  drain-source voltage.

Assuming that  $W$  is the width of the channel and  $d$  is the channel thickness it is possible to

calculate drain current:

$$I_d = j_d W d = -\frac{W d}{L} \int_{V_{gs}}^{V_{gs}-V_{ds}} \sigma(V) dV \quad (4.4)$$

To go further, we use Boltzmann function that was previously obtained from analytical expression fitting to numerical model for voltage dependent conductivity. It is necessary to say this type of expression was mentioned to be good in describing PEDOT:PSS conductivity dependence from applied potential, in a recent publication by McGarry and Tarr[97]. According to this, an analytical equation for conductivity is:

$$\sigma(V) = \sigma_{min} + \frac{\sigma_{max}}{1 + \exp\left(\frac{V - V_{off}}{V_0}\right)} \quad (4.5)$$

Here  $\sigma_{min}$  and  $\sigma_{max}$  are the minimum and maximum values of conductivity,  $V_0$  is the stiffness transition coefficient between conductive and insulating states and  $V_{off}$  is offset voltage due to initial conducting polymer doping level. Maximum conductivity is the conductivity of conducting polymer layer in the steady-state and at  $V_{gs}=0$  V.

Combining equations (4) and (5) we obtain the following expression:

$$I_d = \frac{W d}{L} \left( \sigma_{min} V_{ds} + \sigma_{max} V_0 \ln \frac{1 + \exp\left(\frac{V_{gs} - V_{off}}{V_0}\right)}{1 + \exp\left(\frac{V_{gs} - V_{ds} - V_{off}}{V_0}\right)} \right) \quad (4.6)$$

Because the minimum conductivity of completely reduced channel  $\sigma_{min}$  is much smaller than the maximum conductivity of completely oxidized channel  $\sigma_{max}$  it is possible to neglect the first term in the brackets and obtain the following equation for the drain-source current:

$$I_d = \frac{\sigma_{max} V_0 W d}{L} \ln \frac{1 + \exp\left(\frac{V_{gs} - V_{off}}{V_0}\right)}{1 + \exp\left(\frac{V_{gs} - V_{ds} - V_{off}}{V_0}\right)} \quad (4.7)$$

## Analytical function fitting

To check whether the analytical model reflects the reality correctly it is necessary to perform the analytical model fitting to sets of experimental results. The analytical model was tested on transistors with various channel dimensions:  $d=16\div 199$  nm,  $W=5\div 25$   $\mu$ m,  $L=25\div 250$   $\mu$ m, where  $d$ ,  $W$  and  $L$  are the thickness, width and length of the channel respectively. The transistors were made with PEDOT:PSS as conductive polymer and 0.1 M NaCl as electrolyte, gold source and drain electrodes and Ag/AgCl gate electrode. Experimental data was taken for a drain-source voltage

equal to -0.5 V.

Normally drain current profile, dependent on applied Gate-Source and Drain-Source potential, is obtained as a result of Organic Electrochemical Transistors electric measurements.

These experimental current - voltage profiles were fitted to Equation 4.7. In this case, there are three unknown parameters that need to be extracted from the fit: a maximum conductivity  $\sigma_{\max}$ , a stiffness transition coefficient  $V_0$  and an offset potential  $V_{\text{off}}$ . First of all three point fit was performed using the Solve Block construction of PTC Mathcad software. A unique set of  $\sigma_{\max}$ ,  $V_0$  and  $V_{\text{off}}$  parameters was obtained as a result of solution of the system of equations. This set of parameters was then used as an initial guess to perform more precise fitting to the experimental data with  $\text{genfit}()$  function of PTC Mathcad package.

$\text{Genfit}()$  is the function that is used to fit an experimental data set to a general non-linear function. Normally  $\text{genfit}()$  function has the following form:  $\text{genfit}(A, B, \text{guess}, F)$ , here  $A$  and  $B$  are vectors that contain x-values and y-values of fitting data,  $\text{guess}$  is an initial guess vector and  $F$  is a fitted function. Thus in case of studied analytical model:

- 1)  $A$  (x-values) is applied Gate-Source potential  
 $B$  (y-values) is obtained Drain current
- 2)  $\text{Guess}$  values are taken from previously solved system of equations
- 3)  $F$  is a vector function of analytical model (4.7) in the form of  $I_d(V_g, \sigma_{\max}, V_0, V_{\text{off}})$  and its derivatives are represented in a form of the following equation:

$$F = \begin{bmatrix} I_d(V_{gs}, \sigma_{\max}, V_0, V_{\text{off}}) \\ \frac{\partial}{\partial \sigma_{\max}} I_d(V_{gs}, \sigma_{\max}, V_0, V_{\text{off}}) \\ \frac{\partial}{\partial V_0} I_d(V_{gs}, \sigma_{\max}, V_0, V_{\text{off}}) \\ \frac{\partial}{\partial V_{\text{off}}} I_d(V_{gs}, \sigma_{\max}, V_0, V_{\text{off}}) \end{bmatrix} \quad (4.8)$$

As a result, implementation of the  $\text{genfit}(A, B, \text{guess}, F)$  function allowed obtaining the set of parameters that were fitting very well the experimental current-potential profile for transistors with various channel geometrical parameters.

It can be seen in the Figure 4.1 that our analytical model fits very well the experimental data for transistors all transistors measured. The fit was performed for  $V_{gs}$  from 0 V to 0.6 V and  $V_{ds} = -0.5\text{V}$ . The accuracy of model fitting to experimental data was characterized by the Pearson product-moment correlation coefficient (PPMCC), which was equal to 0.999 in every single case.

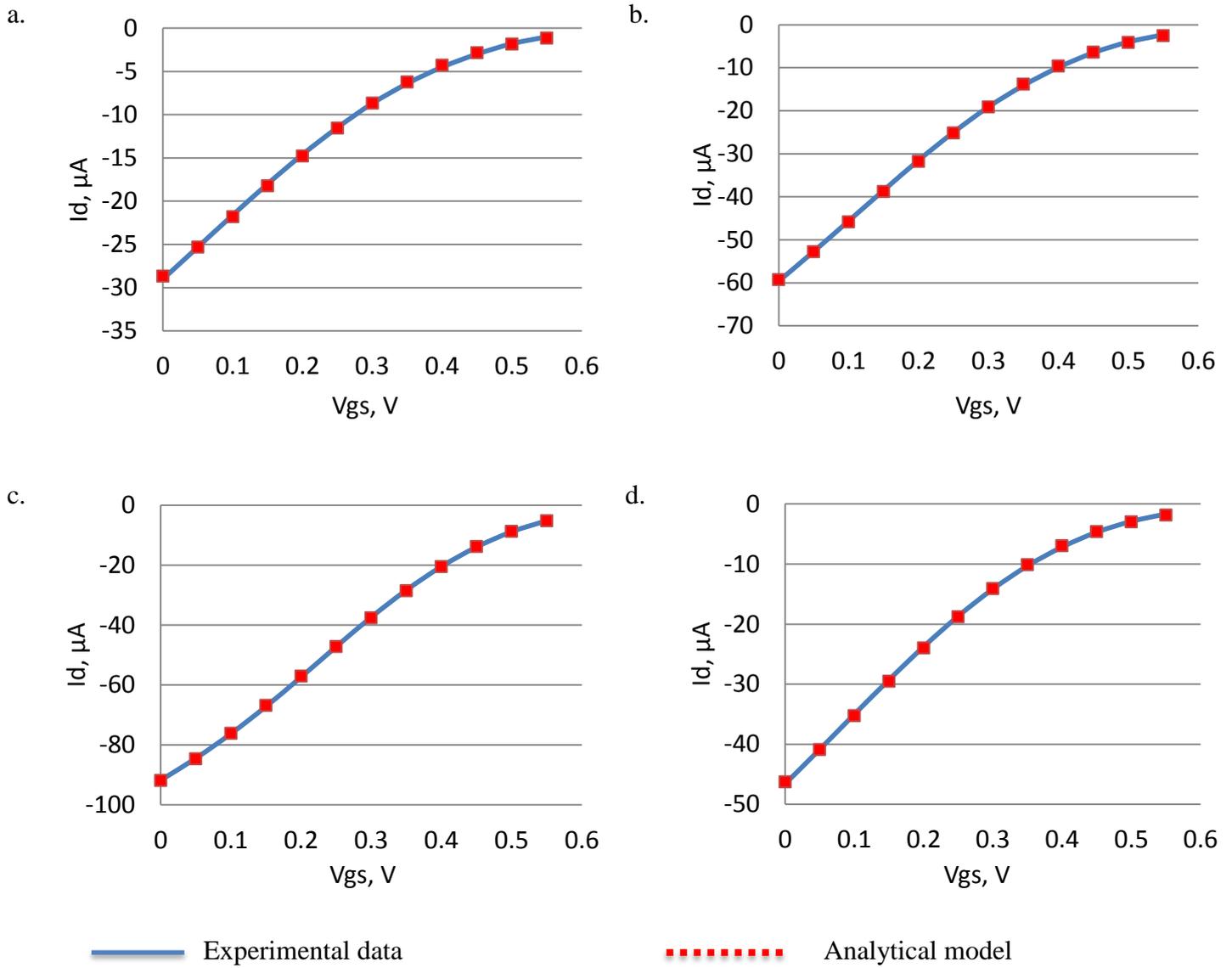


Figure 4.1 – Experimental data and analytical model fit for transistors with different channel dimensions: a) width: 5  $\mu\text{m}$ ; length: 100  $\mu\text{m}$ ; thickness: 0.03  $\mu\text{m}$ ; b) width: 10  $\mu\text{m}$ ; length: 50  $\mu\text{m}$ ; thickness: 0.026  $\mu\text{m}$ ; c) width: 10  $\mu\text{m}$ ; length: 25  $\mu\text{m}$ ; thickness: 0.018  $\mu\text{m}$ ; d) width: 25  $\mu\text{m}$ ; length: 250  $\mu\text{m}$ ; thickness: 0.016  $\mu\text{m}$

Using the analytical model and experimental results it is possible to extract several important parameters such as  $\sigma_{\text{max}}$ ,  $V_0$  and  $V_{\text{off}}$ . But before starting to analyze the values it is interesting to see how the chosen Drain-Source potential influences the estimation of the parameters extracted. The most important parameter  $\sigma_{\text{max}}$  was chosen to evaluate the potential influence. At the Figure 4.1a. the variation of extracted  $\sigma_{\text{max}}$  with applied drain potential is shown, all the values were extracted from fitting the experimentally obtained drain current (applied Gate-Source potential profiles) to the analytical model. For this estimation three transistors with different channel lengths were chosen. For transistors A, B and C, the channel lengths ( $L$ ) were 250  $\mu\text{m}$ , 50  $\mu\text{m}$ , 25  $\mu\text{m}$  respectively. Channel width and PEDOT:PSS layer thickness were the same ( $W=5 \mu\text{m}$ ;  $d=199 \text{ nm}$ ) for all the transistors, the cross-section of the channel was equal to  $0.996 \mu\text{m}^2$ .

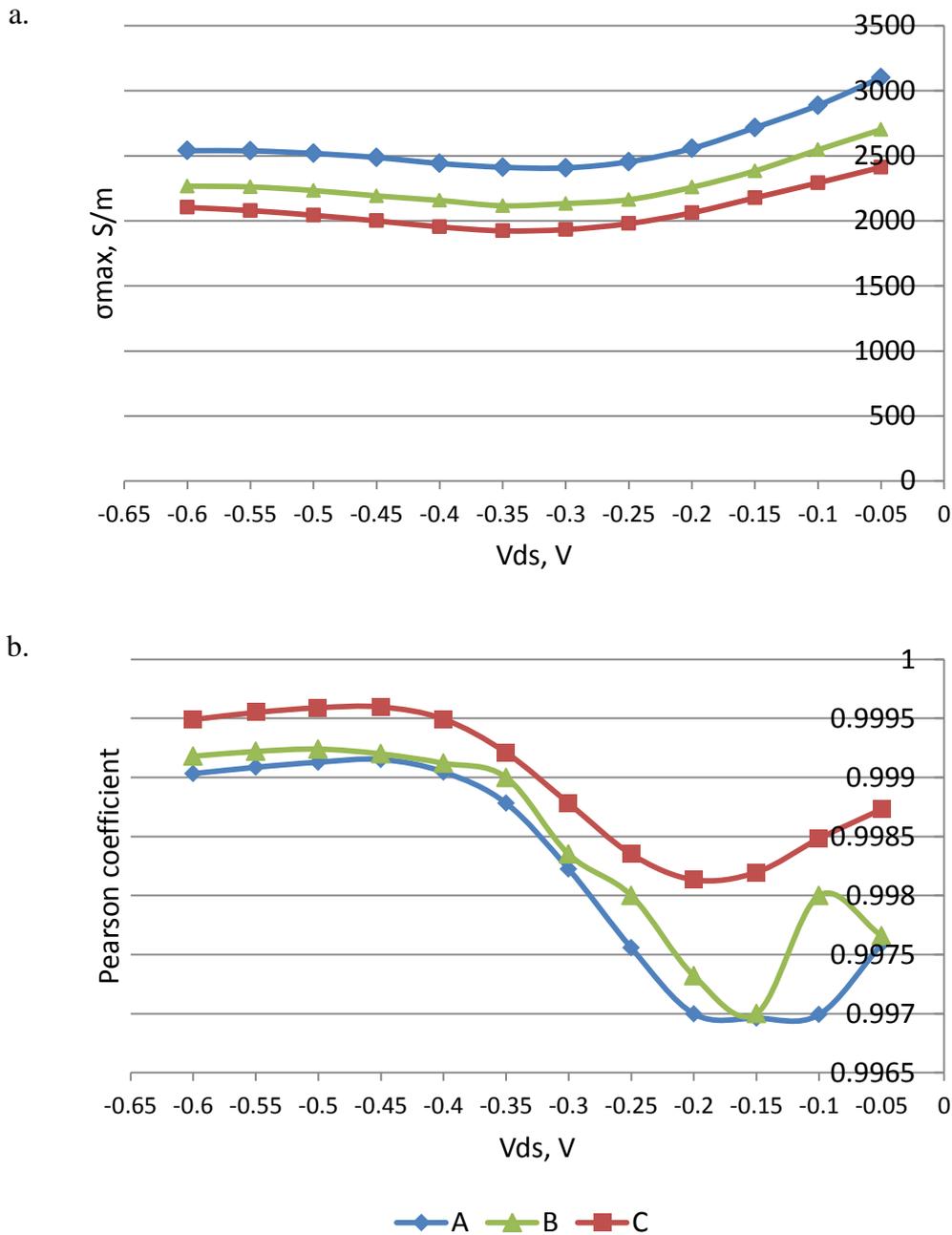


Figure 4.2 – a) Extracted maximum conductivity dependence on applied drain-source potential; b) Pearson product-moment correlation coefficient (PPMCC) for the analytical function fitted to the experimental data for  $I_d - V_{gs}$  profiles at different applied  $V_{ds}$ ; A:  $L=250 \mu\text{m}$ ; B:  $L=50 \mu\text{m}$ ; C:  $L=25 \mu\text{m}$

From the Figure 4.2a it is noticeable that the maximum conductivity is not perfectly constant for all the applied Drain-Source potentials, it slightly drops for applied the potentials from -0.05 to -0.35 V and it is quite stable for the applied  $V_{ds}$  between -0.4 and -0.6 V. This phenomenon could be explained using values of the Pearson product-moment correlation coefficient represented at the Figure 4.2b The value of PPMCC is above 0.997, for all the  $V_{ds}$  which means that the quality of the analytical function fit is very high for any  $I_d - V_{gs}$  experimental profile used. But never the less the highest value of PPMCC (above 0.999) was found for the Drain-Source potential between -0.45 and -0.6 V. It means that the value of  $\sigma_{max}$  gotten from the analytical function-experimental data fit for  $V_{ds}$  between -0.45 and -0.6 V is more precise and matches better the reality. The best in this case

would be to extract the mean conductivity in this interval and to use it as the maximum conductivity for the further calculations or as a device and a material characteristic (Table 4.1 ).

It is also possible to admit that the maximum conductivity tends to increase for the low drain-source potential region due to a lower quality of the fit as reflected by the decreasing of PPMCC.

In practice it is time consuming to treat the data and evaluate the maximum conductivity for each  $V_{ds}$  and then to calculate the mean value. So it is necessary to understand more precisely at which value of  $V_{ds}$  it is better to fit the analytical model to experimental  $I_d$ - $V_{gs}$  curve to get the most realistic value of  $\sigma_{max}$ . As a result, the relative deviation from the mean value for each conductivity value was calculated according to the following formula:

Where  $\bar{\sigma}$  is a mean of all maximum conductivity values within the considered interval:

$$RD = \frac{\sigma_{max}}{\bar{\sigma}} \cdot 100\% \quad (4.9)$$

$$\bar{\sigma} = \frac{\sum \sigma_{max}}{4} \quad (4.10)$$

Obtained values are summarized within the following Table 4.1

$V_{ds},$ V	A			B			C		
	$\sigma_{max},$ S/m	RD, %	$\bar{\sigma},$ S/m	$\sigma_{max},$ S/m	RD, %	$\bar{\sigma},$ S/m	$\sigma_{max},$ S/m	RD, %	$\bar{\sigma},$ S/m
-0.45	2487	1.329	2520.5	2192	2.066	2238.25	2000	2.736	2056.25
-0.5	2518	0.099		2233	0.235		2043	0.644	
-0.55	2538	0.694		2261	1.016		2079	1.106	
-0.6	2539	0.734		2267	1.284		2103	2.274	

Table 4.1 – Obtained values of maximum conductivity extracted from analytical curve fitting to experimental data for drain-source potential from -0.45 to -0.6 V, the mean values of maximum conductivity and the relative deviation from the mean value for each maximum conductivity for three different transistors A, B and C.

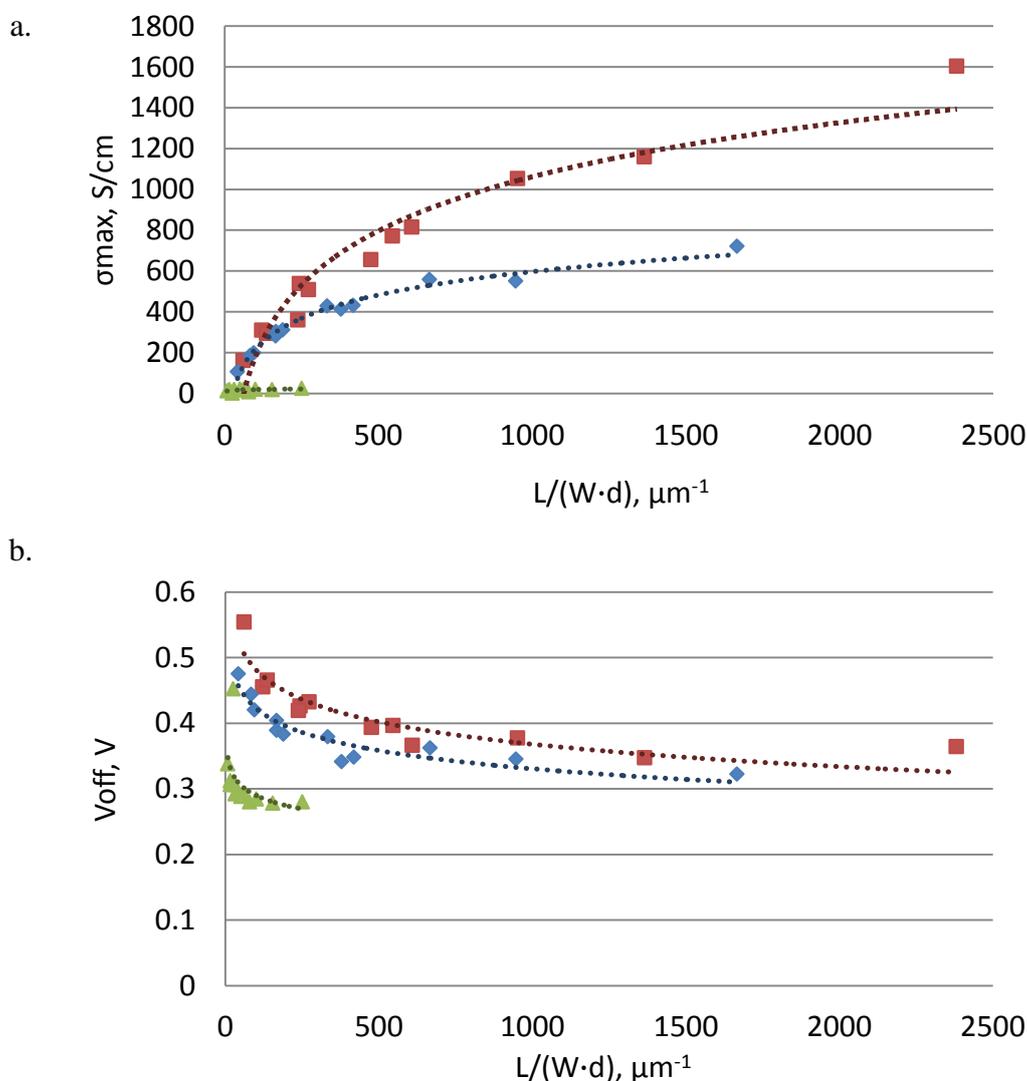
From Table 4.1 it is evident that for all three transistors the relative deviation from the mean is less than 3% for any  $V_{ds}$  taken into consideration. Nevertheless the smallest deviation, less than 0.65% was obtained for the value of  $V_{ds} = -0.5V$ . As a result to get the most realistic value of  $\sigma_{max}$  from the analytical model it is necessary to fit the model to experimental  $I_d$ -  $V_{gs}$  profiles at  $V_{ds} = -0.5$  V.

## Other parameters extraction and analysis

Initially it was stated that the maximum conductivity of the conductive polymer is an intrinsic property of the material, which depends on material composition, preparation and coating technique and not on device geometry.[98] Several series of transistors were used to calculate the maximum conductivity. Figure 4.3 shows the dependence of the maximum conductivity on the channel length. To compensate for the variation of channel width and thickness from one device to the other, the data are plotted as a function of the length to cross-section area ratio  $L/(W*d)$ .

All investigated transistors could be empirically divided into three groups: transistors with thin

( $d=16\div 20$  nm), medium ( $d=24\div 30$  nm) and thick ( $d=127\div 200$  nm) channel. All devices fabricated had length ( $L$ ) of 25, 50, 100, 250  $\mu\text{m}$  and width ( $W$ ) of 5, 10 and 25  $\mu\text{m}$ . In all cases the increase of length to area ratio leads to maximum conductivity enhancement. For transistors with thin channel, the maximum conductivity is up to several orders of magnitude higher than for transistors with thick channel. Even for transistors with the same length to area ratio the maximum conductivity differs a lot with the channel thickness. This could be accounted for ionic transport and the de-doping process of the channel after several cycles of measurement. The de-doping of thin films could be more complete and uniform than that of thicker films after several on-off cycles. And even though the measurements were taken after steady state achievement and several on-off cycles, a few ions could be still present in thicker films, leading to creation of an electric field and in turn increasing the amount of reduced PEDOT<sup>0</sup>, so decreasing the amount of available charge carriers (holes). The other possible explanation of different conductivity at different channel thickness is the variation of material microstructure, in this case the possibility of bigger agglomerates formation in case of thicker channel, that would lead to decrease of the efficiency of the intra-agglomerate hole transport due to decrease of the amount of active hole-transporting sites.



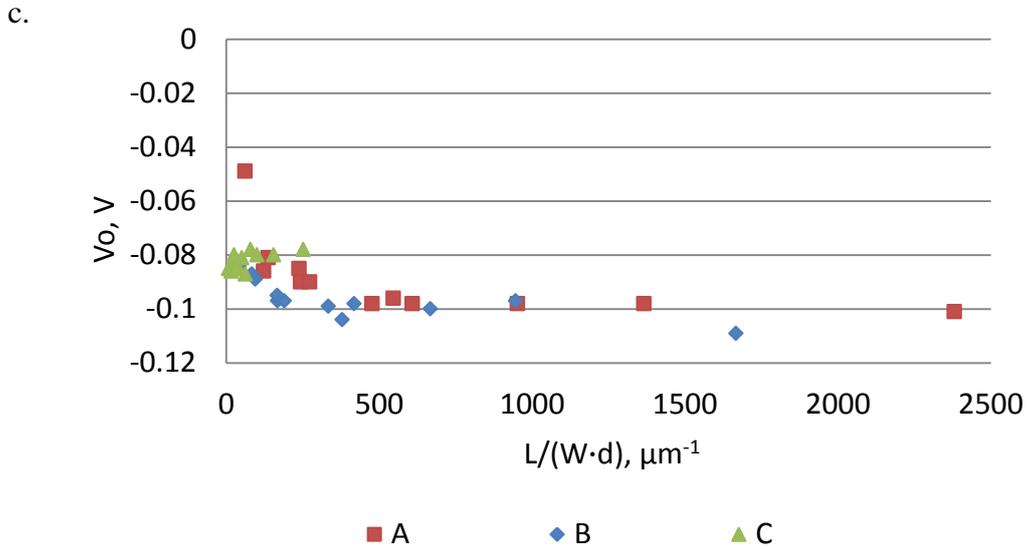


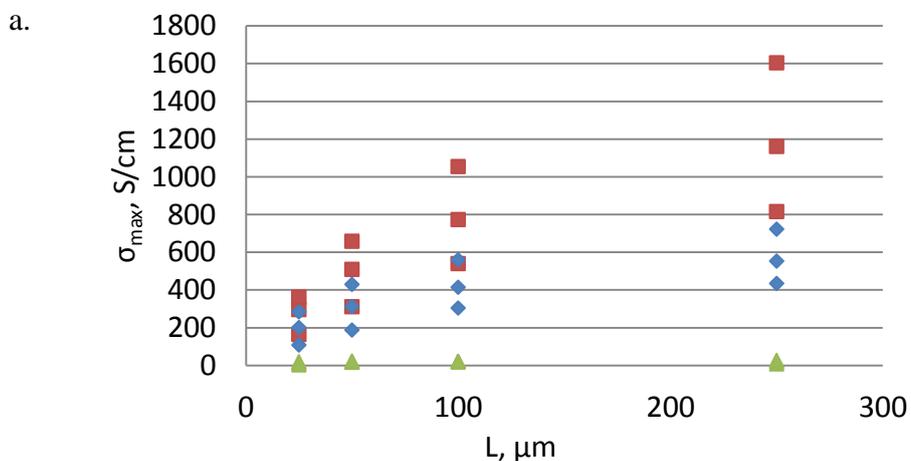
Figure 4.3 – a) Conducting polymer channel maximum conductivity dependence of its length to area ratio; b) Device offset voltage dependence of conducting polymer channel length to area ratio; c)  $V_0$  dependence of conducting polymer channel length to area ratio  
 A: Thin channel transistors ( $d=16\div 20$  nm); B: Medium channel transistors ( $d=24\div 30$  nm); C: Thick channel transistors ( $d=127\div 200$  nm)

The next parameter that could be computed from the model is  $V_{\text{off}}$ . It could be seen that with for all transistors the offset voltage tends to decrease with length to area ratio decreasing.

Another parameter computed from the model is  $V_0$ . In this case the length to area ratio doesn't seem to influence on this parameter and almost for all transistors value of  $V_0$  is between -0.1 and -0.08.

## Extracted parameters dependence on OECT geometry

It is also of interest to understand how each geometrical parameter correlates with the values of maximum conductivity,  $V_0$  and  $V_{\text{off}}$ . For all types of transistors it is possible to see that increasing the length and the width as well as decreasing the device thickness correlates with increase of the maximum conductivity. This effect is more pronounced for thin channel transistors (Figure 4.4).



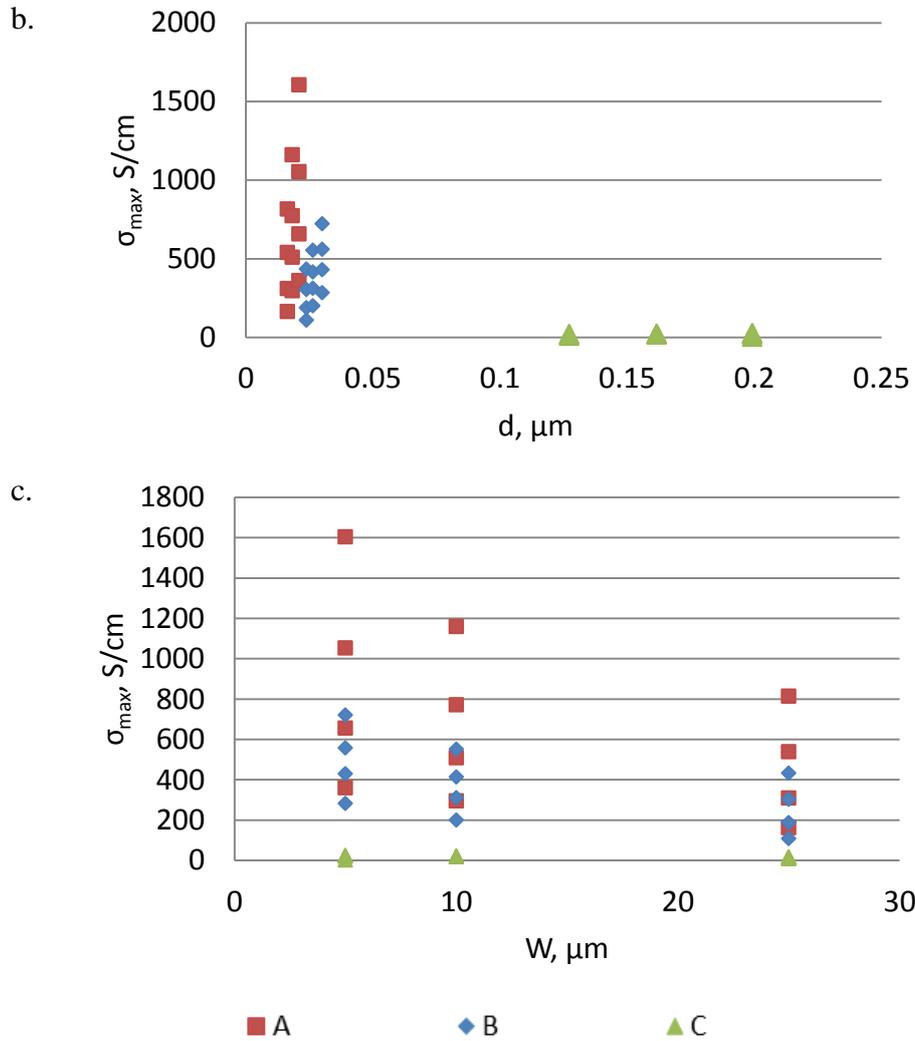


Figure 4.4 – Maximum conductivity correlation with: a) Channel length; b) Channel thickness; c) Channel width; A: Thin channel transistors ( $d=16\div 20$  nm); B: Medium channel transistors ( $d=24\div 30$  nm); C: Thick channel transistors ( $d=127\div 200$  nm)

Offset potential has almost no correlation with the width or thickness of the channel but it is possible to see that  $V_{off}$  highly correlates with the length of the channel.  $V_{off}$  is the highest in the case of long channel (Figure 4.5).

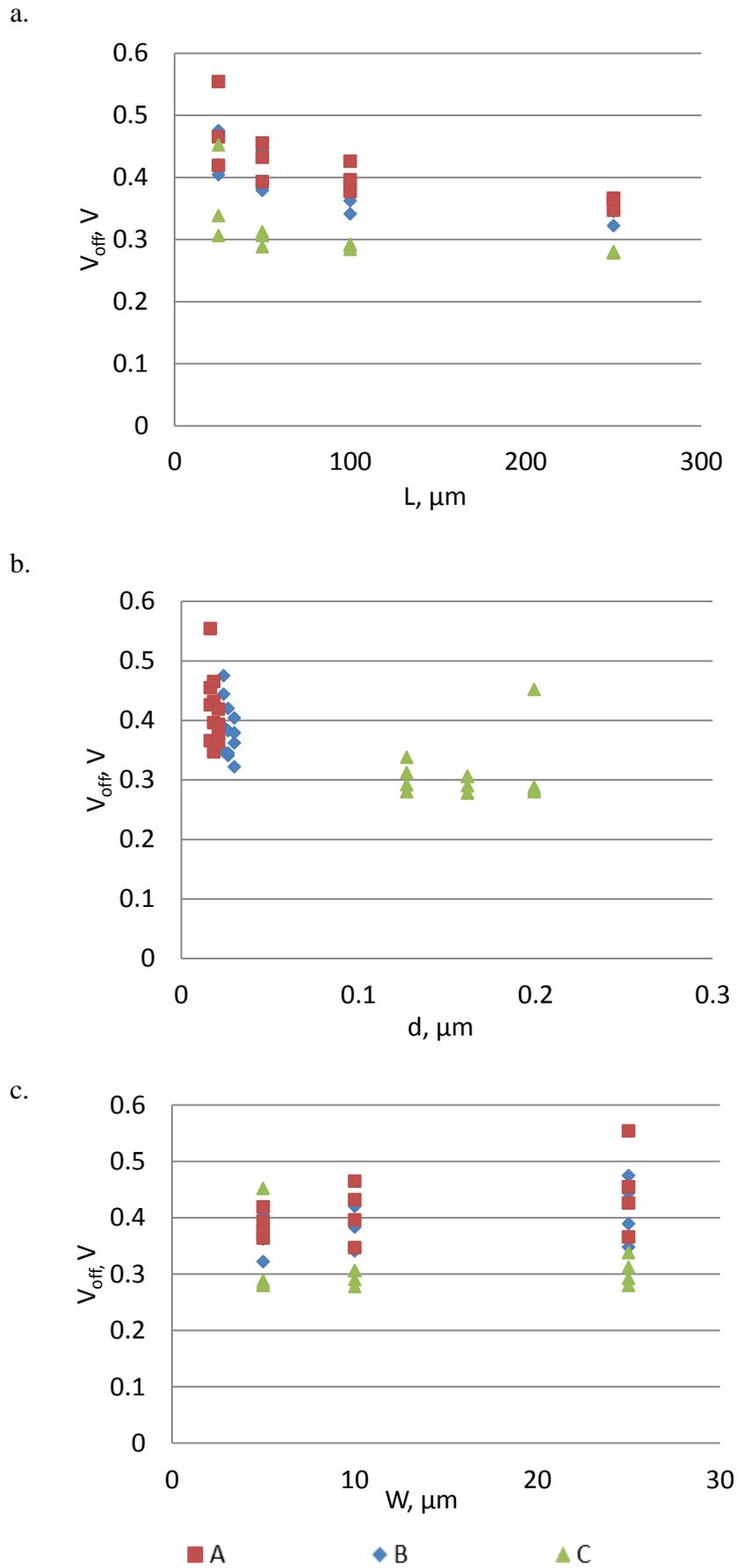


Figure 4.5 –  $V_{\text{off}}$  correlation with: a) Channel length; b) Channel thickness; c) Channel width; A: Thin channel transistors ( $d=16\div 20$  nm); B: Medium channel transistors ( $d=24\div 30$  nm); C: Thick channel transistors ( $d=127\div 200$  nm)

$V_0$  shows only dependence on the thickness of the channel. In most of the cases it is smaller for thin-channel OECTs (Figure 4.6).

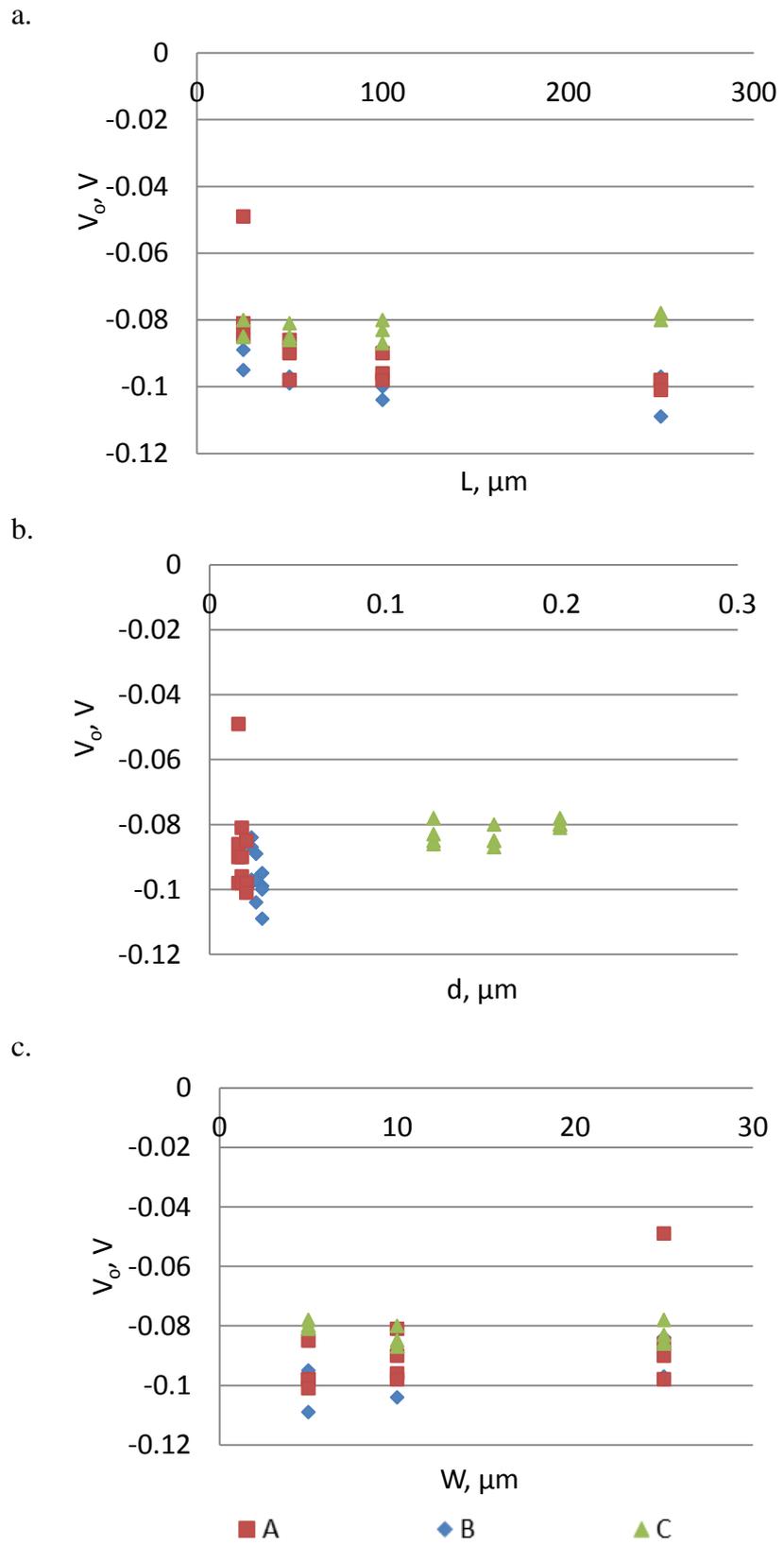


Figure 4.6 –  $V_0$  correlation with: a) Channel length; b) Channel thickness; c) Channel width; A: Thin channel transistors ( $d=16\div 20$  nm); B: Medium channel transistors ( $d=24\div 30$  nm); C: Thick channel transistors ( $d=127\div 200$  nm)

Up to now, the numerical calculations based on the analytical model for an Organic Electrochemical Transistor had been presented. The validity of the model was checked by fitting to the model sets of experimental data obtained from devices with different geometries. From this fit a set of important parameters, which provide useful information about the correlation of device structure with its performance, could be extracted. It was shown that the maximum conductivity of the channel is an intrinsic property of PEDOT:PSS material; but since the microstructure of the material depends on channel geometry, then it is possible to say that the maximum conductivity highly depends on device geometry too. More precisely, it increases with increasing length to cross-section area ratio.

As a result by using this model it is possible to infer how to fabricate devices with improved performance by adjusting the channel geometry.

## Conventional Semiconductor analytical function

In this section, we compare our model to the analytical model proposed by D. A. Bernards and G. G. Malliaras, which is widely used in the research community.[47] This model was recently extended, by the same group, by using conventional semiconductor equation, which allowed the obtaining of a set of parameters to characterize an OECT device.

Starting from Ohm's law (4.11), conventional semiconductor equation for holes concentration (4.12) and treating channel as a capacitor (4.14), the following equation (4.18) for Drain current was obtained.

Ohm's equation for current density:

$$j_d(x) = -\sigma(V) \frac{dV}{dx} = -q \cdot \mu \cdot p(x) \cdot \frac{dV(x)}{dx} \quad (4.11)$$

Where  $p(x)$  is a  $x$ - coordinate dependent charge carrier (hole) density,  $q$ -elementary charge and  $\mu$  is the hole mobility.

In a classical semiconductor the charge density depends on free electron and hole densities as well as on density of ionized impurities. There are two different sources of free holes and electrons: intrinsic semiconductor nature and dopant. In intrinsic semiconductor the number of electrons is equal to the number of holes so  $p_i = n_i$ , knowing also the global hole concentration is also in equilibrium with the global hole concentration and using mass action law it is possible to obtain the relation between holes and impurities density: [99]

$$p(x) = \frac{n_i^2}{p(x)} + N_A^- - N_D^+(x) \quad (4.12)$$

Where  $n_i$  is intrinsic charge carrier concentration  $N_A^-$  is an acceptor impurity,  $N_D^+$  is a donor impurity.

In case of PEDOT:PSS conductive polymer in Organic Electrochemical Transistor  $n_i$  is a concentration of holes in PEDOT which is not doped by PSS. This  $n_i$  concentration explains an existence of low conductive abilities of un-doped PEDOT polymer.[100]  $N_A^-$  represents a uniform concentration of dopant PSS<sup>-</sup>.  $N_D^+(x)$  is concentration of Na<sup>+</sup> ions penetrated inside PEDOT:PSS

layer during dedoping process. In case of one dimensional representation  $N_D^+(x)$  is x-coordinate dependent, unlike uniformly distributed  $N_A^-$ .

The local, x-coordinate dependent, hole concentration was calculated solving the quadratic equation (4.12):

$$p(x) = \frac{N_A^- - N_D^+(x)}{2} + \sqrt{\left(\frac{N_A^- - N_D^+(x)}{2}\right)^2 + n_i^2} \quad (4.13)$$

Local charge in PEDOT:PSS layer in the steady-state could be calculated according to the following equation[47]:

$$Q(x) = C^*(V_{GS} - V(x)) \quad (4.14)$$

Where  $C^*$  is the capacitance per unit volume[101] and  $V(x)$  is a spatial potential profile within the film of conductive polymer (local potential). This charge could be also represented trough the penetrated  $Na^+$  cation charge density:

$$Q(x) = e \cdot N_D^+(x) \quad (4.15)$$

From the equations (4.14) and (4.15) local  $Na^+$  concentration could be calculated as follows:

$$N_D^+(x) = \frac{C^*(V_{GS} - V(x))}{e} \quad (4.16)$$

Taking in account equations (4.12), (4.13) and (4.16) following analytical Drain current density equation for an Organic Electrochemical Transistor was obtained by G. G. Malliaras and D. A. Bernards:

$$j_d(x) = -e \cdot \mu \cdot 0.5 \cdot \left( N_A^- - \frac{C^*(V_{GS} - V(x))}{e} + \sqrt{\left( N_A^- - \frac{C^*(V_{GS} - V(x))}{e} \right)^2 + 4n_i^2} \right) \cdot \frac{dV(x)}{dx} \quad (4.17)$$

The expression for the drain current was calculated following the equation above and taking into account the width and the length of the device together with the gradual channel approximation:

$$I = -\frac{W}{2 \cdot L} d \cdot \frac{\sigma_{max}}{p_{max}} \int_{V_{GS}}^{V_{GS}-V_{DS}} \left( e \cdot N_A^- - C^*(V_{GS} - V(x)) + \sqrt{(e \cdot N_A^- - C^*(V_{GS} - V(x)))^2 + 4e^2n_i^2} \right) \cdot dV(x) \quad (4.18)$$

Where  $V(x)$  and its derivative are calculated according to the following equations:

$$V(x) = \frac{V_{DS}}{L} x \quad (4.19)$$

$$dV(x) = \frac{V_{DS}}{L} dx \quad (4.20)$$

Normally, analytical equation (4.18) contains a set of unknown parameters:  $n_i$ ,  $N_A^-$ ,  $N_D^+(x)$ ,  $C^*$ ,  $\sigma_{max}$  and of course  $p_{max}$ . This quantity of parameters makes the model to be hard to implement for the simple analytical calculations. The amount of parameters also makes finding a unique solution the nontrivial problem. Decreasing the number of unknown variables would help to solve this problem.

## Analytical fitting, parameters extraction and analysis

Using our analytical model and extracted maximum conductivity would help to reduce the number of unknowns and obtain a unique solution for all the other parameters. At the Figure 4.7 Conventional Semiconductor model fitting to the experimental data is represented. Four transistors with different channel geometrical parameters (Table 4.2) were used for fitting. During the fitting procedure maximum conductivity was used as an input parameter. It was calculated as a result of an analytical parametric modelling, described in the previous sub-chapter.

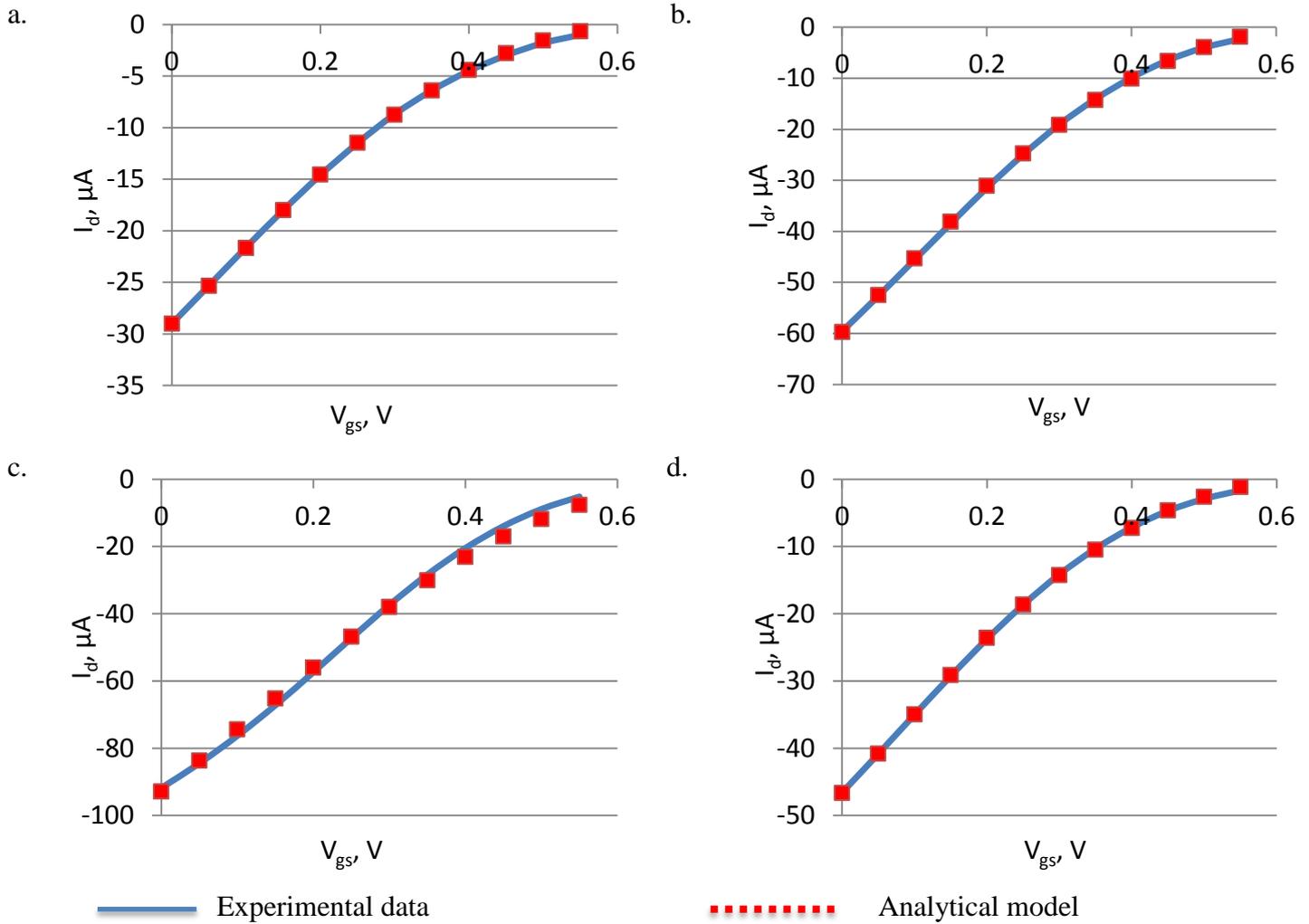


Figure 4.7 – Experimental data and analytical model fit for transistors of different channel dimensions: a) width: 5  $\mu m$ ; length: 100  $\mu m$ ; thickness: 0.03  $\mu m$ ; b) width: 10  $\mu m$ ; length: 50  $\mu m$ ; thickness: 0.026  $\mu m$ ; c) width: 10  $\mu m$ ; length: 25  $\mu m$ ; thickness: 0.018  $\mu m$ ; d) width: 25  $\mu m$ ; length: 250  $\mu m$ ; thickness: 0.016  $\mu m$

From the Figure 4.7 it could be seen that the analytical model fits well the experimental results, which is also proved by the value of PPMCC (Table 4.2), for each fitted curve this coefficient is higher than 0.9991. The high value of PPMCC means that it is possible to get the parameters from the model, which value would be close enough to the real one, so these values could be used for a single device characterization.

The set of important parameters was extracted, as a result of Conventional Semiconductor analytical model fitting to the experimental data. All the extracted parameters are represented at the Table 4.2.

Transistor	W $\mu\text{m}$	L $\mu\text{m}$	d $\mu\text{m}$	$\sigma_{\text{max}}$ S/m	P $\text{m}^{-3}$	$N_a$ $\text{m}^{-3}$	$C^*$ $\text{F}\cdot\text{cm}^{-3}$	$n_i$ $\text{m}^{-3}$	PPMCC
a	5	100	0.03	55850	$1.64\cdot 10^{24}$	$1.85\cdot 10^{27}$	$4.06\cdot 10^2$	$1.09\cdot 10^{21}$	0.9999572
b	10	50	0.026	31160	$3.89\cdot 10^{24}$	$4.52\cdot 10^{27}$	$1.09\cdot 10^3$	$4.05\cdot 10^{21}$	0.9999322
c	10	25	0.18	29540	$3.43\cdot 10^{24}$	$4.40\cdot 10^{27}$	$9.36\cdot 10^2$	$8.44\cdot 10^{21}$	0.9991437
d	25	250	0.016	81480	$1.59\cdot 10^{24}$	$1.80\cdot 10^{27}$	$4.44\cdot 10^2$	$1.04\cdot 10^{22}$	0.9999324

Table 4.2 – Geometry, input values of OECT used for the model validation and output values obtained as a result of modelling.

Results of the Conventional Semiconductor analytical model parameters extraction for four different transistors are represented in the Table 4.2 For every device the hole density is three orders of magnitude smaller than PSS<sup>-</sup> it could be explained by several factors:

- 1) PSS<sup>-</sup> excess due to insufficient washing and incomplete extraction from the PEDOT:PSS layer during the conductive layer fabrication
- 2) Holes-trapping defects inside the conductive polymer layer could prevent existing holes from participation in an electric current generation

Never the less the acquired hole density number seems very realistic and close to the literature value for the doped organic semiconductors.[3]

The value of an intrinsic charge density ( $n_i$ ) is three orders of magnitude smaller than the holes density value in case of every single investigated transistor. This result seems to be realistic and could explain a low PEDOT<sup>0</sup> conductivity existence.

The value of capacitance  $C^*$  could be easily compared with the literature value. In the literature[101-103] the range of the capacitance for PEDOT:PSS layer is reported to be from 39  $\text{F}\cdot\text{cm}^{-3}$  to 327  $\text{F}\cdot\text{cm}^{-3}$ . The values of  $C^*$  calculated analytically are the same order of magnitude and near the same value than the upper limit reported in the literature.

As expected, the calculated values of every parameter are not exactly the same for every transistor. It could be explained by the fact that the devices were fabricated separately, so the thickness, length and width of conductive polymer layer are slightly different for each device, which leads to the microscopic structure difference, which could explain the difference in the parameters.

As a result, Conventional Semiconductor analytical model allows to extract the set of important constraints, which are necessary for any device characterization and description. This model could be coupled easily with the analytical Boltzmann model, described previously, and could be also modified and used to describe an OECT with another type of geometric configuration or the channel chemical structure.

## Conclusion

In Chapter 4 two analytical models were described. The validity of the models was checked by fitting modelled  $I_d - V_{gs}$  curve to experimentally measured  $I_d - V_{gs}$  profile for transistors with different conductive channel dimensions. The accuracy of every model fitting to experimentally obtained data was characterized by Pearson product-moment correlation coefficient (PPMCC). In every single case the PPMCC was higher than 0.999, which proves very high accuracy of the fit.

First model described was the Boltzmann Logistic Parametric analytical model. Using this model

it is possible to get the conductivity of conductive layer of an Organic Electrochemical Transistor. Extracted values of the conductivity were proved to be correlated with conductive channel geometry. The conductivity was proved to have the highest value for the channels with high length to area ratio. The conductivity value acquired from this model was used as an input parameter in the second analytical model.

Second model used was the Conventional Semiconductor analytical model. This model is based on Ohms law and Conventional Semiconductor charge density law is suitable for an OECT description and allows calculating the set of the device characteristic values: intrinsic charge carrier density  $n_i$ , PSS<sup>-</sup> density  $N_A^-$ , volumetric capacitance  $C^*$  and initial holes density  $p_{max}$ . Parameters received as a result of using the Conventional Semiconductor analytical model are essential for device properties understanding.

Sometimes the description of a device is not an ultimate goal. In the scope of this thesis the Numerical models were also proposed. So combining the result of two analytical models, such as maximum conductivity value and initial holes density value, it is possible to get the whole set of the input parameters necessary for numerical modelling. This would help to check the numerical model and analytical models validity.

## CHAPTER 5. OECT UNIQUE DEVICE FULL MODEL

In Chapter 4 numerical model of the OECT was described as well as the translation from the numerical model to first parametric analytical model. Then in Chapter 5 first analytical model was described more precisely;  $\sigma_{max}$  was extracted and the model was coupled together with the second analytical model, from which the initial hole density  $P$  (initial PEDOT<sup>+</sup> density in fully doped OECT) as well as the other parameters were calculated. The goal of this Chapter is to bring all these models together to highlight how they interact between each other, how realistic they are and up to which extent they could be used for parameters extraction and device output prediction. For these reasons it was decided to perform the full calculations for one device which means to make the complete calculations cycle represented in the Figure 5.1 below.

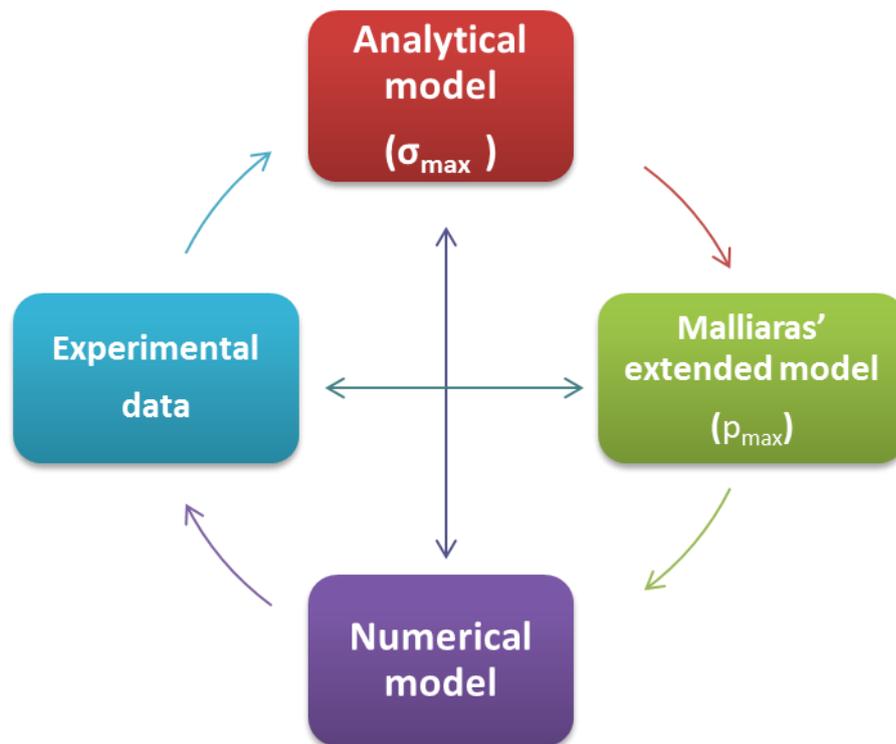


Figure 5.1 – Complete cycle of interaction between experimental data, analytical and numerical models

According to complete the circular scheme mentioned above the calculations were implemented as follows: the maximum conductivity  $\sigma_{max}$  was extracted from the fit of the first analytical model to experimental data for the transistor, then its value was used in Conventional semiconductor model (Malliaras' extended model). Consequently  $P$  was calculated and used as an input parameter for the numerical model. As a result of the numerical modelling the set of local potential – local PEDOT<sup>+</sup> concentration was found. Then this set of values was used as input parameter together with gradual channel approximation assumption for calculating the Drain current – applied potential curve. After that the set of values was compared to the experimental data to understand the deviation of the model from the reality.

This approach was used not only for showing different models interactions, but moreover for models' reliability estimation.

## Structure and characteristics of the transistor used for modelling

One real transistor was chosen to check the validity of the models. In this case Source and Drain electrodes made of gold were separated by the layer of PEDOT:PSS, which was partially covered by the electrolyte – 0.1 M aqueous solution of NaCl. An Ag/AgCl electrode immersed into the electrolyte was used as a Gate. A simplified schematic representation of the transistor is presented in Figure 5.2.

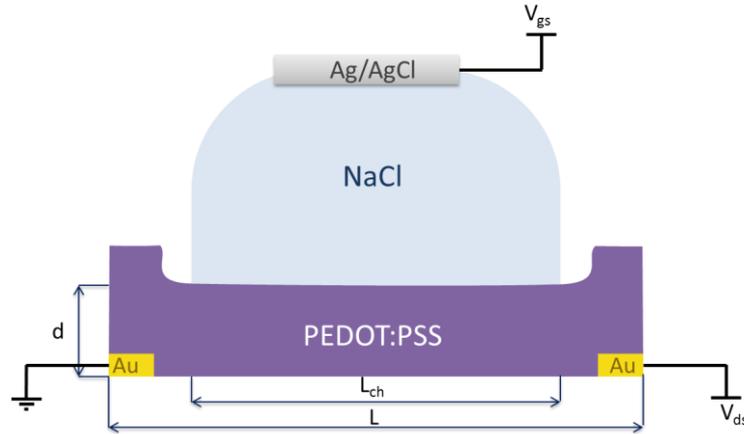


Figure 5.2 – Simplified schematic structure of OEET used for the model verification

According to the figure above the length of the PEDOT:PSS layer is  $L$ . The channel of the OEET, which is the PEDOT:PSS layer under the electrolyte has the length  $L_{ch}$  and thickness  $d$  equal to the thickness of an entire conductive polymer layer, the width of the channel - the third dimension not shown in the Figure 5.2, was equal to  $W$ . All of the characteristic values for the device are given in Table 5.1, where  $G$  is the value of transconductance which was calculated according to the following expression 5.1.

$$G = \frac{\partial I_d}{\partial V_{gs}} \quad (5.1)$$

The maximum transconductance was measured at  $V_{gs} = 0.1$  V. Current at the Drain was measured under applied Gate – Source potential ( $V_{gs}$ ) from 0 V to 0.6 V and applied Drain – Source potential ( $V_{ds}$ ) from -0.6 V to 0.1 V.

W	L	$L_{ch}$	d	$L/Wd$	peak G	$V_{gs}$ (peak G)	$V_{ds}$ min	$V_{ds}$ max	$V_{gs}$ min	$V_{gs}$ max
$\mu\text{m}$	$\mu\text{m}$	$\mu\text{m}$	$\mu\text{m}$	$\mu\text{m}^{-1}$	S	V	V	V	V	V
57.65	69.65	47.05	0.506	2.387	0.005	0.1	-0.6	0.1	0	0.6

Table 5.1 – Geometry and input values of the OEET used for the models validation.

The values from Table 5.1 were used as input values for the following analytical and numerical modelling.

# Analytical modelling

## Parametric Boltzmann Logistic function

Analytical modelling of OECT is started by fitting the experimental data to Boltzmann logistic function described by the equation 4.7. Gate-Source potential – Drain current profile was fitted for  $V_{gs}$  from 0 to 0.6 V and  $V_{ds} = -0.5$  V. In the Figure 5.3 the result of the fitting procedure is presented: the experimental data points are marked by red squares and analytically calculated profile is represented as the blue curve.

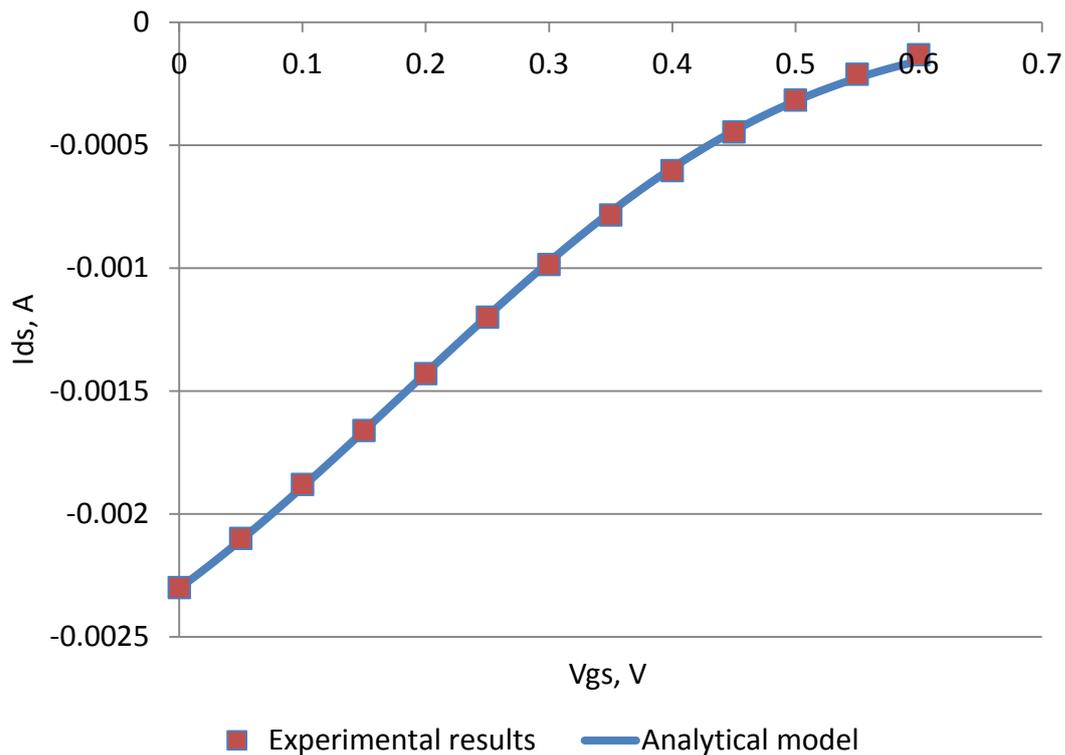


Figure 5.3 – Boltzmann logistic function fit to experimental data

From the Figure 5.3 above it is evident that the parametric function fits very well the experimentally obtained current profile, this observation is also proved by the high value of the PPMCC from the table below.

$\sigma_{max}$ , S/m	$V_0$ , V	$V_{off}$ , V	PPMCC
<b>9892</b>	-0.125	0.427	0.99971

Table 5.2 – Values extracted from the parametric Boltzmann logistic function fitting to an experimental data

The values of  $V_0$  and  $V_{off}$  are falling in the range of the average values for OECT. The transistor taken for this observation is falling to the category of thick-channel transistors (defined in the CHAPTER 4.), so such a low 98.92 S/cm maximum conductivity was also expected.

## Conventional Semiconductor Model

The second step of the modelling was to use the maximum conductivity value, put it to the equation 4.18 and fit the function to the same set of experimental points used before ( $V_{gs}$  from 0 to 0.6 V and  $V_{ds} = -0.5$  V). The quality of the fit is proven by the Pearson product-moment correlation coefficient which is very close to 1 (PPMCC = 0.99998, table.). The experimental  $I_d - V_{gs}$  profile and the function fitted are represented at the Figure 5.4.

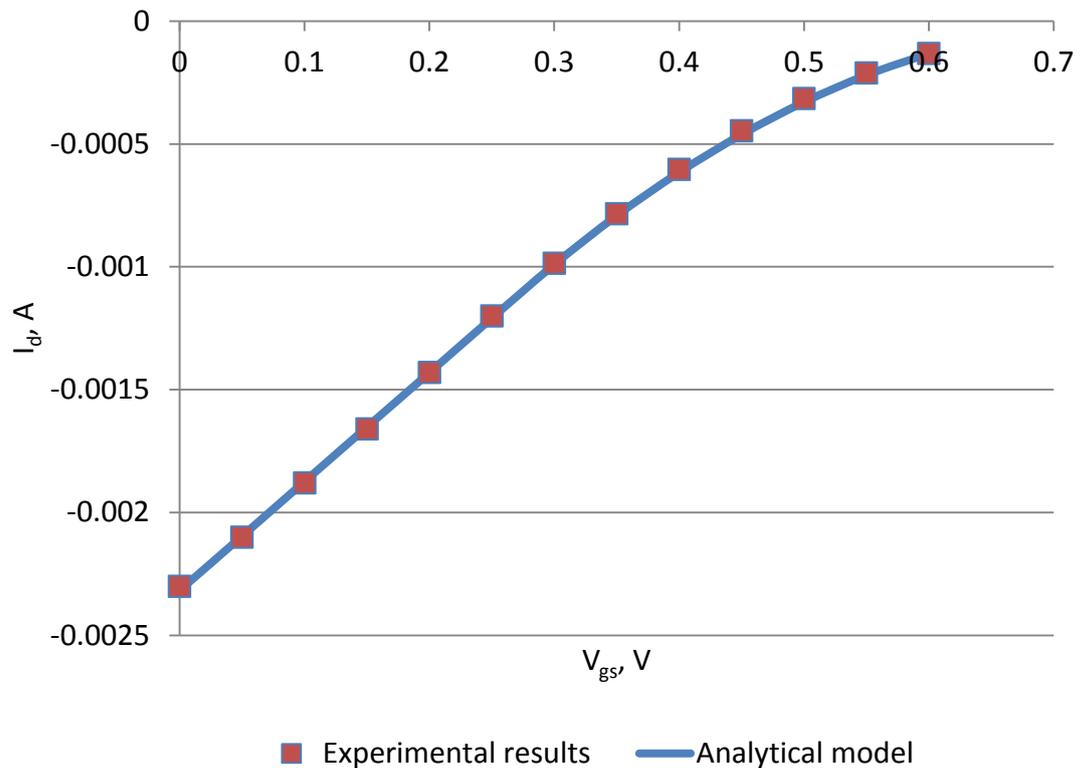


Figure 5.4 – Conventional Semiconductor model fit to experimental data

The extracted parameters are represented at the Table 5.3 below.

$P, m^{-3}$	$N_a, m^{-3}$	$C^*, F \cdot cm^{-3}$	$n_i, m^{-3}$	PPMCC
$1.37 \cdot 10^{25}$	$1.505 \cdot 10^{25}$	3.059	$2.113 \cdot 10^{19}$	0.99998

Table 5.3 – The values extracted from the Conventional Semiconductor Model fitting to the experimental data

The hole density is equal to  $1.37 \cdot 10^{25} m^{-3}$ , close to the expected for PEDOT:PSS as a conductive polymer. The hole density is about 9% less than the density  $N_a$  of  $PSS^-$ , which means that not all the dopant molecules are generating holes that are able to effectively flow through the device. The possible reason for this is the typical amorphous globular-lamellar structure of the PEDOT:PSS, due to this structure some holes could be trapped and unable to participate to the current generation process in some part of PEDOT:PSS. The intrinsic charge carrier value  $n_i$ , which is about 6 orders of magnitude smaller than the holes density was also expected to have this value. Being negligible in comparison to the holes density value it could nevertheless explain the low conductivity of un-

doped PEDOT. The Calculated volumetric capacitance  $C^* = 3.059 \text{ F}\cdot\text{cm}^{-3}$  is also very close to the value reported in the literature[101].

As a result, after the implementation of the set of the analytical models, most of the important device characteristic parameters were realistically calculated. This makes possible not only full device characterization, but also more precise numerical model building.

## Numerical modelling

The numbers extracted with the help of the two analytical models were used as input for numerical simulation. In Chapter 6., it was experimentally proven that Global Neutrality model is closer to the reality, than the Local Neutrality model, so for the current numerical model equations 3.27-3.30 and Global electroneutrality assumptions were used. In Figure 5.5 the potential profile resulting of the numerical modelling are represented. The geometry of the modelled device reflects the geometry of the real OECT: total distance between Source and Gate electrodes  $D = 5.06 \mu\text{m}$ , which is ten times bigger than the thickness of PEDOT:PSS layer  $d = 506 \text{ nm}$  (the same as in the real OECT). This thickness of electrolyte layer would allow an effective modeling of all the necessary effects and influence of an electrolyte in the system, without making the long simulation.

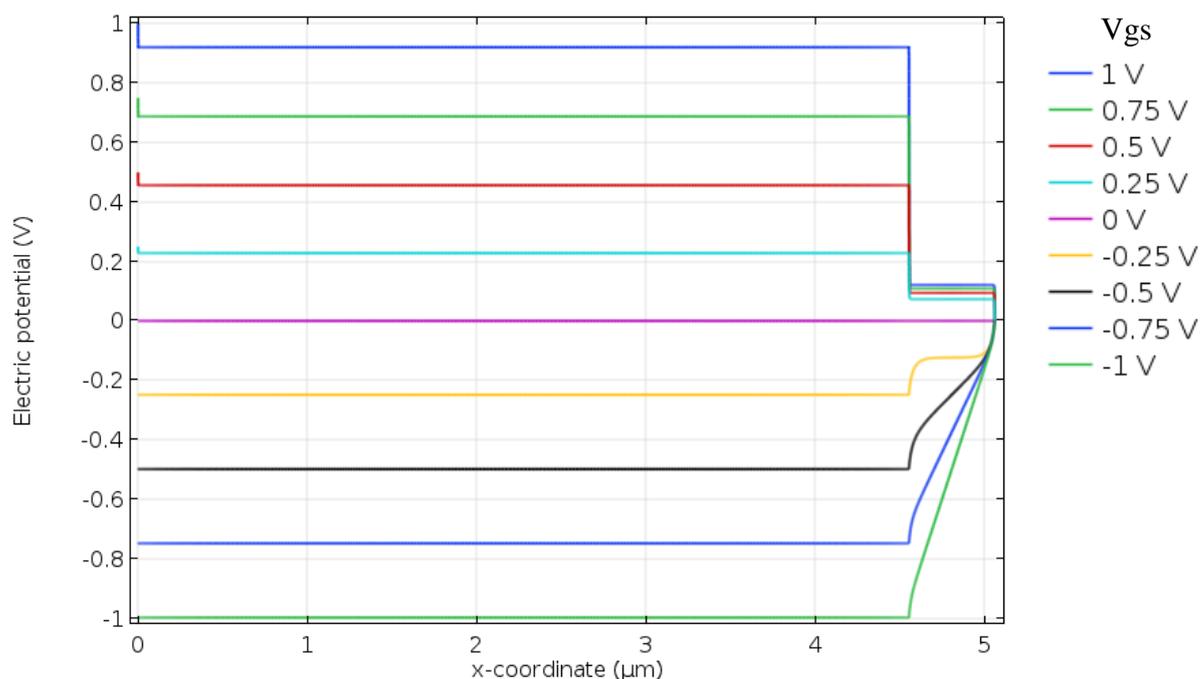


Figure 5.5 – Global Neutrality numerically modelled potential profiles along the whole device. (electrolyte and conductive polymer layers) Curves were modelled for different applied Gate-Source potentials (from -1 to 1 V)

From the Figure 5.5 it is clearly seen that in the range of practical operation of the device (that is, positive gate-source voltage and despite of a small drop of potential near the Gate electrode due to the formation of a double electrical layer, the main drop occurs at two interfaces: between the electrolyte and the PEDOT:PSS layer (at  $x$ -coordinate  $4.554 \mu\text{m}$ ) and in the interface between the PEDOT:PSS and the Source electrode. In the rest of the device, the potential is constant with two equipotential regions: in the electrolyte and in the conductive polymer.

It is also interesting to mention that under the application of a negative Gate-Source potential, the potential profile changes a lot and becomes linear; the higher the applied potential the more linear is the profile. This phenomenon could be explained from the nature of the PEDOT:PSS as doped conductive polymer. With decreasing potential, PEDOT:PSS goes to its initial fully and uniformly doped state, the local electroneutrality condition is reached, so the PEDOT:PSS layer behaves as a classical resistor, and potential drops linearly inside the PEDOT:PSS layer (could be seen in the green curve at the applied potential equal to -1 V).

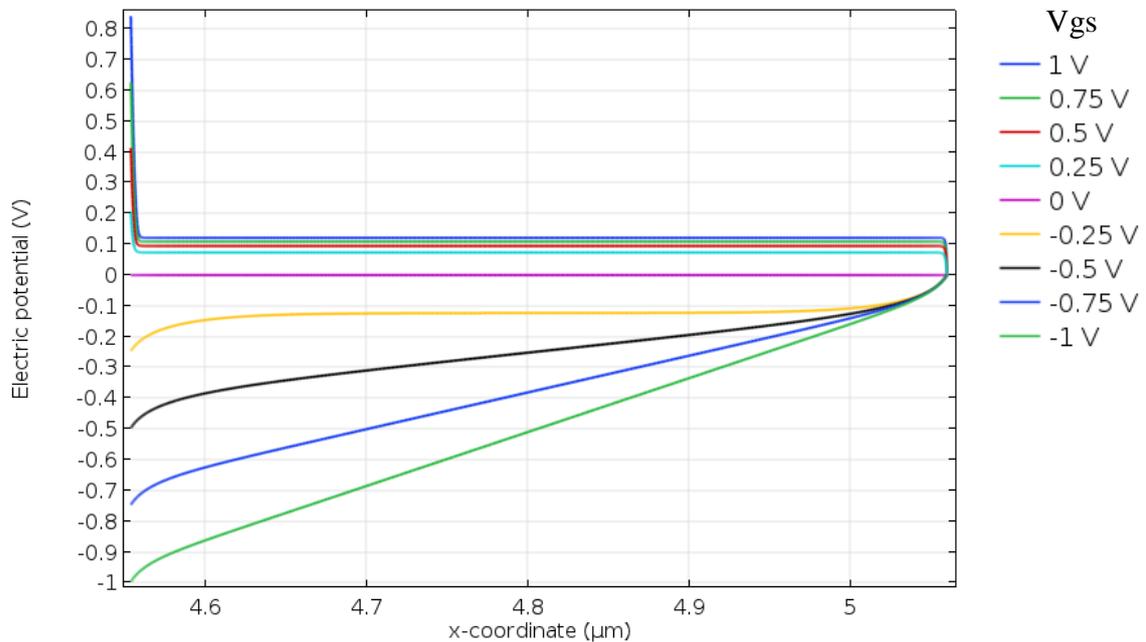


Figure 5.6 – Global Neutrality numerically modelled potential profiles along the PEDOT:PSS layer. Curves were modelled for different applied Gate-Source potentials (from -1 to 1 V)

The system equilibrium is a result of a local equilibrium reached between the local potential in the channel and the local charge concentration. The normalized local concentration profiles of  $\text{PEDOT}^+$  and  $\text{Na}^+$  are represented in Figures 5.7 and 5.8.

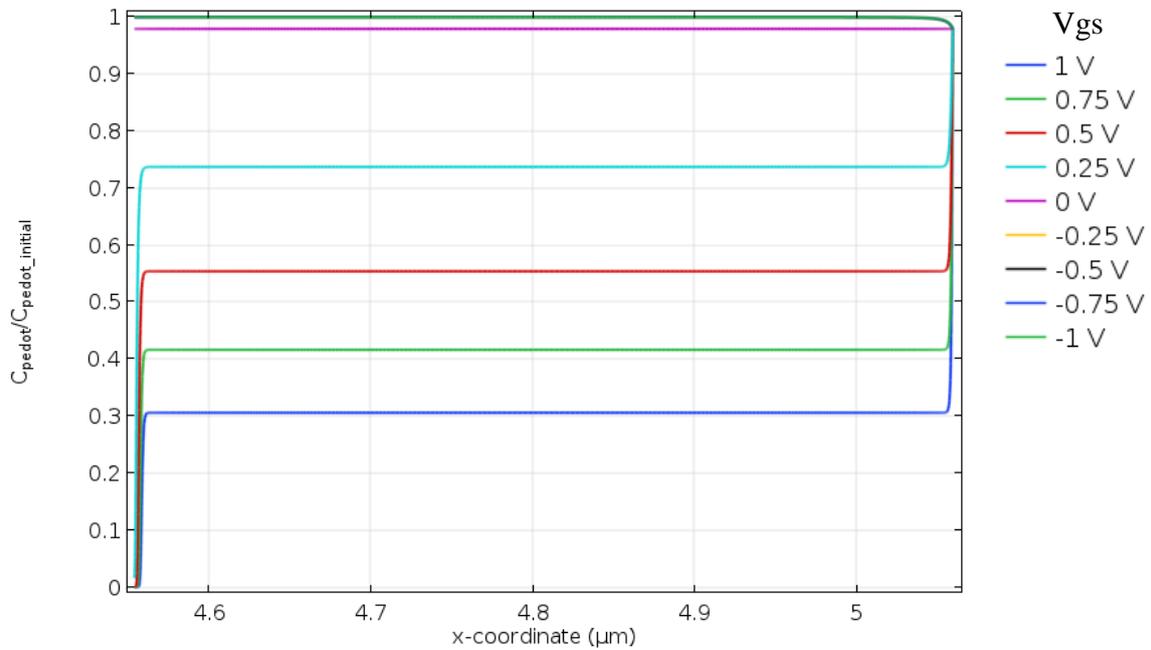


Figure 5.7 – Global Neutrality numerically modelled normalized PEDOT<sup>+</sup> concentration profiles along the PEDOT:PSS layer. Curves were modelled for different applied Gate-Source potentials (from -1 to 1 V)

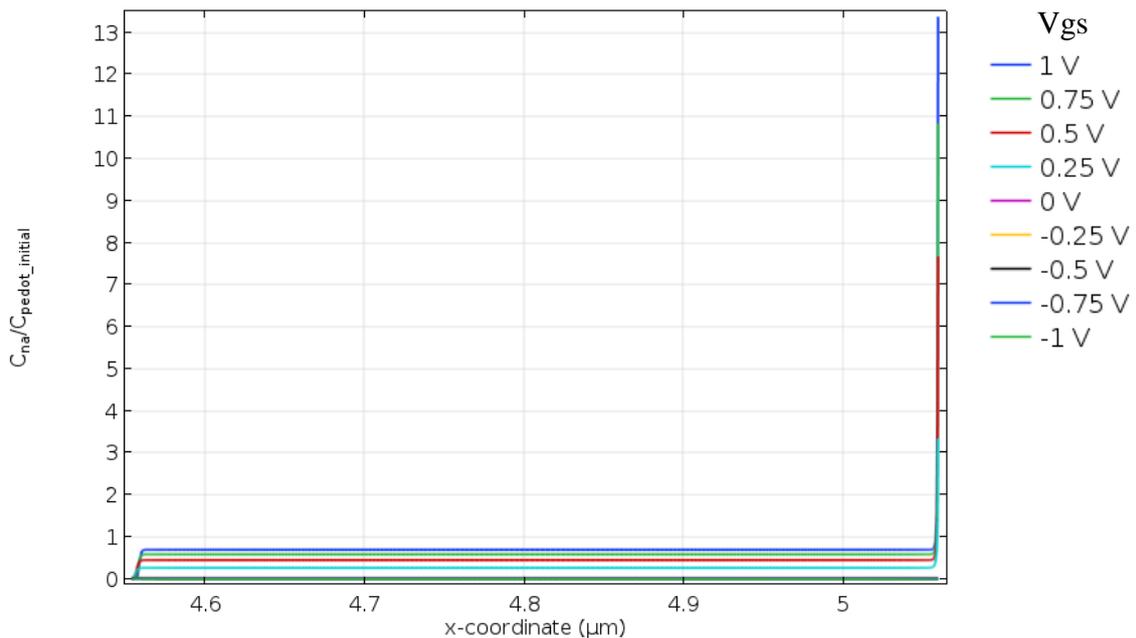


Figure 5.8 – Global Neutrality numerically modelled normalized Na<sup>+</sup> concentration profiles along the PEDOT:PSS layer. Curves were modelled for different applied Gate-Source potentials (from -1 to 1 V)

As expected, the concentration of both species is constant in most of the channel and the total charge density ( $C_{\text{local}} = C_{\text{pedot}^+} + C_{\text{na}^+} - C_{\text{pss}^-}$ ) in this part is zero. However, when the applied potential is positive in the region near the conductive polymer:electrolyte interface (8 nm region) the concentration of both species is increasing from zero to a constant value. Another non-locally neutral region is the 8 nm region near the Source electrode: under applied potential, most of the Na<sup>+</sup> ions are located in this region; the concentration of PEDOT<sup>+</sup> also increases from the constant

concentration region value to the initial concentration, equal to the concentration of PEDOT<sup>+</sup> in a fully doped channel state. In total, as expected, the region near the Source electrode has a very high positive charge concentration.

## Channel conductivity and Drain current calculation

From the values of  $\sigma_{max}$  and  $P$  obtained, through the analytical model, the hole mobility could be calculated following the equation:

$$\mu = \frac{\sigma_{max}}{P \cdot q} \quad (5.2)$$

Where  $q$  is the elementary charge.

Taking into account the constant mobility assumption and knowing the local concentration of PEDOT<sup>+</sup> makes a calculation of local conductivity, as well as an average channel conductivity, possible:

$$\sigma_{ch} = \frac{1}{d} \cdot \int_0^d \sigma_l dx = \frac{1}{d} \cdot \int_0^d P_l q \mu dx \quad (5.3)$$

Where  $\sigma_{ch}$ ,  $\sigma_l$  and  $P_l$  are the average channel conductivity, the local conductivity and the local PEDOT<sup>+</sup> density respectively.

The average channel conductivity dependance on the applied potential is represented at the Figure 5.9.

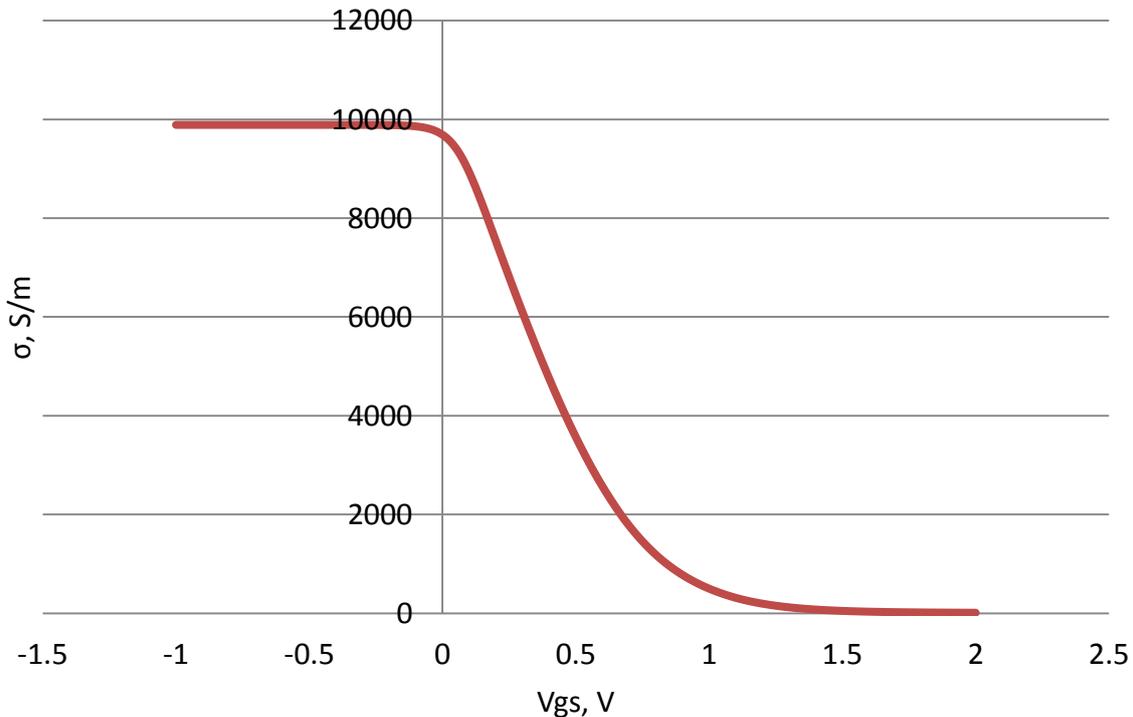


Figure 5.9 – Average channel conductivity dependance on applied voltage

From the Figure 5.9 above it is possible to conclude that the conductivity reaches the maximum value 9892 S/m under slightly negative applied voltage and goes to a value very close to zero under an applied voltage of about 1.5 V. All these results agree well with the prediction.

The newly gotten conductivity-applied voltage curves were used together with the gradual channel approximation for the Drain current calculation according to equation 3.37. The result of these calculations together with the experimentally obtained Drain current – applied voltage profiles is represented in Figures 5.10a. and b in the form of the output and transfer curves respectively.

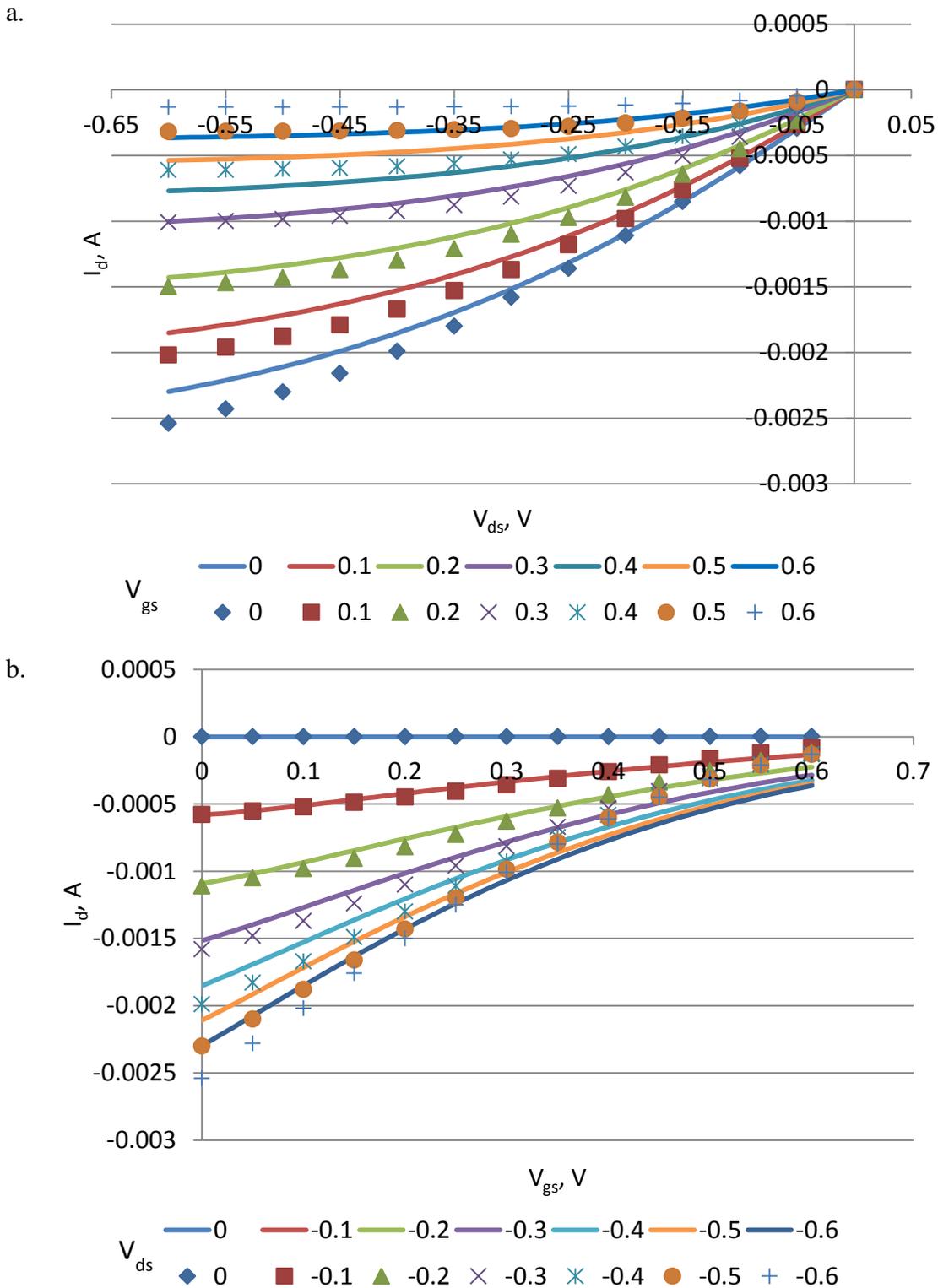


Figure 5.10 – Drain current dependence on applied potential. Continuous line – calculated curve; markers – experimental data points. a) Output curve (Drain current dependent on applied Drain-Source potential for different Gate-Source potentials); b) Transfer curve (Drain current dependent on applied Gate-Source potential for different Drain-Source potentials);

The Figures above show that there is a very good match between the experimentally measured and the numerically modelled Drain current. Moreover the alignment between these two sets of

data is almost perfect for low applied Drain-Source potentials in the range between 0 and -0.3 V and Gate-Source potentials between 0.1 and 0.3 V.

## Conclusion

In Chapter 5, a complete cycle of calculations for the unique Organic Electrochemical transistor was developed. Two types of analytical models fitting to experimental data allowed extracting the set of the most important device parameters, such as maximum conductivity  $\sigma_{\max}$ , initial hole density  $P$ , density of  $\text{PSS}^- \text{Na}_a$ , intrinsic charge carrier density  $n_i$  and capacitance of PEDOT:PSS layer  $C_d$ . These set of parameters permitted not only to characterize fully the device, but also to check the validity of the Global Neutrality numerical model.

The derived maximum conductivity and initial holes density were used as the input parameters for the numerical calculations performed with the COMSOL Multiphysics software. The result of one dimensional model was local potential,  $\text{PEDOT}^+$  and  $\text{Na}^+$  concentration profiles dependent on the applied potential. Numerical model output was used for the channel conductivity calculations dependent on the applied potential, which in its turn was used for the Drain current calculation. The calculated Drain current–applied potential profile was compared with experimental profile, this comparison allowed concluding that the Global Neutrality numerical model reflects very well the real device behavior, so this model describes with no doubts the real physics and the chemistry of an Organic Electrochemical Transistor.

## CHAPTER 6. EXPERIMENTAL VALIDATION

### Fabrication of the Organic Electrochemical Transistor

All OEET devices were fabricated in the cleanroom of Ecole Nationale Supérieure des Mines de Saint-Etienne with the kind help of Jonathan Rivnay, Jacob Friedlein and Professor George G. Malliaras.

Organic Electrochemical Transistors were fabricated in a clean room by photolithography process.[104] Fabrication process is similar to one described in the literature.[78] It consists of several different materials patterning, such as perylene, metals and PEDOT:PSS. A typical schematic architecture of the fabricated Organic Electrochemical Transistors is represented on the in Figure 6.1.

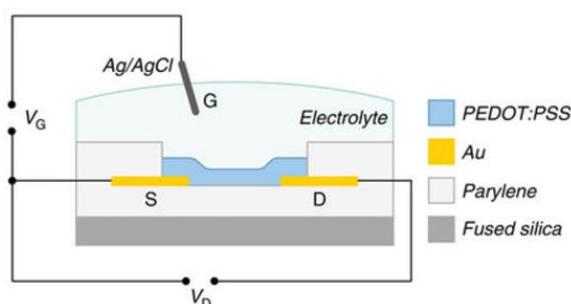


Figure 6.1 – Schematic representation of Organic Electrochemical Transistor structure[43]

First of all glass slide substrates were cleaned by sonication in de-ionized water, acetone and isopropyl alcohol with subsequent oxygen plasma treatment. The interconnects and the contacts made of 5 nm of chromium and 100 nm of gold were patterned by the lift-off process. Channel of the devices was made by spin coating a PEDOT:PSS mixture made of Clevis PH-1000, Heraeus Holding GmbH aqueous dispersion with 5 % of ethylene glycol (EG), 0.1 % of dodecyl benzene sulfonic acid (DBSA), and 1% of (3-glycidioxypropyl) trimethoxysilane (3-GOPS). Dodecyl benzene sulfonic acid was used for conductivity enhancement and 3-GOPS as crosslinking agent that prevents film detachment from the substrate. After the spin-coating at 600–3000 rpm, the film was baked at 100 °C for 90 seconds, followed by additional baking at 140 °C for 1 hour after the peeling-off process. Devices were rinsed with deionized water to remove an anti-adhesive and non-polymerized low molecular weight compounds.

Devices characterization was done using 100 mM NaCl solution as an electrolyte with the Ag/AgCl wire electrode immersed inside. The Drain and Gate currents and applied potentials were measured with NI-PXI-4071 and NI-PXI 6289 digital multimeters. Recorded signals were analyzed with LabVIEW software customized by the user.

### Moving Front Experiment

#### Experimental setup

To understand which of the two numerical models of PEDOT:PSS channel de-doping and ions distribution is correct, devices were fabricated with the configuration shown in Figure 6.2.

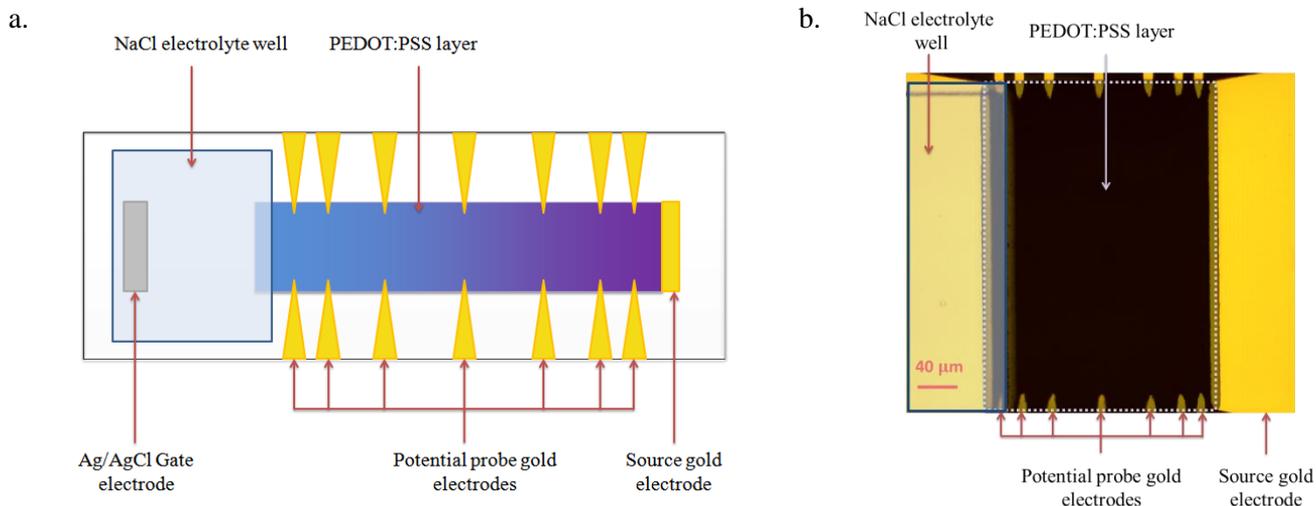


Figure 6.2 – Device for steady state potential measurement of PEDOT:PSS channel under applied gate-source potential: a) Simplified schematic representation; b) Real image

The device consisted of Ag/AgCl Gate electrode immersed in a well filled with the NaCl electrolyte with concentration 100 mM; the PEDOT:PSS layer was connected to the electrolyte layer at one side and to the gold Source electrode at the other side. Fourteen probe electrodes were connected to the PEDOT:PSS layer at both sides of the channel to measure the electrical potential at various distances from the Source electrode. Thus, the device allows measuring the potential along the channel to compare the experimentally measured potential profile to that numerically calculated. The device has the following geometrical characteristics: the thickness of PEDOT:PSS layer is equal to 100 nm, the width – 200  $\mu\text{m}$  and the length - 200  $\mu\text{m}$ . This device was also used to measure transient characteristics of the PEDOT:PSS layer under fixed applied potential.

## Device fabrication and measurements

Glass substrates were cleaned thoroughly by ten minutes sonication in deionized water, in acetone and finally in isopropanol. The Source electrode and the potential probe electrodes were made of 5 nm of chromium and 100 nm of gold, patterned by the lift-off process. Standard PEDOT:PSS mixture mentioned above (94% PH-1000, 5% EG, 1% 3-GOPS, 0.1% DBSA) was spin coated in two layers. The first layer was spin coated in 3000 rpm and the baked at 70  $^{\circ}\text{C}$  for 90 seconds. The second layer of PEDOT:PSS was spin coated in 1000 rpm. Then the sample was baked during 80 minutes at 130  $^{\circ}\text{C}$ . Epoxy-based photoresist SU-8 was patterned photolithographically to cover most part of the channel and the Source electrode. The uncovered part of PEDOT:PSS layer was connected with the electrolyte layer. Electrolyte was placed in a PDMS well, covered with a glass lid. Two 2.5 mm x 2 mm Ag/AgCl pellets shorted together served as a Gate electrode, were immersed into electrolyte.

Two opposite potential probe electrodes were shorted together for the channel potential measurements. Gate-Source potential equal to 1V was applied along the device. Potential was measured in seven points with different distances from the electrolyte during 100 seconds after application of Gate-Source potential.

Pixel brightness was measured with a Point Grey GS3-U3-23S6M camera.

## Theoretical potential profiles

In Chapter 3, we arrived at two very different types of profiles, depending onto whether ions are “freely moving” (Global Neutrality model) or “locally trapped” (Local Neutrality model), (Figure 6.3).

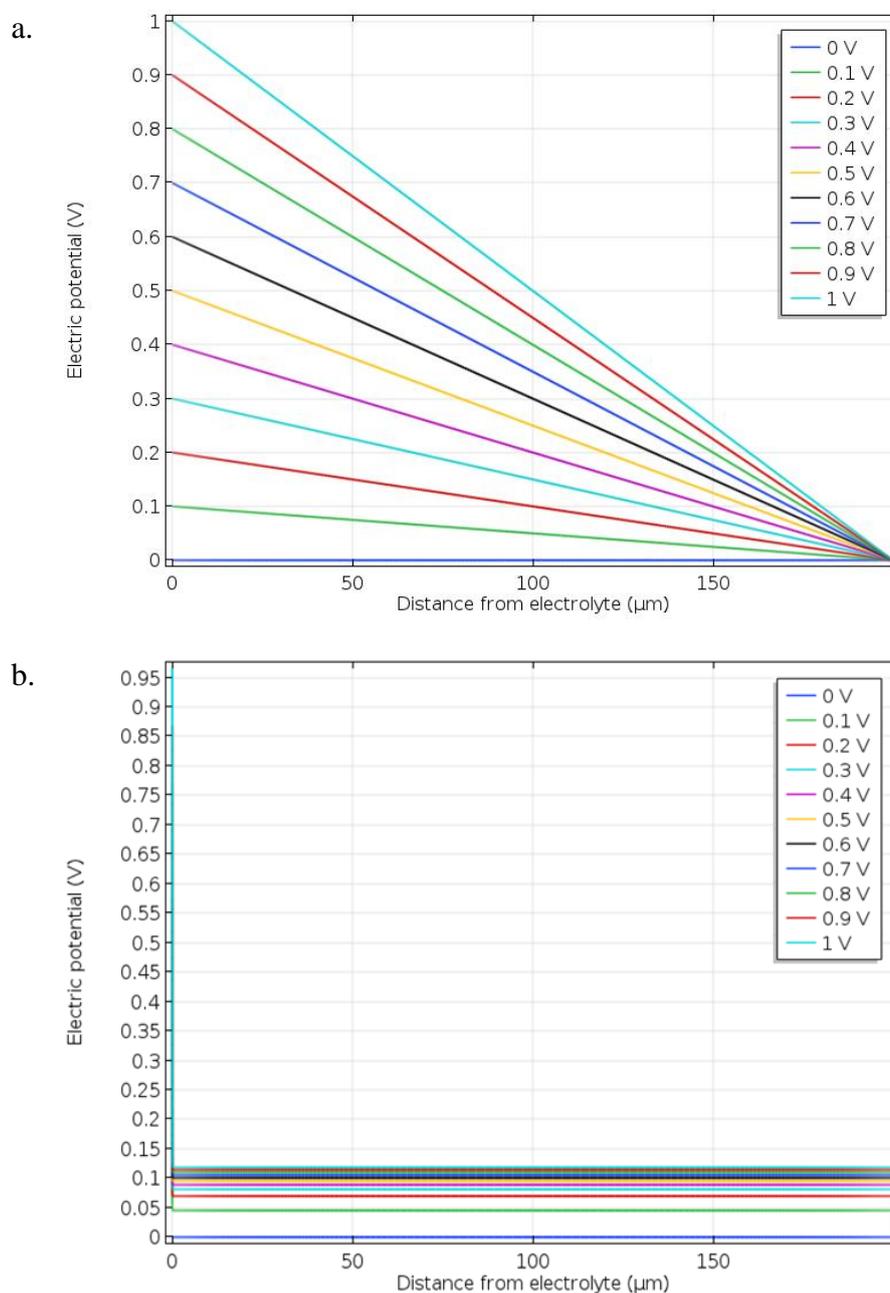


Figure 6.3 – One dimensional numerically modeled profiles of electric potential in PEDOT:PSS layer. Curves were modelled for different applied Gate-Source potentials (from 0 to 1 V): a) Local neutrality model,  $\text{Na}^+$  ions are locally trapped in PEDOT:PSS; b) Global neutrality model,  $\text{Na}^+$  ions are free to move, but global neutrality of the layer is preserved

The geometry of the cell used to calculate the profiles in Figure 6.3 had the following characteristics: NaCl 100 mM solution was used as an electrolyte, 200 μm long PEDOT:PSS layer was used as a conductive polymer layer, with  $10^{18} \text{ cm}^{-3}$  initial holes density. Electrolyte-

PEDOT:PSS interface is located on the left side, PEDOT:PSS – Source interface is located on the right side.

Experimentally measured profiles are expected to be similar to one of these two different numerically modeled profiles. This similarity will indicate which one of two models is the correct and realistic one.

## Experimental results and discussion

Figure 6.4 shows an experimentally attained potential profile. The curve corresponds to a system that has already reached the steady state. In practice, the profile was measured 200 sec. after the Gate-Source potential was applied.

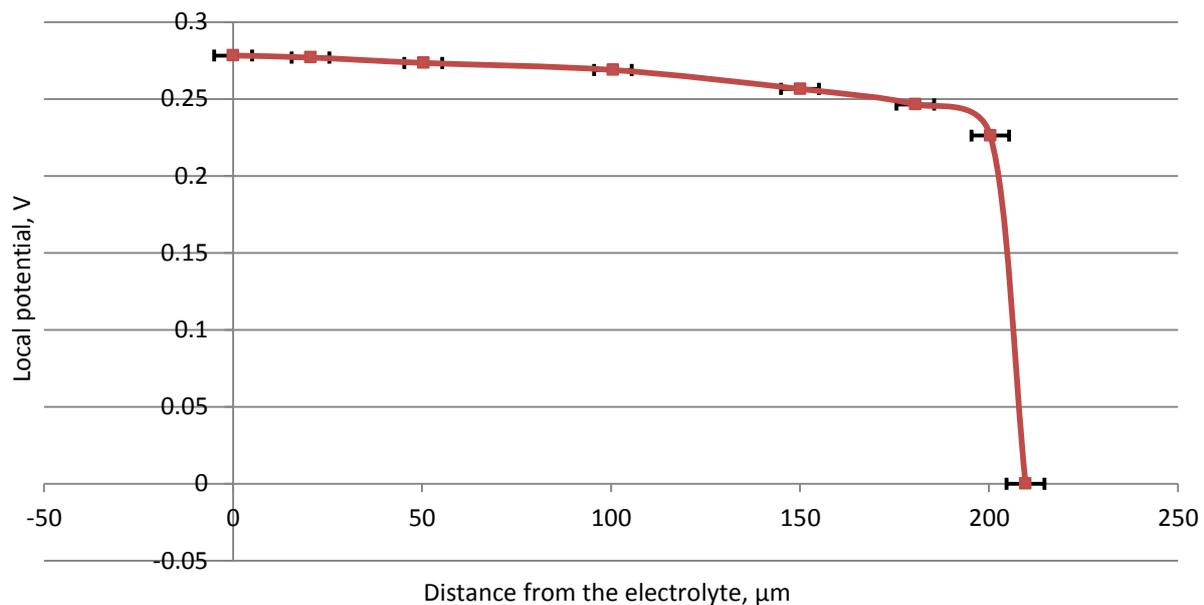


Figure 6.4 – Experimentally measured potential profile along PEDOT:PSS channel

From the Figures 6.3 and 6.4 above it is clear that the measured profile shows high similarity with that modelled with the Global Neutrality assumption. It proves that the Global Neutrality model is correct and reflects well the real situation in an Organic Electrochemical Transistor. The difference between the calculated and measure values in the channel could be explained by the difference between the real hole density and that used as an input for the numerical model. When correcting this parameter, it is possible to get an almost perfect match between the numerically modelled and the experimentally measured potential profiles (Figure 6.5).

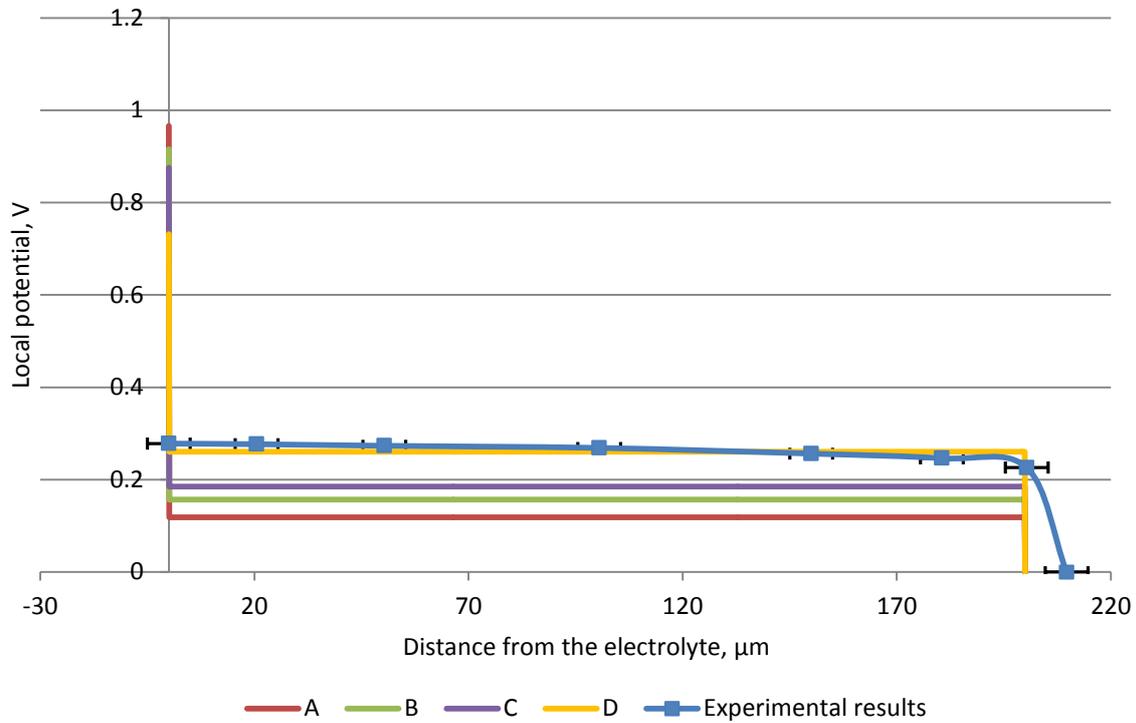


Figure 6.5 – Experimentally measured (marked line) and numerically modelled (straight line) potential profile along the PEDOT:PSS channel. Numerically modelled profile with different initial holes concentration: A-  $10^{18} \text{ cm}^{-3}$ ; B-  $5 \cdot 10^{18} \text{ cm}^{-3}$ ; C-  $10^{19} \text{ cm}^{-3}$ ; D-  $5 \cdot 10^{19} \text{ cm}^{-3}$

The Figure 6.5 clearly shows that potential profile in the channel depends not only on the applied voltage, but also on the initial hole concentration. It is possible to conclude, that according to potential matching between experimentally measured potential profile and numerically modelled potential profile, the initial hole concentration is very close to  $5 \cdot 10^{19} \text{ cm}^{-3}$ .

While the steady state measurements represent the final state of the system, the time dependent experiment allows depicting how the steady state is reached. In case of an Organic Electrochemical Transistor it is interesting to understand how the dedoping front moves and which is the limiting step of the de-doping process. In Figure 6.6 the change of potential with time at different points of the channel is shown.

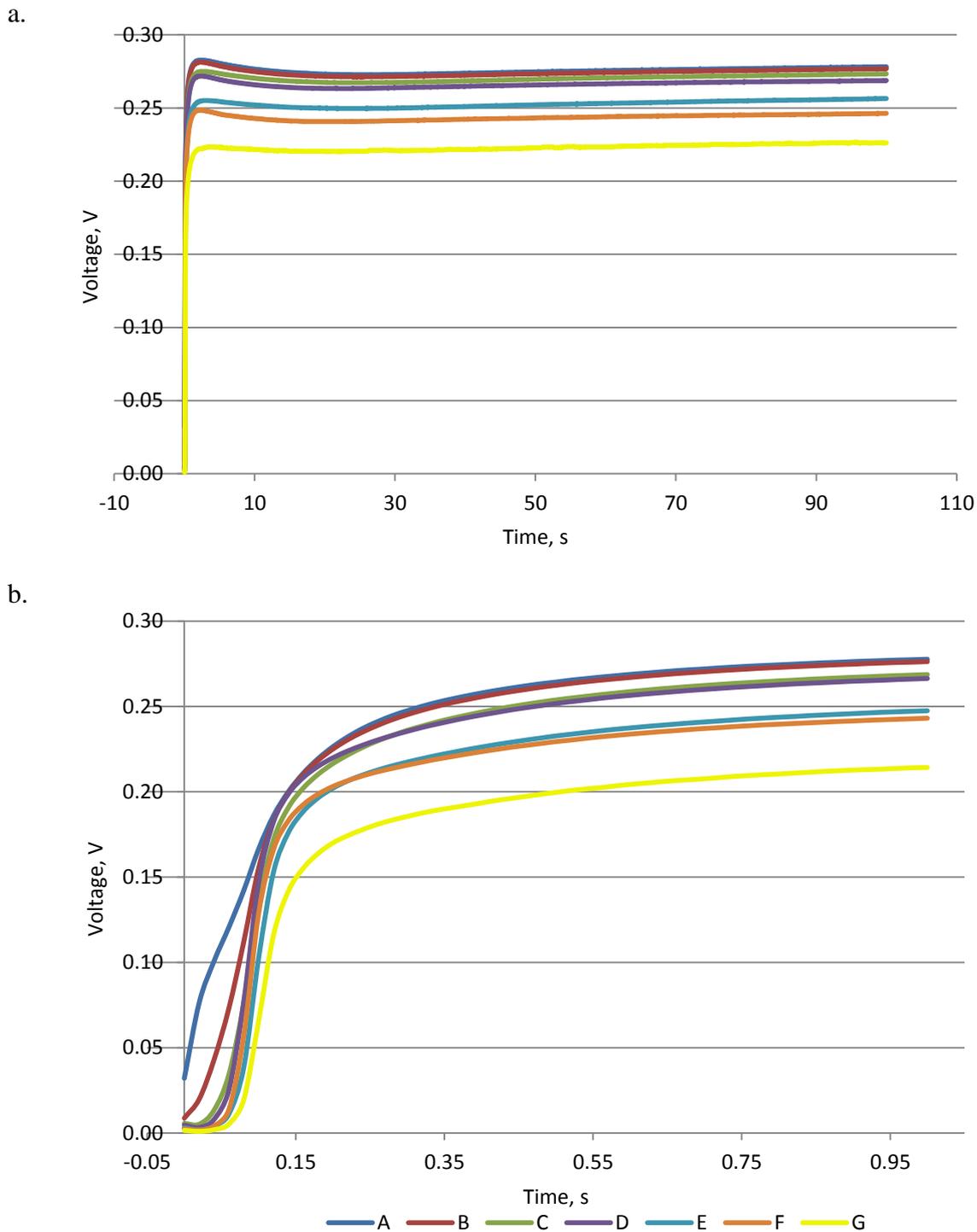


Figure 6.6 – Time dependent channel potential measurements, measured at different distances from an electrolyte: A – 0  $\mu\text{m}$ ; B – 20.51  $\mu\text{m}$ ; C – 50.34  $\mu\text{m}$ ; D – 100.51  $\mu\text{m}$ ; E – 150  $\mu\text{m}$ ; F – 180.51  $\mu\text{m}$ ; G – 200.34  $\mu\text{m}$ ;

a) From 0 sec to 100 sec; b) Enlarged region from 0 sec to 1 sec

Voltage reaches its maximum value 2 seconds after the potential is applied, then it slightly decreases during 8 sec and afterwards remains constant. The first step increase of the potential till maximum is due to the applied voltage and almost immediate  $\text{PEDOT}^+$  reduction reaction. The following decrease is due to the migration  $\text{Na}^+$  ions and the following redistribution inside the conductive polymer layer, which leads to a local potential modification. The fact that the potential

remains constant during the remaining time is clear evidence that the system has reached steady state.

PEDOT<sup>+</sup> reduction could be also investigated optically, due to PEDOT photochromic properties (Figure 2.14). The amount of reduced PEDOT along the channel could be evaluated by the normalized pixel intensity (Figure 6.7).

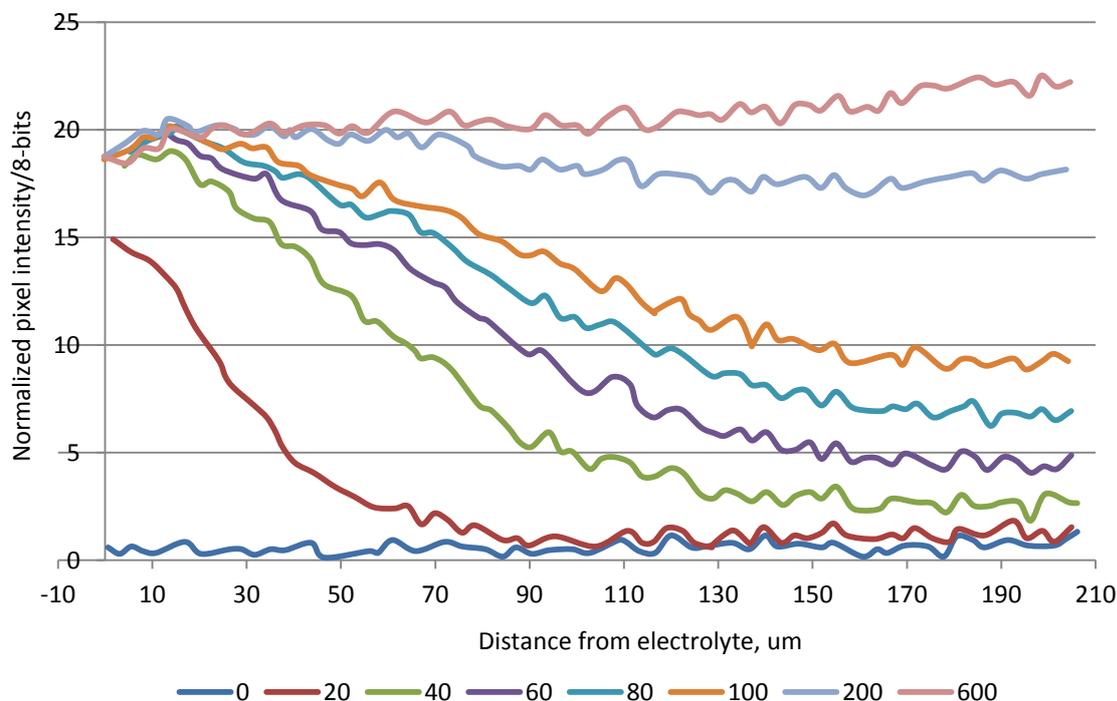


Figure 6.7 – Normalized pixel intensity along the PEDOT:PSS channel, measured at different times from 0 to 600 ms. Initial potential 0 V, applied potential 1V

Changing the potential from 0 to 1 V permits to observe the time-dependent variation in pixels intensity correlated with the variation of reduced PEDOT concentration. Increasing the potential from 0 to 1 V allows performing the classical moving front experiment.[91] These measurements show that the reduction of PEDOT<sup>+</sup> starts from the electrolyte layer. We note that after 200 ms the reduction process has already occurred through the whole channel and that the system reached steady state.

It is also possible to estimate the temporal evolution of the de-doping front, by measuring the time dependent evolution of pixels with a particular normalized intensity. For this estimation a normalized pixel with an average intensity equal to 10 was taken.

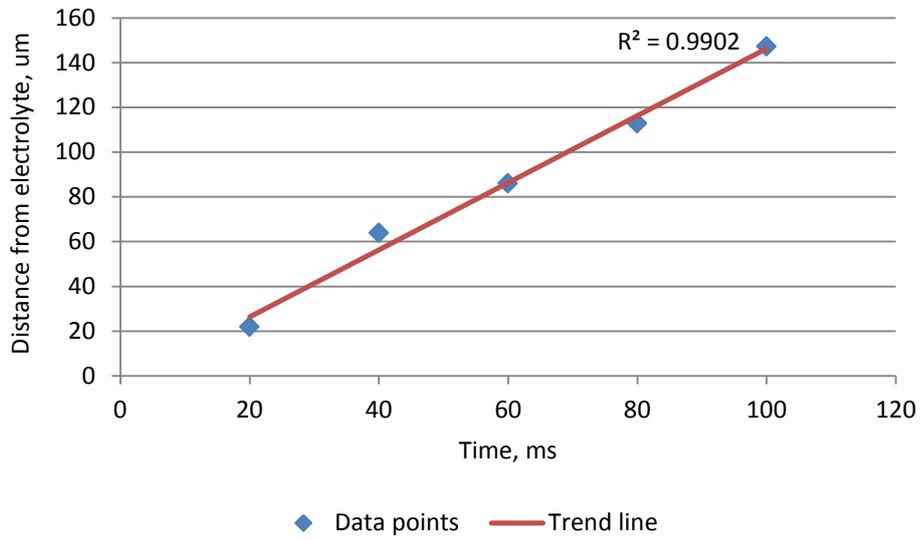
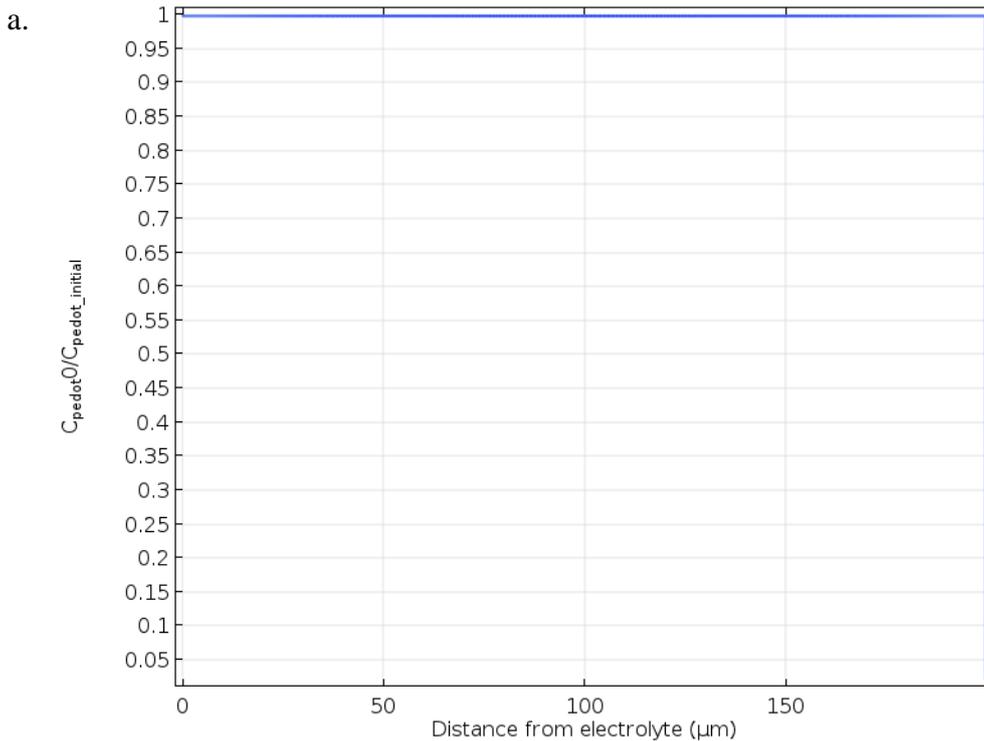


Figure 6.8 – Temporal evolution of the redox front drift length

From the figure above it is possible to conclude that the de-doping front moves linearly with time at least during the first 150  $\mu\text{s}$ , this timing should mainly correspond to the first redox process inside OECT, and thus hole movement.



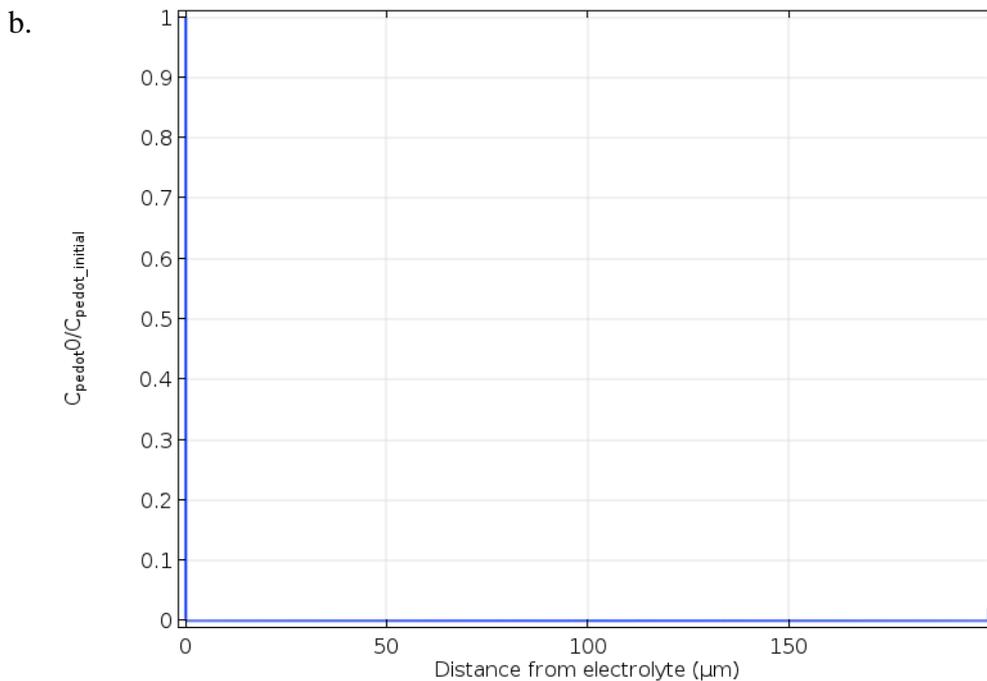


Figure 6.9 – PEDOT<sup>0</sup> normalized concentration profile: a) Global Neutrality model; b) EGOFET-like model

Experimentally obtained PEDOT<sup>0</sup> profile (Figure 6.7) is comparable to one modeled with Global Neutrality assumption (Figure 6.9a). From this comparison it is also necessary to note that PEDOT<sup>+</sup> reduction process occurs together with electrolyte ions movement and ions are moving with the speed of Moving front. If the ions would move slower than holes, the PEDOT<sup>0</sup> profile would be similar to one modeled with EGOFET-like structure (Figure 6.9b), which is not the case in the reality.

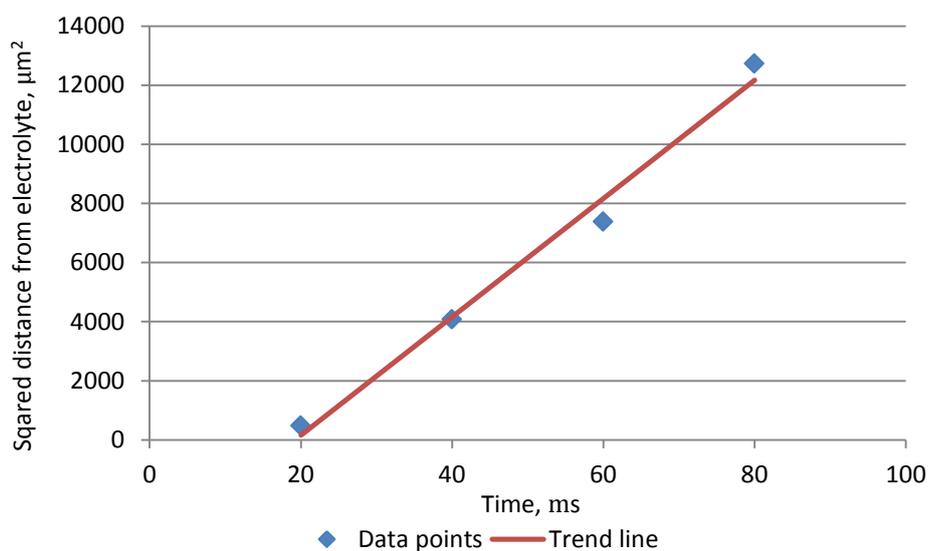


Figure 6.10 – Squared temporal evolution of the redox front drift length

It is possible to calculate the mobility of the charge carriers responsible for the redox front movement[46] by using the equation:

$$l^2 = 2\mu Vt \quad (6.1)$$

Where  $l$  is a drift length,  $\mu$  -mobility of the charge carriers,  $V$ - the applied potential and  $t$  the time.

So from the Figure 6.10 and Equation 6.1 the mobility is  $\mu = 1 \cdot 10^{-3} \text{ cm}^2/\text{V}\cdot\text{s}$ , which is 20 times higher than the previously reported value of  $\text{Na}^+$  mobility inside PEDOT:PSS layer ( $1.9 \cdot 10^{-4} \text{ cm}^2/\text{V}\cdot\text{s}$ )[46] and also higher than the value of electrophoretic mobility of  $\text{Na}^+$  in bulk water at the infinite dilution limit ( $7.6 \cdot 10^{-4} \text{ cm}^2/\text{V}\cdot\text{s}$ )[105]. As a result, the mobility value and the transient behavior curve allows to suppose that the Moving front experiment reflects the ionic motion due to drift under high electric field as it was stated before.

## Conclusion

Potential measurements were performed to validate which of the two numerical models, described in the Chapter 3 is correct: the Local Neutrality model or the Global Neutrality model. As a result it was shown that the Global Neutrality model potential profile matches very well the experimentally measured potential profile, especially for hole concentration equal to  $5 \cdot 10^{19} \text{ cm}^{-3}$ . As a result, the Global Neutrality model could be successfully used for an explanation of an Organic Electrochemical Transistor working principle. Spectroscopic measurements allowed following the time-dependent reduction process of PEDOT:PSS; it was shown that the moving front travels linearly with time and that the steady state is already reached after 2 seconds. The mobility of the charge carriers was also extracted; very high mobility value and fast achievement of the steady state allows to suppose that the Moving front experiment allows to observe holes extraction together with ionic movement process inside PEDOT:PSS, as it was reported previously.

## CHAPTER 7 CONCLUSION AND OUTLOOK

The work presented in the current thesis is dedicated to the Physical Modelling of biosensors based on Organic Electrochemical Transistor. Two types of models were developed in the scope of this thesis: numerical model and analytical model. Combining these two models it is possible not only to describe the working principle of an OECT, but also to extract all the parameters needed to characterize an already made device, which would lead to the optimization of the fabrication of devices for any specific type of application.

The first part of the thesis aimed to describe the state of the art in the field of organic electronics, bioelectronics, biosensors and in particular to emphasize on the importance and principle advantages of Organic Electrochemical transistors among the other categories of biosensors.

The first chapter serves as an introduction to the field. Since 1954 when the first semiconducting organic material was discovered and 1962 when the first biosensor was made, organic electronics and bioelectronics has developed a lot. It was possible due to the advantages of organic in comparison with inorganic materials, such as the ability to operate at low voltage, the ability to be fabricated by low-cost printing techniques and the ability to conduct both ionic and electronic charges. Nowadays different types of organic transistors are used in a huge variety of applications. An OECT is a particular type of transistor based sensor that is widely used in biosensing and medical applications. Being a three-terminal device, its working principle is based on Drain current modulation under applied Gate-Source voltage due to redox de-doping of the conductive channel. Despite the fact that Organic Electrochemical Transistors are widely used the mechanism of its core de-doping process was not enough studied and described. To sum up first chapter aimed to make a brief observation of the field of research for the further going to the subject of an investigation.

An explanation of the current state of the art in the field and the background information is very important, being the starting point of any scientific research; it allows asking the important questions to fill the gaps in the scientific knowledge. The chapter part is dedicated to the summary of internal physics and chemistry of the device. Since an OECT consists of two main parts: ionically conductive layer and electronically conductive layer, it is very important to explain the properties of each layer. In most of the cases a highly diluted *true* electrolyte, such as NaCl solution is used as an ionically conductive layer. This type of electrolyte is characterized by completely dissolved positive and negative ions surrounded by a hydration shell. Ion-ion and ion-solvent interactions take place in the solution, due to the fact that each hydrated ion is charged. Attractive and repelling forces allow the ionic solution to maintain the local equilibrium. In the presence of an electric field or concentration gradient ions move to maintain equilibrium, and if the electrode is polarizable they form a double electrical layer at its interface. Therefore the balance between drift and diffusion forces defines not only the equilibrium of the system, but it also generates an ionic current.

The second active element in OECT is the electronically conductive layer. Conductive polymers, such as PEDOT:PSS are often used for this. PEDOT:PSS is a holes transporting material and this type of transport is also the result of two components: drift and diffusion. So the charge transport in an electrolyte and in a conductive polymer could be described using the same set of equations: Poisson-Boltzmann and Nernst-Planck equations. A separate description of the physics of each active layer is important, but in an OECT these two layers are also interacting between each other upon the application of Gate-Source voltage. First of all the reduction of PEDOT<sup>+</sup> takes place, so the amount of holes is decreasing, then the ions from the electrolyte penetrate inside to maintain electrical neutrality. This process is called de-doping of the conductive polymer. The time evolution

of the process can be visualized by the so-called Moving front experiment. Being the core element of OECT working principle, this process is the focal point of the thesis. As a result, a detailed description of the state of the art of internal device physics is given in Chapter two.

First of all it is extremely important to understand and explain the OECT working principle and the physics of the dedoping process. This is why the precise numerical modelling is an essential part of the current thesis. The third Chapter is entirely dedicated to this type of one-dimensional modelling. Two pictures for the distribution of ions inside the conductive polymer layer were described, and thus two types of models made up. The first model is the Local Neutrality model, in which ions percolating inside the conductive polymer layer are trapped inside to maintain local electroneutrality. The second model is the Global neutrality model, when the ions are not locally trapped, but they are moving freely inside the polymer layer, maintaining only global neutrality. As a result of the modelling two sets of different local potential, PEDOT<sup>+</sup> and Na<sup>+</sup> concentration profiles were obtained and the conductivity curves were calculated accordingly. These curves together with the gradual channel approximation allow calculating transfer and output characteristics for a device modelled with any of the two models. The respective current profiles are different for each model; for the Global Neutrality model the transistor becomes fully de-doped upon the application of 1V Gate-Source potential. This makes the Global Neutrality model to be more realistic. Moreover, to validate the model the experimental measurements of the local potential of PEDOT:PSS layer were performed. A very good match was achieved between the experimentally measured and the Global Neutrality numerically modelled potential profiles. Thus a clear proof of the Global Neutrality model validity was shown in the Chapter seven.

Using the numerically modelled conductivity curves it is possible to set-up a parametric analytical model, by fitting the curve to an analytical equation. The best function, which fits well the numerically modelled Global Neutrality conductivity curve, is Boltzmann logistics function. Therefore two possible one-dimensional models of an OECT were described in this chapter; moreover the transfer from the fully numerical to the analytical model was successfully made. While the numerical models are describing the working principle of any OECT device, analytical models allow to extract the important parameters and to characterize the real OECT. The coupling of two analytical models: the Boltzmann parametric model and the Conventional Semiconductor model, allowed to extract the maximum conductivity of the fully doped PEDOT:PSS layer  $\sigma_{max}$ , the intrinsic charge carrier density  $n_i$ , the PSS<sup>-</sup> density  $N_A^-$ , the volumetric capacitance  $C^*$  and the initial holes density  $p_{max}$ . This set of models could be used to characterize the Organic Electrochemical Transistors with different channel sizes. In each case the models showed a very good fit to the experimental  $I_d - V_{gs}$  curve, characterized by  $PPMMCC \geq 0.999$ . Despite of the parameters extraction it is possible to use the analytical models for a device characterization. By applying the Boltzmann parametric model to the set of transistors it was proven that the maximum conductivity showed the correlation with the conductive channel geometry: the conductivity has the highest value for the channels with high length to area ratio. This conclusion together with the other extracted parameters could be used to fabricate optimal OECT devices for any type of application.

Lastly, having the Global Neutrality numerical model, the Boltzmann parametric analytical model and the Conventional Semiconductor models it is possible to make the full characterization for any OECT. An example of this characterization developed in Chapter 5. First of all it is necessary to measure the  $I_d - V_{gs}$  profile for  $V_{ds} = -0.5$  V. Fitting the experimental curve to the Boltzmann parametric function leads to the value of  $\sigma_{max}$ . This value was used to decrease the number of Conventional Semiconductor model fitting parameters. Initial holes density  $p_{max}$  was extracted as a result of the second analytical function fitting. The maximum conductivity value, the

initial holes density value and the size of the channel were then used as input parameters for Global Neutrality numerical modelling of the OECT. The result of the modelling represented in the form of transfer and output curves was compared to the experimental data. Very good match between these two data sets allowed to conclude that all the models are valid and could be used to characterize an OECT with a very high degree of precision.

Globally this thesis represents the modelling of an OECT from an electrochemical point of view. Additional effort needs to be done to make the model more accurate. It is very important to take in account the other processes, occurring inside OECT, such as the polymer chains transformation and swelling, the possibility of Cl<sup>-</sup> ion penetration and the global conductive polymer interaction with an electrolyte media. It is also very interesting to include the effects of the contact between PEDOT:PSS layer and living cells culture, since an OECT is aimed to be used for *in vivo* applications.

To conclude, the theoretical modelling is a very powerful tool to explain the working principle of an OECT and characterize the device. The usage the models is not only the matter of a global understanding, but also it is a prominent step towards an optimal and efficient device creation.

## List of publications

1. Shirinskaya, A., Horowitz, G., Bonnassieux, Y., Rivnay, J., Malliaras G., *Analytical modelling of the Organic Electrochemical Transistor*, *Advanced Functional Materials*, 2017. **(submitted)**
2. Shirinskaya, A., et al. (2017) Numerical Modelling of Biosensors based on the Organic Electrochemical Transistor **(in preparation)**

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**Titre :** Modelisation physique des biocapteurs au base des transisteurs electrochimiques

**Mots clés :** Électronique organique, bioélectronique, physique des appareils, transistor electrochimique organique, dopage, modélisation numérique, modélisation analytique

**Résumé :** Les Transistors Organiques Electrochimiques (OECT) sont largement utilisés comme les capteurs dans de nombreux appareils bioélectroniques.

Cette thèse est consacrée à la modélisation des Transistors Organiques Electrochimiques. Tout d'abord, un modèle d'état stationnaire numérique a été établi. Il a été prouvé expérimentalement que le modèle numérique dit de «neutralité global» est valable pour expliciter le fonctionnement global du capteur, mais aussi, l'origine et le résultat du processus du dé-dopage. La transition d'un modèle totalement numérique à un modèle analytique a été réalisée en ajustant la fonction analytique logistique paramétrique de Boltzmann au profil de conductivité calculé numériquement.

Il est possible d'extraire, en utilisant la valeur de

conductivité maximale et un modèle de semi-conducteur conventionnel, les autres paramètres pour la description complète d'OECT: densité intrinsèque de charge, densité de trous initiaux, concentration initiale de PSS- et capacité volumétrique de la couche polymère conductrice. Le fait d'avoir un outil permettant d'extraire et de caractériser facilement tous les OECT permet non seulement d'augmenter le niveau de description de compréhension du transistor, mais surtout de mieux maîtriser la corrélation entre paramètres internes et externes.

En outre nous avons pu valider expérimentalement la pertinence de nos modèles en les comparant avec les caractéristiques obtenues via des mesures réelles.

**Title :** Physical modeling of biosensors based on organic electrochemical transistors

**Keywords :** organic electronics, bioelectronics, device physics, organic electrochemical transistor, de-doping, numerical modelling, analytical modelling

**Abstract:** Organic Electrochemical Transistors are widely used as transducers for sensors in bioelectronics devices.

This thesis is dedicated to Organic Electrochemical Transistors modelling. First of all, a numerical steady state model was established. Two numerical models were proposed. In the first, Local Neutrality model, the assumption of electrolyte ions trapping in PEDOT:PSS layer was taken into consideration, thus the local neutrality was preserved. In the second model the ions were allowed to move freely under applied electric field inside conductive polymer layer, thus only global electroneutrality was kept. It was experimentally proven that the Global Neutrality numerical model is valid to explain the global physics of the device, the origin and the result of the de-doping process. The transition from totally numerical model to analytical model was performed by fitting the

parametric analytical Boltzmann logistic function to numerically calculated conductivity profiles. As a result, an analytical equation for the Drain current dependence on applied voltage was derived and maximum conductivity value was calculated. Using the maximum conductivity value together with the Conventional Semiconductor model it is possible to extract the other parameters for the full description of the OECT. Having a tool to make easy parameters extraction and characterization of any OECT, permits not only to increase the level of device description, but most importantly to highlight the correlation between external and internal device parameters.

As a result, not only the purely theoretical model was presented in this thesis to describe the device physics, but also the prominent step was made on simple real device characterization.

